

March 1, 2017

**OFFICE OF THE DIRECTOR**

February 23, 2017 – Testimony before the Committee on the Judiciary and Public Safety of the Council of the District of Columbia on the OCF FY 16 Performance Report and FY17 Performance Plan.

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
February 2017:

**FINANCIAL REPORTS**

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES**

**January 31<sup>st</sup> Report of Receipts & Expenditures for Active Principal Campaign Committees and Political Action Committees**

Total number of required filers	131(64PCC – 67PAC)*
Total number of timely filers	116(54PCC – 62PAC)
Total number of late filers	0(0PCC – 0PAC)
Total number of extensions requested	2(1PCC – 1PAC)
Total number of extensions granted	2(1PCC – 1PAC)
Total number of FTF	14(10PCC – 4PAC)
Total number of OGC referrals	14(10PCC – 4PAC)
Total number of Electronic Filings	116(54PCC – 62PAC)
Total number of Certified E-Filings	116(54PCC – 62PAC)

\*Seven (7) PCC filings were Termination Reports

**ANC SUMMARY FINANCIAL REPORT**

**February 7, 2017 ANC Summary Financial Report**

Total number of required filers	469
Total number of timely filers	347
Total number of late filers	122
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	122
Total number of OGC referrals	TBD
Total number of Electronic Filings	345
Total number of Certified E-Filings	345

## **REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL**

### ***Failure to Timely File the January 31<sup>st</sup> Report of Receipts & Expenditures***

#### **Principal Campaign Committees**

Toliver for Ward 4  
Emily Gumpert, Treasurer

Re-Brandon Todd for Ward 4  
Benjamin Soto, Treasurer

Zukerberg for Attorney General  
Lenox Ramsey, Treasurer

Friends of Leon T. Andrews Jr.  
Ernest Williams, Treasurer

Patterson for Ward 8  
Jacque Patterson, Treasurer

Holmes for Ward 8  
Stephen Seh, Treasurer

Committee to Elect Natalie Williams  
Natalie Williams, Treasurer

Carter At-Large 2014  
Christian Carter, Treasurer

Cmte. to Elect Khalid Pitts 2014  
Khalid Pitts, Candidate

Brandon Todd for Ward 4  
Ben Soto, Treasurer

#### **Political Action Committees**

DC Chamber of Commerce  
Andrew Kline, Treasurer

Better Leadership  
Richard Tappan, Treasurer

Gertrude Stein Democratic Club Pol. Acct.  
Jessica Pierce, Treasurer

Statehood Yes!  
Judith Batty, Treasurer

#### **NEW CANDIDATES/COMMITTEES**

*February 2017:*

Brianne Nadeau/Brianne for DC 2018 (Ward-1, City Council)	2/2/2017
Lori Parker/Cmte. to Elect Lori Parker for D.C. Council (Ward-1, City Council)	2/7/2017
Dustin Canter/Dustin "DC" Canter for DC Mayor	2/22/2017
Alpha Bah/Alpha Bah Esquire for Mayor	2/23/2017

#### **ENTRANCE CONFERENCE** - PARTICIPANTS ON February 16, 2017

Dustin Canter	Candidate, Dustin "DC" Canter for Mayor
Nia Duggins	Treasurer, Alpha Bah Esquire for Mayor

## REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:  
February 2017

### **DESK REVIEWS AND OTHER ACTIVITIES**

Political Action Committees .....	46
Constituent Service Funds (includes amended reports).....	2
US Senator/Representative.....	0
Principal Campaign Committees (includes amended reports).....	66
Advisory Neighborhood Commission Candidates.....	87
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General.....	1
Audit Letters (RAFI) Sent.....	31
Follow-up letters sent.....	0
Case(s) Resolved.....	9
Cases Referred to the Office of the General Counsel.....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated .....	1
Hearings Attended .....	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended .....	0
In House Training .....	0
Entrance Conference.....	0

### **ONGOING AUDITS**

#### **Full Field Audits – Newly Elected Officials**

- **Brandon Todd for Ward**
- **Vince Gray 2016 (Audit Letter issued on March 1, 2017)**

#### **Periodic Random Audits Candidates in the 2016 Election**

- **Re-Elect Vincent Orange 2016 (January 31, 2016 and March 10, 2016 R&E Reports)**

#### **Periodic Random Audits Political Action Committees (January 31, 2017 Filing**

- D.C. Dental PAC
- D.C. Democratic State Committee
- Ward Three Democrats
- SEIU Local 500

## **AUDITS ISSUED**

**None**

### **OFFICE OF GENERAL COUNSEL**

#### **2017 MONTHLY IN-TAKE/OUTPUT REPORT**

	January	February	March	April	May	June	
Opinion Request Received		0					
Opinion Request Completed		0					
Complaints Received		0					
Internal Queries		0					
Preliminary Investigations Initiated		0					
Preliminary Investigations Orders Issued		0					
Full Investigations Initiated		0					
Full Investigations Orders Issued		1					
Informal Hearings Referred		14					
Informal Hearings Completed		13					
Informal Hearings Orders Issued		13*					
Petitions for Enforcement Referrals to BOE		0					

\*This figure represents 8 Failure to Timely File orders in which no fines were imposed, 1 Request for Additional Information order in which no fine was imposed, 3 Failure to Timely File orders in which fines totaling \$2,700.00 were imposed and 1 order arising from an investigation in which a

fine of \$10,000.00 was imposed. The 3 remaining election complaints noted during the February 2017 report were resolved.

Board Statistics for February, 2017

Board Meeting March 1, 2017

<b><u>PIRM</u></b>	
PCC	10
PAC's	4
ANC's	0
Constituent Services	0
Statehood Fund	0
<b><u>RAAD</u></b>	
PCC	0
PAC's	0
Constituent Services	0
Statehood Fund	0
1. Notices of Hearings	6
First Notices	5
Second Notices	1
2. Notices and Orders Vacated	0
3. Hearings Conducted	4
4. Orders which imposed fines	4
5. Fines imposed	\$12,700.00
1. \$ 2,250.00 D. C. Chamber of Commerce	
2. \$ 250.00 Holmes for Ward 8	
3. \$ 200.00 Re-Elect LaRuby May	
4. \$ 10,000.00 Kelvin J. Robinson	
6. Fines collected	\$700.00
7. Investigations completed	1
Orders issued	
8. Petitions for Enforcement	0

9. Outstanding Fines \$23,550.00\*

- (1) \$1400.00 Bruce Majors for Mayor
- (2) \$2,000.00 Bell for Ward 8
- (3) \$2,500.00 Bell for Ward 8
- (4) \$2,500.00 Bell for Ward 8
- (5) \$2,500.00 Whitaker 4 Ward 4
- (6) \$2,000.00 Whitaker 4 Ward 4
- (7) \$2,500.00 Whitaker 4 Ward 4
- (8) \$1,700.00 Youth Action PAC
- (9) \$600.00 Gertrude Stein Democratic PAC
- (10) \$1,900.00 Youth Action PAC
- (11) \$1,400.00 Youth Action PAC
- (12) \$350.00 Morgan for D.C.
- (13) \$2,200.00 Morgan for D.C

\*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

March 1, 2017

OCF FI 2013-013	Complainant: Internally Generated June 24, 2013 Respondent: Lee Calhoun Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-014	Complainant: Internally Generated June 24, 2013 Respondent: Stanley Straughter Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-015	Complainant: Internally Generated March 28, 2014 Respondent: Jeffrey Thompson Infraction: Campaign contribution violations Status: Pending:
OCF FI 2014-001	Complainant: Internally Generated July 24, 2014 Respondent: Kelvin Robinson Infraction: Campaign contribution violations Status: Issued February 28, 2017

INTERPRETATIVE OPINIONS

March 1, 2017

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

March 1, 2017

NONE