

April 6, 2016

**OFFICE OF THE DIRECTOR**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
March 2016:

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES**

**March 10, 2016 Report of Receipts and Expenditures**

Total number of required filers.....	76(31PCCs – 45PACs)
Total number of timely filers.....	72(30PCCs – 42PACs)*
Total number of extensions requested.....	1(1PCCs – 0PACs)
Total number of extensions granted.....	1(1PCCs – 0PACs)
Total number of Late filers.....	3(1PCCs – 2PACs)
Total number of FTF.....	1(0PCCs – 1PACs)
Total number of OGC referrals.....	4(1PCCs – 3PACs)

<i>Total number of Electronic Filings.....</i>	<i>75(31PCC – 44PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>75(31PCC – 44PACs)</i>

*\*1 PCC filings were termination reports*

**Referrals to the Office of the General Counsel**

*Failure to Timely File the March 10<sup>th</sup> Report of Receipts & Expenditures*

**Principal Campaign Committees**

Carolina 2016  
Carolina Celnik, Treasurer

**Political Action Committees**

Gertrude Stein Democratic Political Acct.  
Jessica Pierce, Treasurer

DC Young Democrats  
Camille Glover, Treasurer

Metro. Reg. Council of Carpenters Washington, DC PAC  
Edward Coreyell, Treasurer

## **New Candidates/Committees**

*March 2016*

Carolina Celnik/Carolina 2016 (At-Large, City Council).....02/29/2016  
Maurice Dickens/Dickens for Ward 8 Council (Ward-8, City Council).....02/29/2016  
Markus Batchelor/Markus for Ward8 (Ward-8, School Board).....03/02/2016  
Stephen Jackson (Ward-6, D.C. Republican Committee).....03/03/2016  
Taji Anderson (At-Large, City Council).....03/03/2016  
Asha Carter/The Committee to Elect Asha Carter (At-Large, City Council).....03/03/2016  
Christopher Hawthorne/Elect Christopher Hawthorne 4 Ward 8 (Ward-8, City Council)3/3/2016  
Karen Lucas (Ward-8, City Council).....03/03/2016  
Robert Richards (Ward-7, City Council).....03/08/2016  
Karen Williams/Karen for 7 SBOE (Ward-7, School Board).....03/08/2016  
Jack Jacobson/Jacobson 2016 (Ward-2, School Board).....03/10/2016  
Joe Brookman (Ward-5, D.C. Republican Committee).....03/11/2016  
Tony Donaldson/Friends for Tony Donaldson (At-Large, School Board).....03/22/2016

## **Initiative Committee**

Citizens Committee in Support of the Limited Gaming Initiative of 2016.....03/11/2016

## **Entrance Conference Participants on March 9, 2016**

Emily Hammell	(Treasurer, Jolly for Ward 8)
Tierra Jolly	(Candidate, Jolly for Ward 8)
Michael Herndon	(Treasurer, Friends of Leon Andrews 2016)
Leon Andrews	(Candidate, Friends of Leon Andrews 2016)
Chuck Thies	(Treasurer, Vince Gray 2016)
Franklin Garcia	(Candidate/Treasurer, Franklin for DC 2016)
Robert E. Hyman	(Candidate, D.C. Republican Committee Ward-3)
Michael McHugh	(Candidate, D.C. Republican Committee Ward-1)
Art Slater	(Treasurer, Markus for Ward 8)
Maurice Dickens	(Candidate/Treasurer, Dickens for Ward 8)
Karen Y. Lucas	(Candidate, City Council Ward-8)

## **Entrance Conference Participants on March 23, 2016**

Karen Lee Williams	(Candidate, Karen for 7 SBOE)
Vincent C. Gray	(Candidate, Vince Gray 2016)
Jack Jacobson	(Candidate, Jacobson 2016)
Ernest Williams	(Treasurer, Friends of Leon Andrews 2016)
Sheila H. Brown	(Treasurer, Karen for 7 SBOE)
G. Lee Aikin	(Candidate, City Council At-Large)
Barry E. Jerrels	(Treasurer, Citizens Committee in Support of the Limited Gaming Initiative of 2016)
Donald Godin Wharton	(Treasurer, Aikin Committee)

## **REPORTS ANALYSIS AND AUDIT DIVISON**

The following is an accounting of RAAD's production stats:

### **Reviews Completed – 85**

Political Action Committees (includes amended filings).....	48
Constituent Service Funds .....	0
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	36
Inaugural Committee.....	0
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Pre- Election Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General.....	1
Audit Letters (RFAI) Sent.....	25
Follow-up letters sent.....	0
Case(s) Resolved.....	5
Cases Referred to the Office of General Counsel .....	3
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated .....	0
Hearings Attended .....	0
Site Visits.....	0
Outside Seminars Attended .....	0
In House Training.....	0
Entrance Conferences.....	2

### **Ongoing Audits:**

#### **Full Field Audits**

- **LaRuby May 2015**
- **Brandon Todd for Ward 4**
- **Brianne for DC**
- **Elissa 2014**

#### **Periodic Random Audits Political Action Committees – January 31, 2016 Filing**

- **Local 25 General Political Account**

#### **Periodic Random Audits Candidates in the 2016 Election (Audit Letters Issued 03/24/16)**

- **Potillo for Council 2016**
- **Trayon White for Ward 8 2016**
- **Robert White At-Large 2016**
- **Re-Elect Vincent Orange 2016**
- **Grosso At-Large 2016**

**Audits Issued**

- **Higher Ground PAC – issued March 14, 2016**
- **DC First PAC – issued March 14, 2016**
- **ABC of Metro PAC – issued March 14, 2016**
- **Alexander Constituent Fund – issued March 16, 2016**

**OFFICE OF THE GENERAL COUNSEL****2016 MONTHLY IN-TAKE/OUTPUT REPORT****OFFICE OF GENERAL COUNSEL**

	January	February	March	April	May	June	
Opinion Request Received			0				
Opinion Request Completed			0				
Complaints Received			0				
Internal Queries			0				
Preliminary Investigations Initiated			0				
Preliminary Investigations			0				

Orders Issued							
Full Investigations Initiated			0				
Full Investigations Orders Issued			0				
Informal Hearings Referred			7				
Informal Hearings Completed			12				
Informal Hearings Orders Issued			17*				
Petitions for Enforcement Referrals to BOE			0				

\*This figure represents 10 Failure to Timely File orders in which no fines were imposed, 5 Failure to Timely File order in which fines totaling \$8,950.00 were imposed and 2 Failure to Timely Respond to Requests For Additional Information orders in which fines totaling \$3,300.00 were imposed.

#### **Board Statistics for March, 2016**

#### **Board Meeting April 6, 2016**

##### **1. Actual Matters referred PIRM**

PCC	1
PAC's	3
ANC's	0
Constituent Services	0
Statehood Fund	0

**RAAD**

PCC	1
PAC's	2
Constituent Services	0
Statehood Fund	0
2. Notices of Hearings	52
First Notices	40
Second Notices	12
3. Notices and Orders Vacated	0
4. Hearings Conducted	12
5. Orders which imposed fines	7
6. Fines imposed	1. \$2,100.00 Bell for Ward4 2. \$2,100.00 Whitaker 4 Ward 4 3. \$2,100.00 Barry for Council 4. \$550.00 Trayon White for Ward 8 5. \$2,100.00 Toliver for Ward 4 6. \$1,850.00 Re-Elect Yvette Alexander 2016 7. \$1,450.00 Yvette Alexander CSP
7. Fines collected	1. \$200.00 Committee to Elect Ron Moten
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0
10. Outstanding Fines	\$27,750.00*

- (1) \$1,400.00 Carter At-Large 2014
- (2) \$1400.00 Committee to Elect Villareal Johnson
- (3) \$1400.00 Bruce Majors for Mayor

(4)	\$2,000.00	Bell for Ward 8
(5)	\$2,500.00	Bell for Ward 8
(6)	\$2,500.00	Bell for Ward 8
(7)	\$2,500.00	Whitaker 4 Ward 4
(8)	\$2,000.00	Whitaker 4 Ward 4
(9)	\$2,500.00	Whitaker 4 Ward 4
(10)	\$1,700.00	Youth Action PAC
(11)	\$600.00	Gertrude Stein Democratic PAC
(12)	\$1,900.00	Youth Action PAC
(13)	\$ 1,400.00	Gertrude Stein Democratic PAC
(14)	\$1,400.00	Youth Action PAC
(15)	\$350.00	Morgan for D.C.
(16)	\$2,200.00	Morgan for D.C
(17)	\$2,500.00	Gaston 2015

\*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

April 6, 2016

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Pending

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Pending

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations  
the Lee Calhoun investigation remains ongoing

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations

Status: Due to current criminal investigations  
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015

Complainant: Internally Generated March 28, 2014

Respondent: Jeffrey Thompson

Infraction: Campaign contribution violations

Status: Due to current criminal investigations  
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001

Complainant: Internally Generated July 24, 2014

Respondent: Kelvin Robinson

Infraction: Campaign contribution violations

Status: Pending



OCF FI 2014-002

Complainant: Internally Generated July 24, 2014

Respondent: Jeff Smith

Infraction: Campaign contribution violations

Status: Pending

INTERPRETATIVE OPINIONS

April 6, 2016

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

April 6, 2016

NONE