

November 18, 2016

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
October 2016

**PRINCIPAL CAMPAIGN COMMITTEES & PACs  
October 10<sup>th</sup> Report of Receipts & Expenditures  
(Due on October 11, 2016)**

Total number of required filers	89(41PCC – 48PAC)
Total number of timely filers	77(*34PCC – 43PAC)
Total number of late filers	7(3PCC – 4PAC)
Total number of extensions requested	0(0PCC – 0PAC)
Total number of extensions granted	0(0PCC – 0PAC)
Total number of FTF	5(4PCC – 1PAC)
Total number of OGC referrals	12(7PCC – 5PAC)
Total number of Electronic Filings	84(37PCC – 47PAC)
Total number of Certified E-Filings	84(37PCC – 47PAC)

**\*Three (3) of the PCC filings and one (1) exploratory filing were termination reports.**

**8 Day Pre General Election Report of Receipts & Expenditures  
(Due on October 31, 2016)**

Total number of required filers	74(26PCC – 48PAC)
Total number of timely filers	*62(21PCC – 41PAC)
Total number of late filers	1(0PCC – 1PAC)
Total number of extensions requested	0(0PCC – 0PAC)
Total number of extensions granted	0(0PCC – 0PAC)
Total number of FTF	11(5PCC – 6PAC)
Total number of OGC referrals	TBD
Total number of Electronic Filings	63(21PCC – 42PAC)
Total number of Certified E-Filings	63(21PCC – 42PAC)

**\*Two (2) of the PCC filings were termination reports.**

**REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL**

*Failure to attend OCF Entrance Conference*

**Principal Campaign Committees**

Chesley for DC Council Ward 7  
Samuel Hughes, Treasurer

Bachner Committee 2016  
Carrie Bachner, Treasurer

The Committee to Elect Randy Short 2016  
Sakhele Seshibe, Treasurer

Dean for School Board Ward 7  
Marla Dean, Treasurer

Philo Collins for Representative  
Philo Collins, Treasurer

Friends of Jimmy Calomiris  
James Calomiris, Treasurer

Drew for DC  
Kimberly Higginbotham, Treasurer

**Political Action Committees**

Gertrude Stein Democratic Political Acct.  
Jessica Pierce, Treasurer

Ward 5 Democrats  
Gayle Hall Carley, Treasurer

DC Working Families  
D. Morris Michael, Treasurer

DC Chamber of Commerce  
Andrew J. Kline, Treasurer

Campaign Committee for Working Families (I.E. Cmte)  
George Gilbert, Treasurer

**REPORTS ANALYSIS AND AUDIT DIVISION (RAAD)**

The following is an accounting of RAAD’s production stats:  
October 2016

**DESK REVIEWS AND OTHER ACTIVITIES**

Political Action Committees .....	57
Constituent Service Funds (includes amended reports).....	11
US Senator/Representative.....	3
Principal Campaign Committees (includes amended reports).....	34
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	1
Attorney General.....	1
Audit Letters (RFAI) Sent.....	26
Follow-up letters sent.....	0

Case(s) Resolved.....	5
Cases Referred to the Office of the General Counsel.....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated .....	0
Hearings Attended .....	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	1
Outside Seminars Attended .....	0
In House Training .....	0
Entrance Conference.....	0

**ONGOING AUDITS**

**Full Field Audits – Newly Elected Officials**

- Brandon Todd for Ward 4

**Periodic Random Audits - Candidates in the 2016 Election**

- **Re-Elect Vincent Orange 2016** (*January 31, 2016 and March 10, 2016 R&E Reports*)
- Carter for DC (August 10, 2016 R&E Report)

**Periodic Random Audits - Constituent Service Programs (October 1, 2016 filing)**

- Office of LuRuby May CSP
- Evans Constituent Service Fund
- Mary Cheh Ward 3 CSF

**AUDITS ISSUED**

- Brianne for DC – issued 10/25/16
- Friends of Jimmy Calomiris – issued 10/25/16

2016 MONTHLY IN-TAKE/OUTPUT REPORT  
OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received				1			
Opinion Request Completed				1			
Complaints Received							
Internal Queries				0			
Preliminary Investigations				0			

Initiated							
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				12			
Informal Hearings Completed				17*			
Informal Hearings Orders Issued				17			
Petitions for Enforcement Referrals to BOE				0			

\*This figure represents 5 Failure to Timely File orders in which no fines were imposed and 12 Failure to Timely File orders in which a total of \$17,750.00 in fines were imposed.

Board Statistics for October, 2016  
Board Meeting November 18, 2016

1. Actual Matters referred

**PIRM**

PCC 7  
PAC's 5  
ANC's 0  
Constituent Services 0  
Statehood Fund 0

**RAAD**

PCC 0  
PAC's 0  
Constituent Services 0  
Statehood Fund 0

2. Notices of Hearings 41  
First Notices 26

Second Notices	15	
3. Notices and Orders Vacated	0	
4. Hearings Conducted	5	
5. Orders which imposed fines	12	
6. Fines imposed		\$1,750.00
1199 SEIU		\$ 600.00
Gertrude Stein		\$ 1,100.00
Vote Carter 2016		\$ 2,100.00
Toliver for Ward 4		\$1,500.00
Zuckerberg for AG		\$ 1,500.00
Paterson for Ward 8		\$1,500.00
Committee to Elect Judi Jones		\$ 450.00
Committee to Elect Natalie Williams		\$ 1,500.00
Bell for Ward 8		\$2,000.00
Whitaker for Ward 4		\$2,500.00
Carter At-Large 2014		\$1,600.00
Committee to Elect Khalid Pitts		\$2,000.00
7. Fines collected		\$500.00
8. Investigations completed	0	
Orders issued		
9. Petitions for Enforcement	0	
10. Outstanding Fines		\$26,350.00*
	(1)	\$1,400.00 Carter At-Large 2014
	(2)	\$1400.00 Bruce Majors for Mayor
	(3)	\$2,000.00 Bell for Ward 8
	(4)	\$2,500.00 Bell for Ward 8
	(5)	\$2,500.00 Bell for Ward 8
	(6)	\$2,500.00 Whitaker 4 Ward 4
	(7)	\$2,000.00 Whitaker 4 Ward 4
	(8)	\$2,500.00 Whitaker 4 Ward 4
	(9)	\$1,700.00 Youth Action PAC
	(10)	\$600.00 Gertrude Stein Democratic PAC
	(11)	\$1,900.00 Youth Action PAC
	(12)	\$ 1,400.00 Gertrude Stein Democratic PAC
	(13)	\$1,400.00 Youth Action PAC
	(14)	\$350.00 Morgan for D.C.

(15)\$2,200.00 Morgan for D.C

(16)\$2,500.00 Gaston 2015

\*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

November 18, 2016

OCF FI 2013-013	Complainant: Internally Generated June 24, 2013 Respondent: Lee Calhoun Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-014	Complainant: Internally Generated June 24, 2013 Respondent: Stanley Straughter Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-015	Complainant: Internally Generated March 28, 2014 Respondent: Jeffrey Thompson Infraction: Campaign contribution violations Status: Pending:
OCF FI 2014-001	Complainant: Internally Generated July 24, 2014 Respondent: Kelvin Robinson Infraction: Campaign contribution violations Status: Pending
OCF FI 2014-002	Complainant: Internally Generated July 24, 2014 Respondent: Jeff Smith Infraction: Campaign contribution violations Status: Administratively Suspended

INTERPRETATIVE OPINIONS

November 18, 2016

#016-02	Requestors: Angie M. Gates and Lawrence Cooper
	Submitted: October 24, 2016
	Issue: Activities Related to Advisory Ballot Referendum
Status:	Issued October 31, 2016

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

November 18, 2016

NONE