

May 3, 2017

OFFICE OF THE DIRECTOR

April 27, 2017 – Testimony before the Committee on the Judiciary and Public Safety of the Council of the District of Columbia on the OCF FY 18 Proposed Budget Request.

OFFICE OF THE GENERAL COUNSEL (See Attachments)

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
April 2017

CONSTITUENT SERVICE PROGRAMS

April 1st Report of Receipts & Expenditures due on April 3, 2017

Total number of required filers	10
Total number of timely filers	10
Total number of late filers	0
Total number of extensions requested	1
Total number of extensions granted	1
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	10
Total number of Certified E-Filings	10

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

April 1st Report of Receipts & Expenditures due on April 3, 2017

Total number of required filers	3
Total number of timely filers	3
Total number of late filers	0
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	3
Total number of Certified E-Filings	3

INDEPENDENT EXPENDITURE COMMITTEES & POLITICAL ACTION COMMITTEES

April 10th Report of Receipts & Expenditures for Active Independent Expenditure Committees and Political Action Committees

Total number of required filers	56 (50PACs – 61Es)
Total number of timely filers	54 (48PACs - 61Es)
Total number of late filers	0 (0PACs - 01Es)
Total number of extensions requested	0 (0PACs - 01Es)
Total number of extensions granted	0 (0PACs - 01Es)
Total number of FTF	2 (2PACs- 01Es)
Total number of OGC referrals	2 (2PACs- 01Es)
Total number of Electronic Filings	54 (48PACs – 01Es)
Total number of Certified E-Filings	48 (48PACs – 01Es)

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the April 10th Report of Receipts & Expenditures

Political Action Committees

Ward Two Democrats
Patrick Kennedy, Treasurer

Gertrude Stein Democratic Club Pol. Acct.
Jessica Pierce, Treasurer

NEW CANDIDATES/COMMITTEES

April 2017:

D.C. Recovery Act for Living Descendants of American Slaves (Initiative) _____ 4/4/2017
Save Our Tip System Initiative 77 (Opposing Committee) _____ 4/27/2017

ENTRANCE CONFERENCES

PARTICIPANTS ON April 19, 2017

Brianne K. Nadeau	Candidate, Brianne for DC 2018
Justin Alexander Green, Sr.	Candidate/Treasurer, Justin Green At-Large

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
April 2017

DESK REVIEWS AND OTHER ACTIVITIES

Political Action Committees	56
Constituent Service Funds (includes amended reports).....	8
US Senator/Representative.....	0
Principal Campaign Committees (includes amended reports).....	0
Advisory Neighborhood Commission Candidates.....	75
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General.....	0
Audit Letters (RFAI) Sent.....	10
Follow-up letters sent.....	0
Case(s) Resolved.....	3
Cases Referred to the Office of the General Counsel.....	1
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	2
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	0
In House Training	0
Entrance Conference.....	2

ONGOING AUDITS

Full Field Audits

Newly Elected Officials

- **Vince Gray 2016**

Periodic Random Audits

Candidates in the 2016 Election Cycle

- **Re-Elect Vincent Orange 2016 (January 31, 2016 and March 10, 2016 R&E Reports)**

Political Action Committees (January 31, 2017 Report)

- D.C. Democratic State Committee
- Ward Three Democrats
- Ward 8 Matters

Constituent Service Programs (April 1, 2017 Report

- Mayor Bowser’s Constituent Service Fund
- Citizen’s Outreach Fund

Audits Issued

SEIU Local 500 PAC – April 13, 2017

DC Dental PAC – April 28, 2017

OFFICE OF THE GENERAL COUNSEL

**2017 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL**

	January	February	March	April	May	June	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				3			
Informal Hearings Completed				20			
Informal				8*			

Hearings Orders Issued							
Petitions for Enforcement Referrals to BOE				0			

*This figure represents 3 Failure to Timely File orders in which no fines were imposed, 4 Failure to Timely file orders in which a total of \$5,650.00 in fines were imposed and 1 order denying a Motion for Reconsideration.

Board Statistics for April, 2017

Board Meeting May 3, 2017

PIRM

PCC	0
PAC's	2
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	1
PAC's	0
Constituent Services	0
Statehood Fund	0

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| 1. Notices of Hearings | 59 |
| First Notices | 50 |
| Second Notices | 9 |
| 2. Notices and Orders Vacated | 0 |
| 3. Hearings Conducted | 20 |
| 4. Orders which imposed fines | 4 |
| 5. Fines imposed | <ul style="list-style-type: none"> 1. \$1,600.00 Committee to Elect Natalie Williams 2. \$1,600.00 Committee to Elect Khalid Pitts 3. \$1,600.00 Carter At-Large 2014 4. \$850.00 Patterson for Ward 8 |
| 6. Fines collected | \$50.00 Carrie L. Bachner Committee 2016 |
| 8. Investigations completed | |
| Orders issued | 0 |
| 9. Petitions for Enforcement | 0 |

10. Outstanding Fines \$10,950.00*

- (1) \$1,400.00 Bruce Majors for Mayor
- (2) \$2,500.00 Whitaker 4 Ward 4
- (3) \$2,000.00 Whitaker 4 Ward 4
- (4) \$2,500.00 Whitaker 4 Ward 4
- (5) \$350.00 Morgan for D.C.
- (6) \$2,200.00 Morgan for D.C

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

May 3, 2017

OCF FI 2013-013	Complainant: Internally Generated June 24, 2013 Respondent: Lee Calhoun Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-014	Complainant: Internally Generated June 24, 2013 Respondent: Stanley Straughter Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-015	Complainant: Internally Generated March 28, 2014 Respondent: Jeffrey Thompson Infraction: Campaign contribution violations Status Pending:

INTERPRETATIVE OPINIONS

May 3, 2017

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

May 3, 2017

OCF 2017-R-003

Complainant:	Internally generated:
Respondent:	Brandon Todd for Ward 4
Infraction:	Non-compliance Audit
Originated:	April 6, 2017
Status:	Pending