

November 1, 2017

OFFICE OF THE DIRECTOR

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:

October 2017:

FINANCIAL REPORTS

CONSTITUENT SERVICE PROGRAMS, SENATOR/REPRESENTATIVE STATEHOOD FUNDS, INDEPENDENT EXPENDITURE AND POLITICAL ACTION COMMITTEES

October 1, 2017 Report of Receipts & Expenditures for Constituent Service Programs and Senator/Representative Statehood Funds; and October 10, 2017 Report of Receipts and Expenditures for Independent Expenditure and Political Action Committees

CONSTITUENT SERVICE PROGRAM

(Due on October 2, 2017)

Total number of required filers	9
Total number of timely filers	9
Total number of late filers	0
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	9
Total number of Certified E-Filings	9

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

(Due on October 1, 2017)

Total number of required filers	3
Total number of timely filers	3

Total number of late filers	0
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	3
Total number of Certified E-Filings	3

**INDEPENDENT EXPENDITURE COMMITTEES & POLITICAL ACTION COMMITTEES
(Due on October 10, 2017)**

Total number of required filers	55(49PACs – 6IEs)
Total number of timely filers	53(47PACs – 6*IEs)
Total number of late filers	0(0PACs – 0IEs)
Total number of extensions requested	0(0PACs – 0IEs)
Total number of extensions granted	0(0PACs – 0IEs)
Total number of FTF	2(2PACs – 0IEs)
Total number of OGC referrals	2(2PACs – 0IEs)
Total number of Electronic Filings	53(47PACs – 6IEs)
Total number of Certified E-Filings	53(47PACs – 6IEs)

**One Independent Expenditure Committee filed a Termination R&E Report*

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the October 10th Report of Receipts & Expenditures

Political Action Committees

Better Leadership
Richard Tappn, Treasurer

Gertrude Stein Democratic Club Pol. Acct.
Jessica Pierce, Treasurer

NEW CANDIDATES/COMMITTEES PARTICIPATING IN THE 2018 ELECTION CYCLE

October 2017:

Lisa Hunter/Lisa Hunter for Ward 6 (City Council,) _____ 10/3//2017
Dionne Bussey-Reeder/Dionne For DC (City Council, At-Large) _____ 10/5/2017
Michael Bekesha/Bekesha 2018 (City Council, Ward-6) _____ 10/11/2017
Phil Mendelson/Mendelson for Chairman 2018 (City Council) _____ 10/17/2017
Jamie Sycamore/Friends of Jamie Sycamore (City Council, Ward-1) _____ 10/24/2017
Aaron Holmes/Holmes for DC(City Council, At-Large) _____ 10/25/2017

ENTRANCE CONFERENCES

October 11, 2017

Lisa Hunter	Candidate, Lisa Hunter for Ward 6
Silvia M. Diaz	Treasurer, Lisa Hunter for Ward 6

October 13, 2017

Muriel Bowser	Candidate, Re-Elect Muriel Bowser Our Mayor
Jodi Ovca	Treasurer, Re-Elect Muriel Bowser Our Mayor
Charles Allen	Candidate, Re-Elect Charles Allen for Ward 6
Kenyan McDuffie	Candidate, Kenyan McDuffie 2018
John Hardage	Treasurer, BB&T PAC

October 25, 2017

Karl A. Racine	Candidate, Karl Racine 2018
Michael Bekesha	Candidate, Bekesha 2018
Cassandra Baker	Treasurer, Bekesha 2018
Jamie Sycamore	Candidate, Friends of Jamie Sycamore
Yauheui Koraneu	Treasurer, Friends of Jamie Sycamore

REPORTS ANALYSIS AND AUDIT DIVISION

REPORTS ANALYSIS AND AUDIT DIVISON

The following is an accounting of RAAD’s production stats:

October 2017

Reviews Completed And Other Activity - 85

Political Action Committees (includes amended filings).....	49
Constituent Service Funds (includes amended filings).....	13
US Senator/Representative.....	3
Principal Campaign Committees (includes amended filings).....	7
Exploratory Committees.....	1
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	7
Attorney General.....	5
Audit Letters (RFAI) Sent.....	16
Follow-up letters Sent.....	0

Case(s) Resolved.....	10
Cases Referred to the Office of General Counsel.....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	1
Site Visits.....	0
Outreach Education Seminars Attended – Institute of Internal Auditors.	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	0
House Training	1
Entrance Conference Attended.....	2
Webinar Attended.....	1

Ongoing Audits:

Periodic Random Audits:

(1) Candidates Registered for the Upcoming Election 2018 Election (July 31, 2017)

- Brianne for DC 2018 – Initiated 09/27/17
- Committee to Elect Lori Parker for DC Council – Initiated 09/08/17

(2) Political Action Committees (July 31, 2017 filing)

- Metropolitan PAC – initiated 09/12/17

(3) Constituent Service Programs (October 1, 2017 filing)

- Ward 7 Constituent Service Fund
- Ward 4 Constituent Service Fund
- Do Something Constituents Fund (Ward 8)

Audits Issued

(1) Full Field AUDITS

- Vince Gray 2016 – issued 10/6/17 (Newly Elected Official)

(2) Periodic Random Audits

- Republican Committee DC PAC – issued 10/26/17
- DC Legal PAC – issued 10/3/17

OFFICE OF THE GENERAL COUNSEL

2017 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				4			

Informal Hearings Completed				7			
Informal Hearings Orders Issued				7*			
Petitions for Enforcement Referrals to BOE				0			

This figure represents 1 Failure to Timely File Order in which no fine was imposed and 6 Failure to Timely File Orders in which a total of \$7,200.00 in fines were imposed.

Board Statistics for October, 2017

Board Meeting November 1, 2017

PIRM

PCC 0
PAC's 2
ANC's 0
Constituent Services 0
Statehood Fund 0

RAAD

PCC 1
PAC's 1
Constituent Services 0
Statehood Fund 0

1. Notices of Hearings	18	
First Notices	3	
Second Notices	15	
2. Notices and Orders Vacated	0	
3. Hearings Conducted	7	
4. Orders which imposed fines	2	
5. Fines imposed		\$1,700.00 Christian Carter
		\$1,700.00 Paterson for Ward8
		\$1,700.00 Sheila Bunn for Ward 8
		\$1,700.00 Committee to Recall Mayor Bowser
		\$200.00 Lannette Woodruff for School Board
		\$200.00 Committee to Elect Jacque Paterson
6. Fines collected	0	
7. Investigations completed		
Orders issued	0	
8. Petitions for Enforcement	0	
9. Outstanding Fines	\$10,950.00*	
		(1) \$1,400.00 Bruce Majors for Mayor
		(2) \$2,500.00 Whitaker 4 Ward 4
		(3) \$2,000.00 Whitaker 4 Ward 4
		(4) \$2,500.00 Whitaker 4 Ward 4
		(5) \$350.00 Morgan for D.C.
		(6) \$2,200.00 Morgan for D.C

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

November 1, 2017

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Pending

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations

Status: Pending

OCF FI 2013-015

Complainant: Internally Generated March 28, 2014

Respondent: Jeffrey Thompson

Infraction: Campaign contribution violations

Status: Pending

INTERPRETATIVE OPINIONS

November 1, 2017

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

November 1, 2017

NONE