

December 3, 2014

OCF Stats for November 2014

OFFICE OF THE DIRECTOR

Site Visits to Election Precincts during the November 4, 2014 General Election – 81

Notice published at OCF Website on November 17, 2014 of Significant Amendments to the Campaign Finance Act of 2011, pursuant to the “Campaign Finance Reform and Transparency Act of 2013”

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
November 2014:

New Committees

November 2014 Report of Receipts & Expenditures

DC Proud Inaugural Committee.....	11/10/2014
DC Character Development and Citizenship Education Initiative.....	11/19/2014
AJ Cooper (Ward-4 City Council 2016).....	11/24/2014

Entrance conference

11/20/2014

Ben Soto/DC Proud Inaugural Committee

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:

Reviews Completed – 72

Political Action Committees (includes amended filings).....	8
Constituent Service Funds (includes amended filings).....	0
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	64
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0

Initiative/Referendum Committees.....	0
Attorney General.....	0
Audit Letters (RFAI) Sent.....	21
Follow-up letters Sent.....	0
Case(s) Resolved.....	32
Cases Referred to the Office of General Counsel.....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Site Visits.....	10
Outreach Education Seminars Attended – Institute of Internal Auditors.	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	0
In House Training	1

Ongoing Audits:

Full Field Audits

- Gray for Mayor

Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)

- Evans for Mayor
- Friends of Courtney R. Snowden
- Elect Cheeks for Chairman – issued November 24, 2014

Periodic Random Audits – Continuing Committees

- Biddle 2012
- Hubbard for Ward 5 -2012

Periodic Random Audits – Political Action Committees

- District of Columbia State Federation of Business and Professional Women’s Club Inc. PAC

Periodic Random Audits – Constituent Service Programs

- Evans CSF
- Friends of Jim Graham

Audits Issued

- Cheeks for Chairman

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received					0		
Opinion Request Completed					0		
Complaints Received					0		
Internal Queries					0		
Preliminary Investigations Initiated					0		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		
Informal Hearings Referred					19		
Informal Hearings Completed					8		

Informal Hearings					14*		
Orders Issued							
Petitions for Enforcement					7		
Referrals to BOE							

*This figure represents 11 Failure to Timely File orders in which no fines were imposed and 3 orders regarding the failures to timely respond to Requests For Additional Information in which no fines were imposed.

Board Statistics for November, 2014

Board Meeting December 3, 2014

1. Actual Matters referred

PIRM

PCC	8
PAC's	6
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	2
PAC's	3
Constituent Services	0
Statehood Fund	0

2. Notices of Hearings		
First Notices		25
Second Notices	1	
3. Notices and Orders Vacated		1
4. Hearings Conducted		8
5. Orders which imposed fines		0
6. Fines imposed		\$0.00
7. Fines collected		0
8. Investigations completed		0
Orders issued		

Board Statistics for November 2014

Board Meeting December 3, 2014

9. Petitions for Enforcement	(1) 14R-023 Carson-Smith for DNC (\$2,000)
	(2) 14R-047 Bruce Majors for Mayor (\$1,700)
	(3) 14C-037 Carson-Smith for DNC (\$850)
	(4) 14C-051 Carson-Smith for DNC (\$1,350)
	(5) 14C-054 Carter at-Large (\$1,350)
	(6) 14C-055 Gaston 2014 (\$900)
	(7) 14C-058 Martin Sterbal US Senate (\$1,350)

10. Outstanding Fines

\$6,100.00*

- (1) \$1,700.00 Frank Sewell for Mayor
- (2) \$1,100.00 Nestor for Mayor
- (3) \$150.00 Tim Clark (ANC)
- (4) \$350.00 Friends of Calvin Gurley
- (5) \$1,150.00 Nestor for Mayor
- (6) \$1,650.00 Nestor for Mayor

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

December 3, 2014

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Lee Calhoun investigation remains ongoing

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015

Complainant: Internally Generated March 28, 2014
Respondent: Jeffrey Thompson
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001

Complainant: Internally Generated July 24, 2014
Respondent: Kelvin Robinson
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2014-002

Complainant: Internally Generated July 24, 2014
Respondent: Jeff Smith
Infraction: Campaign contribution violations
Status: Pending

INTERPRETATIVE OPINIONS

December 3, 2014

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

December 3, 2014

OCF 2014-P-033 Complainant: Smitty for AG
Respondent: Karl Racine for AG
Infraction: Failure to provide contributor information
Originated: October 16, 2014
Status: Order Issue d November 26, 2014

OCF2014-P-021 Complainant: Adam Eidinge
Respondent: William V. Jones, III
Infraction: Failure to include disclaimer on campaign literature
and Failure to file a Statement of organization
Originated: October 17, 2014
Status: Order Pending

OCF 2014-P-026 Complainant: Adam Eidinge
Respondent: William V. Jones, III
Infraction: Failure to include disclaimer on campaign literature
and Failure to file a Statement of Organization
Originated: October 17, 2014
Status: Order Pending

OCF 2014-P-022 Complainant: Marie Drissel
Respondent: Elwood "Yango" Sawyer
Infraction: Failure to file financial reports and Failure to
Identify the source of expenditure
Originated: October 21, 2014
Status: Order Pending