

June 6, 2012

**OFFICE OF THE DIRECTOR**

D.C. Act 19-0371, the “Board of Ethics and Government Accountability Establishment and Comprehensive Ethics Reform Clarification Emergency Amendment Act of 2012”, effective May 16, 2012

Bill 19-743, the “Fiscal Year 2013 Budget Support Act of 2012”, Subtitle G, the “Financial Disclosure and Ethics Reform Clarification Amendment Act of 2012”, May 15, 2012

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
May, 2012

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES  
8 Day Pre Special Election Report of Receipts & Expenditures**

- 17 Total number of Required Filers (17PCCs – 0PACs)
- 15 Total number of Timely Filers (15PCCs – 0PACs)
- 1 Total number of Extensions (1PCCs - 0PACs)
- 1 Total number of Failures to File (1PCCs - 0PACs)
- 0 Total number of OGC Referrals (1PCCs - 0PACs)
  
- 15 Total number of Electronic Filings (15PCCs – 0PACs)
- 14 Total number of Certified E-Filings (14PCCs- 0PACs)
  
- 3 Total number of Filings were Termination Reports

**LOBBYIST REGISTRATION FEES (as of 5/31/12)**

- 212 Total number of New Lobbyists Registered for 2012
- 212 Total Registration Fees collected in the amount of \$46,300

## **REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD's production stats:  
June, 2012

### **Reviews Completed – 43**

- 0 Lobbyists
- 1 PAC
- 12 CSF (Includes Amended Filings)
- 0 US S/R
- 30 PCC (Includes Amended Filings)
- 0 Exploratory Committee
- 0 FDS
- 0 Initiative/Referendum
- 16 Audit Letters (RFAI) Sent
- 2 Follow-up letters sent
- 19 Cases Resolved
- 6 Cases Referred to the Office of General Counsel
- 0 Cases Referred for Contributions with Same Address and Similar Signatures
- 0 Cases Terminated
- 1 Hearing Attended (Mara 2010)
- 0 Outreach Education Seminars Attended
- 0 Outside Seminars Attended

### **Periodic Random Audits – Continuing Committees – January 31, 2012 Filings**

1. **Orange At-Large** – The Audit fieldwork is in progress. The Audit staff is 75% complete.
2. **Mara 2010** – The Statement of Findings was issued on May 14, 2012.
3. **Stand for Marriage Initiative** – The Final Audit Report was issued on May 9, 2012.

### **Periodic Random Audits – Constituent Services Funds –April 1, 2012 Filings**

1. **Mayor Gray's CSP** – The Audit Notification Letter was issued on May 23, 2012
2. **Vincent Orange, Sr. CSP** – The Audit Notification Letter was issued on May 23, 2012.

### **Full Field Audit – Investigative Audit**

1. **Gray For Mayor** – The Final Audit Report is completed and under review by the OCF General Counsel

### **Full Field Audit – Newly Elected Official**

1. **Kwame for Chair** - The Audit Notification letter was issued on May 15, 2012. The Committee records are due to the Audit staff by June 11, 2012.

**OFFICE OF THE GENERAL COUNSEL**  
2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

June 6, 2012

	January	February	March	April	May	June	
Opinion Request Received					0		
Opinion Request Completed					0		
Complaints Received					1		
Internal Queries					0		
Preliminary Investigations Initiated					1		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		
Informal Hearings					8		

Referred							
Informal Hearings Completed					4		
Informal Hearings Orders Issued					*8		
Petitions for Enforcement Referrals to BOEE					0		

\*This figure represents 7 Failure to Timely File Orders in which no fines were imposed and 1 Failure to Timely File Order in which a fine of \$100.00 was imposed

Board Statistics for May, 2012

Board Meeting June 6, 2012

1. Actual Matters referred

**PIRM**

PCC	1
PAC's	0
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0

**RAAD**

PCC	7
PAC's	0
Lobbyist	0

Constituent Services	0
FDS	0
Statehood Fund	0
2. Notices of Hearings	18
First Notices	4
Second Notices	14
3. Notices and Orders Vacated	7
4. Hearings Conducted	4
5. Orders which imposed fines	1
6. Fines imposed	\$100.00
7. Fines collected	0
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

June 6, 2012

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

The Preliminary Audit has been completed and upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF PI-2012-103

Complainant: Keith Jarrell, initiated April 2, 2012

Respondent: Councilmember Muriel Bowser

Infraction: Misuse of government resources

Status: Pending

OCF PI-2012-105

Complainant: Karen A. Todd, initiated May 14, 2012

Respondent: Candidate Kenyan McDuffie

Infraction: Misuse of government resources

Status: Pending

#### INTERPRETATIVE OPINIONS

June 6, 2012

#12-03 Requestor: Barry A. Bostrom, Esq., of ActRight Legal Services

Submitted: May 30, 2012

Issue: Registration and reporting requirements

Status: Pending

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

June 6, 2012

NONE