

January 11, 2017

OFFICE OF THE DIRECTOR

D.C. Act 21-584, the “Campaign Finance Reform and Transparency Emergency Amendment Act of 2016”, effective December 24, 2016. The Act will expire on March 24, 2017.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
December 2016

FINANCIAL REPORTS

December 10th Report of Receipts & Expenditures for Active Principal Campaign Committees and Political Action Committees

Total number of required filers	83(34PCC – 49PAC)*
Total number of timely filers	72(25PCC – 47PAC)
Total number of late filers	0(0PCC – 0PAC)
Total number of extensions requested	1(1PCC – 0PAC)
Total number of extensions granted	1(1PCC – 0PAC)
Total number of FTF	10(8PCC – 2PAC)
Total number of OGC referrals	10(8PCC – 2PAC)
Total number of Electronic Filings	72(25PCC – 47PAC)
Total number of Certified E-Filings	72(25PCC – 47PAC)

*Five (5) PCC filings were Termination Reports

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the December 10th Report of Receipts & Expenditures

Principal Campaign Committees

Drew for DC
Kimberly Higginbotham, Treasurer

Friends of Tony Donaldson
Barbara Donaldson, Treasurer

Committee to Elect Jacque Patterson 2016
Lamont Harrell, Treasurer

Lannette Woodruff for School Board
Lamont Harrell, Treasurer

VOTECARTER2016
Nicole Kerr, Treasurer

Re-Elect LaRuby May
Monica Ray, Treasurer

Tierra Jolly for Ward 8 SBOE
Emily Hammell, Treasurer

Holmes for Ward 8
Stephen Seh, Treasurer

Political Action Committees

DC Chamber of Commerce
Andrew J. Kline, Treasurer

Better Leadership
Richard Tappan, Treasurer

ENTRANCE CONFERENCES

December 6, 2016

Edward Krauze	Treasurer, D.C. Association of Realtors IEC (Independent Expenditure Committee)
Manley M. Collins	Candidate for Mayor

REPORTS ANALYSIS AND AUDIT DIVISION (RAAD)

The following is an accounting of RAAD’s production stats:
December 2016

DESK REVIEWS AND OTHER ACTIVITIES

Political Action Committees45

Constituent Service Funds (includes amended reports).....1

US Senator/Representative.....0

Principal Campaign Committees (includes amended reports).....22

Advisory Neighborhood Commission Candidates.....59

Exploratory Committees.....0

Independent Expenditures.....0

Twenty-four Hour Reports.....0

Initiative/Referendum Committees.....0

Attorney General.....0

Audit Letters (RFAI) Sent.....17

Follow-up letters sent.....0

Case(s) Resolved.....10

Cases Referred to the Office of the General Counsel.....0

Cases Referred for Contributions with Same Address and Similar Signatures.....0

Cases Terminated0

Hearings Attended1

Precinct Visits.....0

Webinars Attended – Institute of Internal Auditors.....0

Outside Seminars Attended0

In House Training0

Entrance Conference.....0

ONGOING AUDITS

Full Field Audits – Newly Elected Officials

- Brandon Todd for Ward 4

Periodic Random Audits - Candidates in the 2016 Election

- Re-Elect Vincent Orange 2016 (*January 31, 2016 and March 10, 2016 R&E Reports*)

AUDITS ISSUED

- None



2016 MONTHLY IN-TAKE/OUTPUT REPORT

	July	August	September	October	November	December	
Opinion Request Received						0	
Opinion Request Completed						0	
Complaints Received						0	
Internal Queries						0	
Preliminary Investigations Initiated						0	
Preliminary Investigations Orders Issued						0	
Full Investigations Initiated						0	
Full Investigations Orders Issued						0	
Informal Hearings Referred						10	
Informal Hearings Completed						6	

Informal Hearings Orders Issued						6*	
Petitions for Enforcement Referrals to BOE						0	

*This figure represents 5 Failure to Timely File orders in which no fines were imposed and 1 Failure to Timely File order in which a fine of \$1,450.00 was imposed.** 2 of the 7 outstanding election complaints noted during the December 2016 report were resolved, the remaining 5 are under review.

Board Statistics for December, 2016
Board Meeting January 11, 2017

PIRM

PCC	8
PAC's	2
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	0
PAC's	0
Constituent Services	0
Statehood Fund	0

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|-------------------------------|---|
| 1. Notices of Hearings | 8 |
| First Notices | 7 |
| Second Notices | 1 |
| 2. Notices and Orders Vacated | 0 |
| 3. Hearings Conducted | 4 |
| 4. Orders which imposed fines | 1 |
| 5. Fines imposed | \$1,450.00 against Bachner Committee 2016 |
| 6. Fines collected | 0 |
| 8. Investigations completed | 0 |
| Orders issued | |

9. Petitions for Enforcement	0
10. Outstanding Fines	\$26,850.00*
	(1) \$1,400.00 Carter At-Large 2014
	(2) \$1400.00 Bruce Majors for Mayor
	(3) \$2,000.00 Bell for Ward 8
	(4) \$2,500.00 Bell for Ward 8
	(5) \$2,500.00 Bell for Ward 8
	(6) \$2,500.00 Whitaker 4 Ward 4
	(7) \$2,000.00 Whitaker 4 Ward 4
	(8) \$2,500.00 Whitaker 4 Ward 4
	(9) \$1,700.00 Youth Action PAC
	(10)\$600.00 Gertrude Stein Democratic PAC
	(11)\$1,900.00 Youth Action PAC
	(12)\$ 1,400.00 Gertrude Stein Democratic PAC
	(13)\$1,400.00 Youth Action PAC
	(14)\$350.00 Morgan for D.C.
	(15)\$2,200.00 Morgan for D.C
	(16)\$2,500.00 Gaston 2015

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

January 11, 2017

OCF FI 2013-013	Complainant: Internally Generated June 24, 2013 Respondent: Lee Calhoun Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-014	Complainant: Internally Generated June 24, 2013 Respondent: Stanley Straughter Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-015	Complainant: Internally Generated March 28, 2014 Respondent: Jeffrey Thompson Infraction: Campaign contribution violations Status Pending:
OCF FI 2014-001	Complainant: Internally Generated July 24, 2014 Respondent: Kelvin Robinson Infraction: Campaign contribution violations Status: Pending

INTERPRETATIVE OPINIONS

January 11, 2017

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

January 11, 2017

NONE