

November 14, 2019

OFFICE OF THE DIRECTOR

COMMUNITY OUTREACH

OCF's Educational Program shared information about the agency's Traditional Campaign Finance Program and the new Fair Elections Program through its community outreach program. The agency partners with the Board of Elections in its efforts to increase voter registration through community outreach. OCF's Supervisory Training Officer, Deloris Ramsey, along with two OCF Administrative Assistants participated in the following Community Outreach events:

- October 12, 2019 – Discussions and Literature were shared with Petworth Community Advisory Neighborhood (ANC) 4 D's Fall Festival located at 9th and Illinois Avenue, N.W.

OFFICE OF THE GENERAL COUNSEL (See Attachments)

FAIR ELECTIONS PROGRAM DIVISION

The following is an accounting of FEPs production stats:
October 2019:

- October 9, 2019 – Fifteenth candidate (Gaston 2020) - Ward 8 State Board of Education registered for FEP
- October 10, 2019 Sixteenth candidate (Committee to Elect Stuart Anderson 2020) - Ward 8 Council Member seat registered for FEP
- October 18, 2019 – Seventeenth candidate (Chander for Council) At-Large Council Member seat registered for FEP
- October 18, 2019 - Eighteenth candidate (Holcomb for SBOE) - Ward 8 State Board of Education registered for FEP
- October 30, 2019 - Nineteenth candidate (Christopher Cole for Ward 8) - Council Member registered for FEP

Eighteen (18) Reports were filed in October.

Committee Name	Report Name	File Date of Reports
Jordan Grossman for Ward 2	October 10 th Report	October 11, 2019
Patrick Kennedy for Ward 2	October 10 th Report	October 11, 2019
John Fanning for Ward 2	October 10 th Report	October 24, 2019
Daniel Hernandez For Ward 2	October 10 th Report	October 10, 2019
Yilin Zhang for Ward 2	October 10 th Report	October 25, 2019
Kishan For DC 2020	October 10 th Report	October 10, 2019
Markus for DC	October 10 th Report	October 11, 2019
Committee to Elect Taurus Phillips	October 10 th Report	October 12, 2019
Gaston 2020	October 10 th Report	October 12, 2019
Green for Ward 7	October 10 th Report	October 10,2019
Green for Ward 7	Amended July 31 st Report	October 17, 2019
Committee to Elect Janeese Lewis George	October 10 th Report	October 11, 20019
Kelvin Brown for DC Council Ward 7	October 10 th Report	October 15, 2019
Patrick Kennedy for Ward 2	October 10 th Report	October 20, 2019
Rosenberg 2020	October 10 th Report	October 18, 2019
Jordan Grossman for Ward 2	October 10 th Report	October 20, 2019
Dale for DC	October 10 th Report	October 31, 2019
Green for Ward 7	October 10 th Report	October 31, 2019

Authorized Matching Payments issued in October 2019.

Committee Name	Payment Description	Report	OCF Approval Date	Payment Amount
John Fanning for Ward 2*	Matching Funds	Amended July 31 st Report	October 9, 2019	\$750.00
Jordan Grossman for Ward 2*	Matching Funds	Amended July 31 st Report	October 9, 2019	\$1,425.00
Committee to Elect Janeese Lewis George	Matching Funds	October 10 th Report	October 17, 2019	\$3,720.00
Jordan Grossman for Ward 2	Matching Funds	October 10 th Report	October 17, 2019	\$6,0993.00
Patrick Kennedy for Ward 2	Matching Funds	October 10 th Report	October 17, 2019	\$5,210.00
Kishan For DC 2020	Matching Funds	October 10 th Report	October 17, 2019	\$4,361.00

- Issued Two (2) Supplemental Recommendations to the Director for Matching Payments to A Certified Participating Candidate in The Fair Elections Program.

Issued Nine (9) Status Reports for Candidates Who Have Indicated Intent to Seek Certification in The Fair Elections Program.

FAIR ELECTION PROGRAM OUTREACH:

October 1, 2019 - ANC 4A02 MPD 4th District Community Rm at 6001 Georgia Ave., NW

October 8, 2019 – ANC 2C01 John A. Wilson Bldg. 1350 Pennsylvania Ave., NW

October 10, 2019 - ANC 3B – Stoddert Elementary School 4001 Calvert Street NW

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
October 2019:

CONSTITUENT SERVICE PROGRAMS
October 1st Report of Receipts & Expenditures

Total number of required filers	9
Total number of timely filers	9
Total number of late filers	0
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	9
Total number of Certified E-Filings	9

SENATOR/REPRESENTATIVE STATEHOOD FUNDS
October 1st Report of Receipts & Expenditures

Total number of required filers	3
Total number of timely filers	3
Total number of late filers	0
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	3
Total number of Certified E-Filings	3

FAIR ELECTIONS PROGRAM PRINCIPAL CAMPAIGN COMMITTEES
October 10th Report of Receipts & Expenditures

Total number of required filers	15
Total number of timely filers	13
Total number of late filers	2
Total number of extensions requested	5
Total number of extensions granted	5
Total number of FTF	0
Total number of OGC referrals	2
Total number of Electronic Filings	15
Total number of Certified E-Filings	15

INDEPENDENT EXPENDITURE COMMITTEES & PACs
October 10th Report of Receipts & Expenditures

Total number of required filers	59(54PACs – 5IEs)
Total number of timely filers	53(50PACs – 3IEs)
Total number of late filers	4(3PACs – 1IEs)
Total number of extensions requested	0(0PACs – 0IEs)
Total number of extensions granted	0(0PACs – 0IEs)
Total number of FTF	2(1PACs – 1IEs)
Total number of OGC referrals	6(4PACs – 2IEs)
Total number of Electronic Filings	57(53PACs – 4IEs)
Total number of Certified E-Filings	57(53PACs – 4IEs)

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the October 10th Report of Receipts & Expenditures

FAIR ELECTIONS COMMITTEES (PCCS)

Dale for DC
 Anthony Dale, Treasurer

Rosenburg 2020
 Nathaniel Moone, Treasurer

POLITICAL ACTION COMMITTEES

Gertrude Stein Democratic Club Political Account
 Earl Fowlkes, Treasurer

Ward One Democrats
 Daniel Orlaskey, Treasurer

Save Our Votes
 Sigute Melius, Treasurer

Seniors United PAC
 Violet Harris, Treasurer

INDEPENDENT EXPENDITURE COMMITTEES

DC Choice
 Daye Pope, Treasurer

Save Our Votes, I.E.
 Gaylan Hagler, Treasurer

NEW CANDIDATES/COMMITTEES

October 2019:

D.C. Libertarian Party	10/1/2019
Darrell Gaston/Gaston 2020 (SBOE, Ward-8)	10/9/2019
Stuart Anderson/Cmte. To Elect Stuart Anderson 2020 (City Council, Ward-8)	10/10/2019
Chander Jayaraman/Chander for Council (City Council, At-Large)	10/18/2019
Tyrell Holcomb/Holcomb for SBOE (SBOE, Ward-7)	10/22/2019
Richard Campbell/Richard Campbell Ward 8 City Council	10/29/2019
Christopher Cole/Christopher Cole for Ward 8 (City Council, Ward-8)	10/30/2019

ENTRANCE CONFERENCE

October 9, 2019 (3) Participants

Michael Talley	Treasurer, Ward 5 Democrats Committee
Ethan Bishop	Treasurer, D.C. Libertarian Party
Yilin Zhang	Candidate, Yilin Zhang for Ward 2

October 23, 2019 (6) Participants

Edward Ertel	Treasurer, Chander for Council
Dawn Slonnezer	Asst. Treasurer, Chander for Council
Chander Jayaraman	Candidate, Chander for Council
William A. Martin, Jr.	Treasurer, FoodService PAC
Stuart Anderson	Candidate, Committee to Elect Stuart Anderson
Reginia Summers	Treasurer, Committee to Elect Stuart Anderson

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
October 2019:

DESK REVIEWS (88) AND OTHER ACTIVITIES

Political Action Committees (includes amended reports).....	62
Constituent Service Funds (includes amended reports).....	12
US Senator/Representative.....	5
Principal Campaign Committees (includes amended Reports).....	1
Advisory Neighborhood Commission Candidates.....	0
Inaugural Committee.....	0
Exploratory Committees.....	0
Independent Expenditures.....	7
Twenty-four Hour Reports.....	0
Attorney General.....	1
Audit Letters (RFAI) Sent.....	15
Follow-up letters Sent.....	0
Case(s) Resolved.....	13
Cases Referred to the Office of the General Counsel.....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended (Art Lloyd)	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	1
Outside Seminars Attended	0

In House Training	0
Entrance Conferences.....	2
Public Finance Planning Committee Meeting.....	0

Ongoing Audits:

Full Field Audits

- (1) **Committee to Elect Zachary Parker 2018** – Initiated 03/05/19
- (2) **Emily for Education** – Initiated 03/04/19

Periodic Random Audits

- (1) **Re-Elect Brandon Todd 2020** – Initiated September 4, 2019
- (2) **DC Democratic State Committee** – Initiated September 10, 2019
- (3) **Phil’s Fund Constituent Service Fund** – Initiation 11/07/19
- (4) **Ward 3 Constituent Service Fund** – Initiated October 17, 2019
- (5) **Evans Constituent Service Fund** – Initiated October 25, 2019

Audits Issued

- (1) **Gertrude Stein Political Action Committee** – Issued 10/24/19

2019 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				8			
Informal Hearings Completed				6			
Informal Hearings Orders Issued				6*			
Petitions for Enforcement Referrals to BOE				0			

*This figure represents 3 Failure to Timely File orders in which no fines were imposed and 3 orders in which the Notices of Hearings and Statements of Violations were vacated.

PIRM

PCC	2(FEP)
PAC's	4
ANC's	0
500 Exemption	0
Constituent Services	0
Statehood Fund	0
Initiatives	0
Referendum	0
Independent Expenditure	2

RAAD

PCC	0
PAC's	0
Constituent Services	0
Statehood Fund	0

1. Notices of Hearings	22
First Notices	3
Second Notices	19
2. Notices and Orders Vacated	0
3. Hearings Conducted	1
4. Orders which imposed fines	0
5. Fines imposed	0
Fines collected	\$100.00
Gayle Carley	\$100.00
6. Investigations completed	0
Orders issued	
7. Petitions for Enforcement	0
8. Outstanding Fines	To be Determined*

INTERPRETATIVE OPINIONS

November 14, 2019

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

LIST OF OPEN OCF INVESTIGATIONS

November 14, 2019

OCF FI 2019-001 Complainant: Commissioner Edward Hanlon,

Date: September 5, 2019

Respondents: Advisory Neighborhood Commissioners
Daniel Warwick (SMD 2B02); Aaron Landry (SMD 2B04);
Randy Downs (SMD 2B05); Mathew Sampson (SMD 2B01);
Mike Silverstein (SMD 2B06); Kari Cunningham (SMD 2B07)

Infraction: Inappropriate use of government resources

Status: Pending

SHOW CAUSE PROCEEDINGS

November 14, 2019

NONE