

March 5, 2014

OFFICE OF THE DIRECTOR

Site Visits on February 26, 2014 to the Campaign Offices of 2014 Candidates and Principal Campaign Committees (Muriel Bowser for Mayor and Jack Evans for Mayor).

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:

February 2014:

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

January 31, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....137 (76PCCs - 61PACs)
Total number of Timely Filers.....119 (60PCCs -59PACs)*
Total number of Extensions Requested..... 2 (2PCCs - 0PACs)
Total number of Extensions Granted.....2 (2PCCs - 0PACs)
Total number of Late Filers.....2 (1PCCs - 1PACs)
Total number of Failures to File.....13 (12PCCs - 1PACs)
Total number of OGC Referrals.....15 (13PCCs - 2PACs)

Total number of Electronic Filings.....105 (57PCCs- 48PACs)
Total number of Certified E-Filings.....97 (54PCCs -43PACs)

**Three (3) PCC filings and One (1) PAC filing were termination reports.*

New Candidates and Committees registered for the 2014 Election Cycle*

February 2014

Sian Ofaolain (Ward-6, Democratic State Committee).....02/03/2014
Martin Moulton (U.S. Representative).....02/03/2014
Dyana Forester (Ward-1, Democratic State Committee)..... 02/05/2014
Bryan Weaver/Weaver Campaign Committee (Ward-1, City Council).....02/10/2014
Victoria Gordon/Victoria Gordon 4 Mayor 02/10/2014
Brian Hart/Brian Hart for DC (At-Large, City Council).....02/10/2014
Glenda Richmond/Cmte. To Elect Glenda J. Richmond US Senator 2014..... 02/14/2014
Silvia Martinez (At-Large, Democratic State Committee)..... 02/24/2014
Mario Cristaldo (At-Large, Democratic State Committee)..... 02/24/2014

*Entrance Conferences to be scheduled for New Candidates and Committees in March 2014

Referrals to OGC

Failure to Timely File the January 31st R&E Report

PCCs

Committee to Re-elect U.S. Senator Strauss
Treasurer, Richard Bianco

Carol Schwartz 2008
Treasurer, Juliette Smith

Friends of Tarek Stevens
Treasurer, Tarek Stevens

Cmte. To Elect Villareal VJ Johnson
Treasurer, Timothy Lewis

Cmte to Elect Ronald Moten for Ward 7 City Council
Treasurer, Ronald Moten

Cmte. To Re-Elect Trayon White
Treasurer, Trayon White

Cmte. to Elect Natalie Williams
Treasurer, Natalie Williams

Patterson for Ward 8
Treasurer, Timothy Lewis

Cmte. to Elect Sekou Biddle At-Large
Treasurer, Bandele McQueen

Biddle 2012
Treasurer, William Brown

Christian Carter for Mayor 2014
Treasurer, Noah Harmony Shoatz

Sewell for Mayor 2014
Treasurer, Otis Sution

Vote Valentine 2014
Treasurer, Justin Flemings

PACs

People for a Strong Society
Treasurer, Ben Foshager

Washington DC Assoc. of Realtors
Treasurer, Edward Krauze

Failure to File Statement of Organization (Bank Account Info.)

Kathy Henderson for Councilmember 2014
Treasurer, Kathy Henderson

REPORTS ANALYSIS AND AUDIT DIVISON

The following is an accounting of RAAD’s production stats:

Reviews Completed – 127

Political Action Committees (includes amended filings).....	55
Constituent Service Funds	1
US Senator/Representative.....	1
Principal Campaign Committees (includes amended filings).....	70
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Pre- Election Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General.....	0
Audit Letters (RFAI) Sent.....	43
Follow-up letters sent.....	0
Case(s) Resolved.....	19
Cases Referred to the Office of General Counsel (Kathy Henderson 2014).....	1
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	18
Hearings Attended (Martin Sterbal).....	1
Site Visits.....	2
Outreach Education Seminars Attended – Institute of Internal Auditors.	0
Webinars Attended – Institute of Internal Auditors.....	1
Outside Seminars Attended	0

Ongoing Audits:

Full Field Audits

- Gray for Mayor
- Bonds for Council

Periodic Random Audits

- Caribbean-American PAC

Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)

- Brianne for DC
- Reta Jo Lewis for Mayor
- Bowser for Mayor
- Cheh 2014

OFFICE OF THE GENERAL COUNSEL

2014 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received		1					
Opinion Request Completed		0					
Complaints Received		0					
Internal Queries		9					
Preliminary Investigations Initiated		0					
Preliminary Investigations Orders Issued		0					
Full Investigations Initiated		0					
Full Investigations Orders Issued		0					
Informal Hearings Referred		17					

Informal Hearings Completed		6					
Informal Hearings Orders Issued		9*					
Petitions for Enforcement Referrals to BOE		5					

*This figure represents 5 Failure to Timely File orders in which \$3,450.00 in fines were imposed, 1 Failure to Timely File order in which no fine was imposed, 1 RFAI order in which a fine of \$1,150.00 was imposed and 2 RFAI orders in which no fines were imposed.

Board Statistics for February, 2014

Board Meeting March 5, 2014

1. Actual Matters referred

PIRM

PCC	14
PAC's	2
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	1
PAC's	0
Constituent Services	0
Statehood Fund	0

2. Notices of Hearings	15
First Notices	11
Second Notices	4
3. Notices and Orders Vacated	0
4. Hearings Conducted	6
5. Orders which imposed fines	6
6. Fines imposed	\$500.00 Vote Valentine \$100.00 Bruce Majors \$1,600.00 Sewell for Mayor \$200.00 Youth Action PAC \$1,050.00 Libertarian PAC \$1,150.00 Nestor for Mayor
7. Fines collected	\$500.00 (1) \$500.00 Committee to Elect Perry Redd
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	5
10. Outstanding Fines	\$49,750.00 (1) \$45,00.00 Kwame for Chair (2) \$1,700.00 Frank Sewell for Mayor (3) \$1,800.00 Friends of Tarek Stevens (4) \$1,100.00 Nestor for Mayor (5) \$150.00 Tim Clark (ANC)

LIST OF OPEN OCF INVESTIGATIONS

March 5, 2014

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations
the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Lee Calhoun investigation remains ongoing

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Stanley Straughter investigation remains ongoing

INTERPRETATIVE OPINIONS

March 5, 2014

#014-01 Requestor: Lloyd Jordan, Esq., of Motley Waller, LLP

Submitted: February 10, 2014

Issue: Contributions by Labor Organizations and
 Affiliated PACs

Status: Pending submission of additional information by the Requestor

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

March 5, 2014

NONE