

February 1, 2017

**OFFICE OF THE DIRECTOR**

OCF 2017 Biennial Report of Contributions and Expenditures

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
January 2017

**FINANCIAL REPORTS**

**January 1<sup>st</sup> Report of Receipts & Expenditures for Constituent Service Programs (Due on January 3, 2017)**

Total number of required filers	11
Total number of timely filers	10*
Total number of late filers	1
Total number of extensions requested	1
Total number of extensions granted	1
Total number of FTF	0
Total number of OGC referrals	1
Total number of Electronic Filings	11
Total number of Certified E-Filings	11

**\*Three (3) of the CSP filings were termination reports.**

**SENATOR/REPRESENTATIVE STATEHOOD FUNDS**

**January 1<sup>st</sup> Report of Receipts & Expenditures for Senator/Representative Statehood Funds (Due on January 3, 2017)**

Total number of required filers	3
Total number of timely filers	3
Total number of late filers	0
Total number of extensions requested	0
Total number of extensions granted	0
Total number of FTF	0
Total number of OGC referrals	0
Total number of Electronic Filings	3
Total number of Certified E-Filings	3

## **PRINCIPAL CAMPAIGN COMMITTEES & PACs**

### **January 31<sup>st</sup> 2017 Report of Receipts & Expenditures for Active Principal Campaign Committees and Political Action Committees**

Total number of required filers	TBD
Total number of timely filers	TBD
Total number of late filers	TBD
Total number of extensions requested	TBD
Total number of extensions granted	TBD
Total number of FTF	TBD
Total number of OGC referrals	TBD
Total number of Electronic Filings	TBD
Total number of Certified E-Filings	TBD

## **ANC SUMMARY FINANCIAL REPORT**

### **January 18<sup>th</sup> 2017 ANC Summary Financial Report**

Total number of required filers	469
Total number of timely filers	308
Total number of non-filers as of today, January 30, 2017	161

**Deadline to file ANC Summary Financial Report is extended to Tuesday, February 7, 2017.**

## **REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL**

### ***Failure to Timely File the December 10<sup>th</sup> 2016 Report of Receipts & Expenditures***

#### **Principal Campaign Committees**

Re-Elect Yvette Alexander 2016  
Derek Ford, Treasurer

### ***Failure to Timely file the January 1<sup>st</sup> 2017 Report of Receipts & Expenditures***

#### **Constituent Service Program**

Ward 4 Constituent Services Fund  
Eric Anders, Treasurer

## **NEW CANDIDATES/COMMITTEES**

*January 2017:*

2017 Committee to Recall Mayor Muriel Bowser.....1/24/2017  
Do Something Constituents Fund (Ward-8, Councilman Trayon White).....1/24/2017

*Entrance Conference for the treasurer of the aforementioned committees is scheduled for the month of February 2017.*

### **REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD's production stats:  
January 2017

## **DESK REVIEWS AND OTHER ACTIVITIES**

Political Action Committees .....7  
Constituent Service Funds (includes amended reports).....6  
US Senator/Representative.....3  
Principal Campaign Committees (includes amended reports).....12  
Advisory Neighborhood Commission Candidates.....207  
Exploratory Committees.....0  
Independent Expenditures.....0  
Twenty-four Hour Reports.....0  
Initiative/Referendum Committees.....0  
Attorney General.....0  
Audit Letters (RFAI) Sent.....5  
Follow-up letters sent.....0  
Case(s) Resolved.....13  
Cases Referred to the Office of the General Counsel.....1  
Cases Referred for Contributions with Same Address and Similar Signatures.....0  
Cases Terminated .....0  
Hearings Attended .....0  
Precinct Visits.....0  
Webinars Attended – Institute of Internal Auditors.....0  
Outside Seminars Attended .....0  
In House Training .....0  
Entrance Conference.....0

## **ONGOING AUDITS**

### **Full Field Audits – Newly Elected Officials**

- **Brandon Todd for Ward 4**

### **Periodic Random Audits Candidates in the 2016 Election**

- **Re-Elect Vincent Orange 2016 (*January 31, 2016 and March 10, 2016 R&E Reports*)**

## **AUDITS ISSUED**

**None**

### **OFFICE OF GENERAL COUNSEL**

#### **2017 MONTHLY IN-TAKE/OUTPUT REPORT OFFICE OF GENERAL COUNSEL**

	January	February	March	April	May	June	
Opinion Request Received	0						
Opinion Request Completed	0						
Complaints Received	0						
Internal Queries	0						
Preliminary Investigations Initiated	0						
Preliminary Investigations Orders Issued	0						
Full Investigations Initiated	0						
Full Investigations Orders Issued	0						
Informal Hearings Referred	3						
Informal Hearings	7						

Completed							
Informal Hearings Orders Issued	7*						
Petitions for Enforcement Referrals to BOE	0						

\*This figure represents 5 Failure to Timely File orders in which no fines were imposed and 2 Failure to Timely File orders in which a fines totaling \$1,700.00 were imposed. 2 of the 5 outstanding election complaints noted during the January 2017 report were resolved, 3 remain under review.

Board Statistics for January, 2017  
Board Meeting February 1, 2017

**PIRM**

PCC	1
PAC's	0
ANC's	0
Constituent Services	1
Statehood Fund	0

**RAAD**

PCC	1
PAC's	0
Constituent Services	0
Statehood Fund	0

- |  |             |
|--|-------------|
| 1. Notices of Hearings                       | 15          |
| First Notices                                | 12          |
| Second Notices                               | 3           |
| 2. Notices and Orders Vacated                | 0           |
| 3. Hearings Conducted                        | 5           |
| 4. Orders which imposed fines                | 2           |
| 5. Fines imposed                             | \$1,700.00  |
| 1. \$700.00 1199SEIU PAC                     |             |
| 2. \$1,000.00 Gertrude Stein Democratic Club |             |
| 6. Fines collected                           | \$10,000.00 |

7. Investigations completed Orders issued	0	
8. Petitions for Enforcement	0	
9. Outstanding Fines	\$23,550.00*	
	(1) \$1400.00	Bruce Majors for Mayor
	(2) \$2,000.00	Bell for Ward 8
	(3) \$2,500.00	Bell for Ward 8
	(4) \$2,500.00	Bell for Ward 8
	(5) \$2,500.00	Whitaker 4 Ward 4
	(6) \$2,000.00	Whitaker 4 Ward 4
	(7) \$2,500.00	Whitaker 4 Ward 4
	(8) \$1,700.00	Youth Action PAC
	(9) \$600.00	Gertrude Stein Democratic PAC
	(10) \$1,900.00	Youth Action PAC
	(11) \$1,400.00	Youth Action PAC
	(12) \$350.00	Morgan for D.C.
	(13) \$2,200.00	Morgan for D.C

\*OCF Petitions for Enforcement pending before the BOE

#### LIST OF OPEN OCF INVESTIGATIONS

February 1, 2017

OCF FI 2013-013	Complainant: Internally Generated June 24, 2013 Respondent: Lee Calhoun Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-014	Complainant: Internally Generated June 24, 2013 Respondent: Stanley Straughter Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-015	Complainant: Internally Generated March 28, 2014 Respondent: Jeffrey Thompson Infraction: Campaign contribution violations Status: Pending
OCF FI 2014-001	Complainant: Internally Generated July 24, 2014 Respondent: Kelvin Robinson Infraction: Campaign contribution violations Status: Pending

INTERPRETATIVE OPINIONS

February 1, 2017

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

February 1, 2017

NONE