

March 1, 2017

OFFICE OF THE DIRECTOR

February 23, 2017 – Testimony before the Committee on the Judiciary and Public Safety of the Council of the District of Columbia on the OCF FY 16 Performance Report and FY17 Performance Plan.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
February 2017:

FINANCIAL REPORTS

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

January 31st Report of Receipts & Expenditures for Active Principal Campaign Committees and Political Action Committees

Total number of required filers	131(64PCC – 67PAC)*
Total number of timely filers	116(54PCC – 62PAC)
Total number of late filers	0(0PCC – 0PAC)
Total number of extensions requested	2(1PCC – 1PAC)
Total number of extensions granted	2(1PCC – 1PAC)
Total number of FTF	14(10PCC – 4PAC)
Total number of OGC referrals	14(10PCC – 4PAC)
Total number of Electronic Filings	116(54PCC – 62PAC)
Total number of Certified E-Filings	116(54PCC – 62PAC)

*Seven (7) PCC filings were Termination Reports

ANC SUMMARY FINANCIAL REPORT

February 7, 2017 ANC Summary Financial Report

Total number of required filers	469
Total number of timely filers	347
Total number of late filers	122
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	122
Total number of OGC referrals	TBD
Total number of Electronic Filings	345
Total number of Certified E-Filings	345

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the January 31st Report of Receipts & Expenditures

Principal Campaign Committees

Toliver for Ward 4
Emily Gumpert, Treasurer

Re-Brandon Todd for Ward 4
Benjamin Soto, Treasurer

Zukerberg for Attorney General
Lenox Ramsey, Treasurer

Friends of Leon T. Andrews Jr.
Ernest Williams, Treasurer

Patterson for Ward 8
Jacque Patterson, Treasurer

Holmes for Ward 8
Stephen Seh, Treasurer

Committee to Elect Natalie Williams
Natalie Williams, Treasurer

Carter At-Large 2014
Christian Carter, Treasurer

Cmte. to Elect Khalid Pitts 2014
Khalid Pitts, Candidate

Brandon Todd for Ward 4
Ben Soto, Treasurer

Political Action Committees

DC Chamber of Commerce
Andrew Kline, Treasurer

Better Leadership
Richard Tappan, Treasurer

Gertrude Stein Democratic Club Pol. Acct.
Jessica Pierce, Treasurer

Statehood Yes!
Judith Batty, Treasurer

NEW CANDIDATES/COMMITTEES

February 2017:

Brianne Nadeau/Brianne for DC 2018 (Ward-1, City Council)	2/2/2017
Lori Parker/Cmte. to Elect Lori Parker for D.C. Council (Ward-1, City Council)	2/7/2017
Dustin Canter/Dustin "DC" Canter for DC Mayor	2/22/2017
Alpha Bah/Alpha Bah Esquire for Mayor	2/23/2017

ENTRANCE CONFERENCE - PARTICIPANTS ON February 16, 2017

Dustin Canter	Candidate, Dustin "DC" Canter for Mayor
Nia Duggins	Treasurer, Alpha Bah Esquire for Mayor

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
February 2017

DESK REVIEWS AND OTHER ACTIVITIES

Political Action Committees	46
Constituent Service Funds (includes amended reports).....	2
US Senator/Representative.....	0
Principal Campaign Committees (includes amended reports).....	66
Advisory Neighborhood Commission Candidates.....	87
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General.....	1
Audit Letters (RAI) Sent.....	31
Follow-up letters sent.....	0
Case(s) Resolved.....	9
Cases Referred to the Office of the General Counsel.....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	1
Hearings Attended	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	0
In House Training	0
Entrance Conference.....	0

ONGOING AUDITS

Full Field Audits – Newly Elected Officials

- **Brandon Todd for Ward**
- **Vince Gray 2016 (Audit Letter issued on March 1, 2017)**

Periodic Random Audits Candidates in the 2016 Election

- **Re-Elect Vincent Orange 2016 (January 31, 2016 and March 10, 2016 R&E Reports)**

Periodic Random Audits Political Action Committees (January 31, 2017 Filing

- D.C. Dental PAC
- D.C. Democratic State Committee
- Ward Three Democrats
- SEIU Local 500

AUDITS ISSUED

None

OFFICE OF GENERAL COUNSEL

2017 MONTHLY IN-TAKE/OUTPUT REPORT

	January	February	March	April	May	June	
Opinion Request Received		0					
Opinion Request Completed		0					
Complaints Received		0					
Internal Queries		0					
Preliminary Investigations Initiated		0					
Preliminary Investigations Orders Issued		0					
Full Investigations Initiated		0					
Full Investigations Orders Issued		1					
Informal Hearings Referred		14					
Informal Hearings Completed		13					
Informal Hearings Orders Issued		13*					
Petitions for Enforcement Referrals to BOE		0					

*This figure represents 8 Failure to Timely File orders in which no fines were imposed, 1 Request for Additional Information order in which no fine was imposed, 3 Failure to Timely File orders in which fines totaling \$2,700.00 were imposed and 1 order arising from an investigation in which a

fine of \$10,000.00 was imposed. The 3 remaining election complaints noted during the February 2017 report were resolved.

Board Statistics for February, 2017
Board Meeting March 1, 2017

PIRM

PCC	10
PAC's	4
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	0
PAC's	0
Constituent Services	0
Statehood Fund	0

1. Notices of Hearings	6
First Notices	5
Second Notices	1
2. Notices and Orders Vacated	0
3. Hearings Conducted	4
4. Orders which imposed fines	4
5. Fines imposed	\$12,700.00
1. \$ 2,250.00 D. C. Chamber of Commerce	
2. \$ 250.00 Holmes for Ward 8	
3. \$ 200.00 Re-Elect LaRuby May	
4. \$ 10,000.00 Kelvin J. Robinson	
6. Fines collected	\$700.00
8. Investigations completed	1
Orders issued	
9. Petitions for Enforcement	0

10. Outstanding Fines \$23,550.00*

- (1) \$1400.00 Bruce Majors for Mayor
- (2) \$2,000.00 Bell for Ward 8
- (3) \$2,500.00 Bell for Ward 8
- (4) \$2,500.00 Bell for Ward 8
- (5) \$2,500.00 Whitaker 4 Ward 4
- (6) \$2,000.00 Whitaker 4 Ward 4
- (7) \$2,500.00 Whitaker 4 Ward 4
- (8) \$1,700.00 Youth Action PAC
- (9) \$600.00 Gertrude Stein Democratic PAC
- (10) \$1,900.00 Youth Action PAC
- (11) \$1,400.00 Youth Action PAC
- (12) \$350.00 Morgan for D.C.
- (13) \$2,200.00 Morgan for D.C

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

March 1, 2017

- OCF FI 2013-013 Complainant: Internally Generated June 24, 2013
Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Pending
- OCF FI 2013-014 Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Pending
- OCF FI 2013-015 Complainant: Internally Generated March 28, 2014
Respondent: Jeffrey Thompson
Infraction: Campaign contribution violations
Status: Pending:
- OCF FI 2014-001 Complainant: Internally Generated July 24, 2014
Respondent: Kelvin Robinson
Infraction: Campaign contribution violations
Status: Issued February 28, 2017

INTERPRETATIVE OPINIONS

March 1, 2017

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

March 1, 2017

NONE