

May 10, 2011

OFFICE OF THE DIRECTOR

April 13, 2011 Testimony of Cecily E. Collier-Montgomery on the FY 2012 Proposed Budget Plan before the Committee on Government Operations and the Environment, Council of the District of Columbia.

OFFICE OF THE GENERAL COUNSEL (See Attachments)

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:

March 2011

CITIZEN SERVICE PROGRAMS

April 1, 2011 Report of Receipts & Expenditures

- 14 Total number of required filers
- 14 Total number of timely filers
- 0 Total number of late filers
- 0 Total number of extension filers
- 0 Total number of failure to file
- 0 Total number of OGC referrals

- 13 Total number of Electronic Filings
- 11 Total number of Certified E-Filings

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

April 1, 2011 Report of Receipts & Expenditures

- 3 Total number of required filers
- 3 Total number of required timely filers
- 0 Total number of late filers
- 0 Total number of extensions
- 0 Total number of failures to file
- 0 Total number of OGC referrals

- 3 Total number of Electronic Filings
- 2 Total number of Certified E-Filings

PRINCIPAL CAMPAIGN COMMITTEES (PCCs) & POLITICAL ACTION COMMITTEES (PACs)

8 Day Pre-Special Election April 18, 2011 Report of Receipts & Expenditures

- 64 Total number of required filers (23PCCs – 41PACs)
- 53 Total number of required timely filers (18PCCs - 35PACs)
- 11 Total number of failures to file (5PCCs - 6PACs)
- 11 Total number of OGC referrals (5PCCs - 6PACs)

- 43 Total number of Electronic Filings (17PCCs - 26PACs)
- 32 Total number of Certified E-Filings (16PCCs - 16PACs)

Published List of Required FDS filers in the D.C. Register.....04/22/20011

- 70 Financial Disclosure Statements Agency Director Responses
- 1 Total number of Agencies Outstanding (Due Date of 02/01/2011) (Department of Human Services)

LOBBYIST REGISTRATION FEES (as of 04/30/11)

- 190 Total number of New Lobbyists Registered for 2011
- 188 Registration Fees collected in the amount of \$40,800 from New Lobbyists
- 2 Outstanding Lobbyists who registered and have not paid the fee.

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:
March 2011

50 Reviews Completed

- 0 Lobbyists
- 20 PAC
- 12CSF
- 3 US S/R
- 15 PCC (Includes amended filings)
- 0 Exploratory Committee
- 0 FDS
- 0 Initiative/Referendum
- 12 Audit Letters (RFAI) Sent
- 0 Follow-up letters sent
- 3 Cases Resolved
- 1 Case Referred to the Office of General Counsel (Sulamom Brown for Mayor - no response to audit)
- 12 Cases Terminated
 - 4 Hearing Attended (Dawn Cromer, Charles Hawkins, Che Brown, Council Chair Kwame Brown)
 - 0 Outreach Education Seminars Attended
 - 0 Outside Seminars Attended

Periodic Random Audits – Political Action Committees (January 31, 2011 Report)

1. **Yes on Amendment Four Committee** – The Statement of Findings was issued on April 26, 2011.
2. **Stand for Marriage Initiative** – The Audit Notification Letter was issued on March 2, 2011. The Audit staff has referred this to the OCF General Counsel for failing to respond to audit notification letter. Records were due in by March 16, 2011. To-date still no response.
3. **Bud's Pac** – The Statement of Finding was issued on April 8, 2011.

Periodic Random Audits – Lobbyists (July 2010 and January 31, 2011 Lobbyist Activity Reports)

1. **Dan Riffle, Lobbyist – Marijuana Policy Project** - The Final Audit Report was issued on April 5, 2011.

Periodic Random Audits – Constituent Service Programs (October 1, 2011 Report)

The following were chosen at random:

1. **Councilmember Muriel Bowser CSF** – The Final Audit Report was issued on April 29, 2011.
2. **The Common Good (David Catania)** – The Finding Audit Report was issued on April 5, 2011.

Full Field Audit – Investigative Audit

1. **Gray For Mayor** – The records were received on April 18, 2011. The audit fieldwork is in progress.

**2011 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL
May 10, 2011**

	January	February	March	April	May	June	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				1			
Full Investigations Orders Issued				0			
Informal Hearings Referred				57			
Informal Hearings Completed				8			
Informal Hearings Orders Issued				20*			
Petitions for Enforcement Referrals to BOEE				0			

* This figure represents 20 Administrative Dismissals in which no fines were imposed.

Board Statistics for April, 2011
Board Meeting May 10, 2011

1. Actual Matters referred	
PCC	6
PAC's	6
Lobbyist	0
Constituent Services	0
ANC's	45
FDS	0
Statehood Fund	0
RFAI (Audit Division)	
2. Notices of Hearings	81
First Notices	81
Second Notices	0
3. Notices and Orders Vacated	0
4. Hearings Conducted	8
5. Orders which imposed fines	0
6. Fines imposed	0
7. Fines collected	0
8. Investigations completed Orders issued	2
9. Petitions for Enforcement	0

INTERPRETATIVE OPINIONS

May 10, 2011

NONE

(All Interpretative Opinions are on-line.)

LIST OF OPEN OCF INVESTIGATIONS

May 10, 2011

OCF FI 2010-102	Complainant: Vincent Orange Respondent: Kwame Brown At-Large Councilmember Infraction: Conflict of Interest Originated: September 9, 2010 Status: This matter was referred to the Audit Division for a Full Field Audit. A Final Audit Report was issued on April 5, 2011. Pursuant to the Final Audit Report, OCF has initiated investigations regarding the following: (A) 2004 Committee to Elect Kwame Brown and (B) 2008 Committee to Re-Elect Kwame Brown
OCF FI 2011-101	Complainant: Internally Generated January 19, 2011 Respondent: Myla J. Moss Advisory Neighborhood Commissioner 1B01 Infraction: Conflict of Interest Status: Order issued April 7, 2011
OCF FI 2011-102	Complainant: Internally Generated January 19, 2011 Respondent: Eduardo Ferrer Former Advisory Neighborhood Commissioner 1B10 Infraction: Conflict of Interest Status: Order issued May 5, 2011
OCF FI 2011-105(a) &(b)	Complainant: Bob Kabel initiated March 22, 2011 Respondents: Harry Thomas, Jr. and Victoria Leonard- Chambers Councilmember for Ward 5 Infraction: Conflict of Interest Status: Pending
OCF FI 2011-103	Complainant: Internally Generated March 15, 2011 Respondent: Sulaimon Brown for Mayor Principal Campaign Committee Infraction: Reporting Violations Status: Pending

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011
Respondent: Gray for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: Pending

SHOW CAUSE PROCEEDINGS

May 10, 2011

OCF 10P-031

Complainant: William P. Lightfoot
Respondent: Friends of Vincent Gray and ClintonLeSueur
(Unauthorized Committee)
Infraction: Failure to comply with disclosure and
reporting requirements
Originated: July 28, 2010
Status: Order issued May 9, 2011

OCF 11C-004

Complainant: James Fournier
Respondent: Barrie Daneker, Former Advisory Neighborhood
Commissioner
Infraction: Failure to comply with disclosure and
reporting requirements
Originated: March 9, 2011
Status: Order issued May 6, 2011