

May 4, 2016

OFFICE OF THE DIRECTOR

April 14, 2016 – Testimony before the Committee on the Judiciary of the Council of the District of Columbia on the OCF FY17 Proposed Budget Request.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
April 2016

CONSTITUENT SERVICE PROGRAMS

April 1, 2016 Report of Receipts & Expenditures

Total number of required filers.....	12
Total number of timely filers.....	11
Total number of late filers.....	1
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	1
Total number of Electronic Filings.....	12
Total number of Certified E-Filings.....	12

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

April 1, 2016 Report of Receipts & Expenditures

Total number of required filers.....	3
Total number of timely filers.....	3
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of late filers.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0
Total number of Electronic Filings.....	3
Total number of Certified E-Filings.....	3

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the April 1st Report of Receipts & Expenditures

Ward 6 Constituent Service Program (*Former Councilmember, Tommy Wells*)
Charles Allen, Treasurer

NEW CANDIDATES/COMMITTEES

April 2016:

Manley Collins/MMC Foundation (Mayor).....04/12/2016
(Candidate withdrew on April 28, 2016)

ENTRANCE CONFERENCE PARTICIPANTS ON APRIL 7, 2016

Carolina Celnik	(Candidate/Treasurer, Carolina 2016)
Oliver W. Johnson	(Candidate/Treasurer, OJ We Are Ward 8) (Ward Member of the Council)
Joe Brookman	(Candidate, D.C. Republican Committee Ward 5)
Philip Barlow	(Treasurer, Cmte. to Elect Asha Carter) (At-Large Council Member)
Robert Kabel Committeeman)	(Candidate, D.C. Republican National

ENTRANCE CONFERENCE PARTICIPANTS ON APRIL 20, 2016

Tony Donaldson	(Candidate, Friends of Tony Donaldson) (At-Large School Board Member)
Barbara Donaldson	(Treasurer, Friends of Tony Donaldson)
Stephen Seh	(Treasurer, Holmes for Ward 8) (Ward Member of the Council)
Stephen Jackson	(Candidate, D.C. Republican Committee, Ward-6)

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
April 2016

REVIEWS COMPLETED – 24

Political Action Committees (includes amended filings).....	2
Constituent Service Funds	12
US Senator/Representative.....	3
Principal Campaign Committees (includes amended filings).....	7
Inaugural Committee.....	0

Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-Four Hour Pre- Election Reports.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	7
Follow-up letters sent.....	0
Case(s) Resolved.....	8
Cases Referred to the Office of the General Counsel ...(1 dismissed and 1 withdrawn).....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	2
Cases Terminated	0
Hearings Attended	0
Site Visits.....	0
Outside Seminars Attended	0
In House Training.....	1
Entrance Conferences.....	2

ONGOING AUDITS

FULL FIELD AUDITS

- LaRuby May 2015
- Brandon Todd for Ward 4
- Brianne for DC

PERIODIC RANDOM AUDITS - CANDIDATES IN THE 2016 ELECTION CYCLE

- **Potillo for Council 2016 (*December 10, 2016 R&E Report*)**
- **Trayon White for Ward 8 2016 (*March 10, 2016 R&E Report*)**
- **Robert White At-Large 2016 (*January 31, 2016 and March 10, 2016 R&E Reports*)**
- **Re-Elect Vincent Orange 2016 (*January 31, 2016 and March 10, 2016 R&E Reports*)**
- **Grosso At-Large 2016 (*January 31, 2016 and March 10, 2016 R&E Reports*)**

PERIODIC RANDOM AUDITS - CONSTITUENT SERVICE PROGRAM

(April 1, 2016 CSP Reports)

- **Office of Ward 8 Councilmember LaRuby May CSF**
- **Our Ward 4 Constituent Service Fund**

AUDITS ISSUED

- **Elissa 2016 – issued April 25, 2016**
- **Local 25 General Political Account – issued April 5, 2016**
- **Our Ward 5 – issued April 21, 2016**
- **Phil’s Fund for Citizen Service – issued April 28, 2016**

OFFICE OF THE GENERAL COUNSEL

**2016 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL**

	January	February	March	April	May	June	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				6			
Informal Hearings Completed				5			
Informal Hearings Orders Issued				23*			
Petitions for Enforcement Referrals to BOE				0			

*This figure represents 11 Failure to Timely File orders in which no fines were imposed, 11 Failure to Timely File order in which fines totaling \$18,300.00 were imposed and 1 Motion for Reconsideration in which a fine of \$300.00 was imposed.

Board Statistics for April, 2016
 Board Meeting May 4, 2016

1. Actual Matters referred

PIRM

PCC	0
PAC's	0
ANC's	0
Constituent Services	1
Statehood Fund	0

RAAD

PCC	3
PAC's	1
Constituent Services	1
Statehood Fund	0

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|-------------------------------|----|
| 2. Notices of Hearings | 20 |
| First Notices | 3 |
| Second Notices | 3 |
| 3. Notices and Orders Vacated | 0 |
| 4. Hearings Conducted | 5 |
| 5. Orders which imposed fines | 12 |

- | | |
|------------------|--|
| 6. Fines imposed | 1. \$1,600.00 Paterson for Ward 8 |
| | 2. \$1,600.00 Toliver for Ward 4 |
| | 3. \$2,900.00 Zuckerberg for Attorney General |
| | 4. \$1,600.00 Committee to Elect Natalie Williams |
| | 5. \$1,600.00 Whitaker 4 Ward 4 |
| | 6. \$1,600.00 Committee to Re-Elect Paul Strauss |
| | 7. \$1,600.00 Re-Elect Paul Strauss 2008 |
| | 8. \$1,600.00 Strauss 2014 Committee |
| | 9. \$1,600.00 Strauss for Ward 3 Council |
| | 10. \$1,600.00 Bell for Ward 8 |
| | 11. \$1,000.00 Ward Seven Democrats |
| | 12. \$300.00 Re-Elect Yvette Alexander (Reconsideration) |

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|--------------------|---------------------------------|
| 7. Fines collected | 1. \$500.00 Ward Five Democrats |
|--------------------|---------------------------------|

8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0
10. Outstanding Fines	\$27,750.00*

- (1) \$1,400.00 Carter At-Large 2014
- (2) \$1400.00 Committee to Elect Villareal Johnson
- (3) \$1400.00 Bruce Majors for Mayor
- (4) \$2,000.00 Bell for Ward 8
- (5) \$2,500.00 Bell for Ward 8
- (6) \$2,500.00 Bell for Ward 8
- (7) \$2,500.00 Whitaker 4 Ward 4
- (8) \$2,000.00 Whitaker 4 Ward 4
- (9) \$2,500.00 Whitaker 4 Ward 4
- (10) \$1,700.00 Youth Action PAC
- (11) \$600.00 Gertrude Stein Democratic PAC
- (12) \$1,900.00 Youth Action PAC
- (13) \$ 1,400.00 Gertrude Stein Democratic PAC
- (14) \$1,400.00 Youth Action PAC
- (15) \$350.00 Morgan for D.C.
- (16) \$2,200.00 Morgan for D.C
- (17) \$2,500.00 Gaston 2015

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

May 4, 2016

OCF FI 2011-103	Complainant:	Internally Generated March 15, 2011
	Respondent:	Sulaimon Brown for Mayor Principal Campaign Committee
	Infraction:	Reporting Violations
	Status:	Pending
OCF FI 2011-104	Complainant:	Internally Generated March 15, 2011
	Respondent:	Gray for Mayor Principal Campaign Committee
	Infraction:	Reporting Violations
	Status:	Pending

OCF FI 2013-013 Complainant: Internally Generated June 24, 2013
Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Lee Calhoun investigation remains
ongoing

OCF FI 2013-014 Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Stanley Straughter investigation remains
ongoing

OCF FI 2013-015 Complainant: Internally Generated March 28, 2014
Respondent: Jeffrey Thompson
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Jeffrey Thompson investigation remains
ongoing

OCF FI 2014-001 Complainant: Internally Generated July 24, 2014
Respondent: Kelvin Robinson
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2014-002 Complainant: Internally Generated July 24, 2014
Respondent: Jeff Smith
Infraction: Campaign contribution violations
Status: Pending

INTERPRETATIVE OPINIONS

May 4, 2016

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

April 6, 2016

NONE