

December 7, 2016

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
November 2016

**8 Day Pre General Election Report of Receipts & Expenditures
(Due on October 31, 2016)**

Total number of required filers	74(26PCC – 48PAC)
Total number of timely filers	63(22PCC – 41PAC)
Total number of late filers	1(0PCC – 1PAC)
Total number of extensions requested	0(0PCC – 0PAC)
Total number of extensions granted	0(0PCC – 0PAC)
Total number of FTF	10(4PCC – 6PAC)
Total number of OGC referrals	11(4PCC – 7PAC)*
Total number of Electronic Filings	64(22PCC – 42PAC)
Total number of Certified E-Filings	64(22PCC – 42PAC)

***Referrals were made on November 7, 2016**

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the 8 Day Pre General Report of Receipts & Expenditures

Principal Campaign Committees

Cheeks for Council 20016
John Cheeks, Treasurer

Bachner Committee 2016
Carrie Bachner, Treasurer

Markus for Ward 8
Arthur Slater, Treasurer

Shakira Hemphill for Ward 8
Tim Lewis, Treasurer

Political Action Committees

Gertrude Stein Democratic Political Acct.
Jessica Pierce, Treasurer

Caribbean-American PAC
Roy Layne, Treasurer

Economic & Protection Party
Margaret Smith, Treasurer

1199 SEIU PAC
George, Gresham, Treasurer

Washington DC Association of Realtors PAC
Edward Krauze, Treasurer

Better Leadership DC
Richard Tappan, Treasurer

Service Employees Int'l Union, MD/DC State Council
Merle Cultitta, Treasurer

NEW CANDIDATES/COMMITTEES

November 2016

Manley M. Collins/MMC Foundation (Mayor) _____ 11/14/2016
U.S. Citizens Recovery Initiative-DC _____ 11/18/2016

Entrance Conference for the candidate and treasurers of the aforementioned committees is scheduled for Tuesday, December 6, 2016

REPORTS ANALYSIS AND AUDIT DIVISION (RAAD)

The following is an accounting of RAAD's production stats:
November 2016

DESK REVIEWS AND OTHER ACTIVITIES

Political Action Committees47
Constituent Service Funds (includes amended reports)..... 1
US Senator/Representative.....1
Principal Campaign Committees (includes amended reports).....34
Exploratory Committees.....0
Independent Expenditures.....0
Twenty-four Hour Reports.....0
Initiative/Referendum Committees.....1
Attorney General.....0
Audit Letters (RFAI) Sent.....18
Follow-up letters sent.....0
Case(s) Resolved.....20
Cases Referred to the Office of the General Counsel.....4
Cases Referred for Contributions with Same Address and Similar Signatures.....0
Cases Terminated0
Hearings Attended0
Precinct Visits.....80
Webinars Attended – Institute of Internal Auditors.....0
Outside Seminars Attended0
In House Training0
Entrance Conference.....0

ONGOING AUDITS

Full Field Audits – Newly Elected Officials

- Brandon Todd for Ward 4

Periodic Random Audits - Candidates in the 2016 Election

- Re-Elect Vincent Orange 2016 (*January 31, 2016 and March 10, 2016 R&E Reports*)

AUDITS ISSUED

- Mary Cheh Ward 3 CSF – Issued 11/03/16
- Evans Constituent Services Fund – Issued 11/08/16
- Carter for DC – Issued 11/17/16
- Office of LaRuby May CSF – Issued 11/30/16

OFFICE OF THE GENERAL COUNSEL

2016 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received					0		
Opinion Request Completed					0		
Complaints Received					16*		
Internal Queries					0		
Preliminary Investigations Initiated					0		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		
Informal Hearings					15		

Referred							
Informal Hearings Completed					4		
Informal Hearings Orders Issued					4**		
Petitions for Enforcement Referrals to BOE					14		

*This figure represents 16 election complaints regarding insufficient disclaimers on campaign literature. 9 of the complaints were resolved and the remaining 7 are under review. ** This figure represents 4 Failure to Timely File orders in which no fines were imposed.

Board Statistics for November, 2016
Board Meeting December 7, 2016

1. Actual Matters referred

PIRM

PCC 4
PAC's 7
ANC's 0
Constituent Services 0
Statehood Fund 0

RAAD

PCC 0
PAC's 1
Constituent Services 3
Statehood Fund 0

- 2. Notices of Hearings 27
 - First Notices 21
 - Second Notices 6
- 3. Notices and Orders Vacated 0
- 4. Hearings Conducted 4
- 5. Orders which imposed fines 0
- 6. Fines imposed 0
- 7. Fines collected \$600.00

8. Investigations completed Orders issued	0	
9. Petitions for Enforcement	14	
10. Outstanding Fines	\$26,350.00*	
	(1) \$1,400.00	Carter At-Large 2014
	(2) \$1400.00	Bruce Majors for Mayor
	(3) \$2,000.00	Bell for Ward 8
	(4) \$2,500.00	Bell for Ward 8
	(5) \$2,500.00	Bell for Ward 8
	(6) \$2,500.00	Whitaker 4 Ward 4
	(7) \$2,000.00	Whitaker 4 Ward 4
	(8) \$2,500.00	Whitaker 4 Ward 4
	(9) \$1,700.00	Youth Action PAC
	(10)\$600.00	Gertrude Stein Democratic PAC
	(11)\$1,900.00	Youth Action PAC
	(12)\$ 1,400.00	Gertrude Stein Democratic PAC
	(13)\$1,400.00	Youth Action PAC
	(14)\$350.00	Morgan for D.C.
	(15)\$2,200.00	Morgan for D.C
	(16)\$2,500.00	Gaston 2015

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

December 7, 2016

OCF FI 2013-013	Complainant: Internally Generated June 24, 2013 Respondent: Lee Calhoun Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-014	Complainant: Internally Generated June 24, 2013 Respondent: Stanley Straughter Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-015	Complainant: Internally Generated March 28, 2014 Respondent: Jeffrey Thompson Infraction: Campaign contribution violations Status: Pending:

OCF FI 2014-001

Complainant: Internally Generated July 24, 2014
Respondent: Kelvin Robinson
Infraction: Campaign contribution violations
Status: Pending

INTERPRETATIVE OPINIONS

December 7, 2016

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

December 7, 2016

NONE