

March 4, 2015

OFFICE OF THE DIRECTOR

February 12, 2015 – Testimony before the Committee on the Judiciary of the Council of the District of Columbia on the OCF FY14 Performance Report and FY15 Performance Plan.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
February 2015:

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

**January 31, 2015 Report of Receipts and Expenditures
(Due February 2, 2015)**

Total number of required filers.....	182(122PCCs – 60PACs)
Total number of timely filers.....	145(91PCCs – 54PACs)
Total number of extensions requested.....	5(5PCCs – 0PACs)
Total number of extensions granted.....	5(5PCCs – 0PACs)
Total number of Late filers.....	3(3PCCs – 0PACs)
Total number of FTF.....	33(27PCCs – 6PACs)
Total number of OGC referrals.....	36(30PCCs – 6PACs)
<i>Total number of Electronic Filings.....</i>	<i>134(86PCC – 48PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>129(85PCC – 44PACs)</i>

**ADVISORY NEIGHBORHOOD COMMISSIONER
Financial Summary Statement (filing deadline of 2/3/15)**

Total number of required filers.....	383
Total number of timely filers.....	307
Total number of FTF.....	76
Total number of OGC referrals.....	TBD
<i>Total number of Electronic Filings.....</i>	<i>206</i>
<i>Total number of Certified E-Filings.....</i>	<i>205</i>

Referrals to OGC

Failure to Timely File the January 31st R&E Report

K. Armstead 4 Ward 8
Karlene Armstead, Treasurer

Help Lucius Gallion Help You
Lucius Gallion, Treasurer

Barry for Council
Robert James, Treasurer

Gaston 2015
Joe Davis, IV, Treasurer

Bell for Ward 8
Milton Bell, Treasurer

Edwina for Ward 8
Rachelle Montague, Treasurer

Fleming 2015
Herbert Smith, Treasurer

Whitaker 4 Ward 4
Robert Whitaker, Treasurer

Beverley Wheeler for Ward 1
Beverley Wheeler, Treasurer

James Caviness for Mayor 2014
James Caviness, Treasurer

Martin Sterbal for U.S. Senate
Martin Sterbal, Treasurer

Sewell for Mayor 2014
Otis Sution, Treasurer

Cmte. to Elect Khalid Pitts 2014
Amy Pritchard, Treasurer

Bruce Majors, Libertarian for Mayor
Bruce Majors, Treasurer

Zuckerberg for Attorney General
Lenox Ramsey, Treasurer

Lateefah Williams for Attorney General
Dianah Shaw, Treasurer

Mark Naydan for School Board
Mark Naydan, Treasurer

Carter At-Large 2014
Christian Carter, Treasurer

Friends of Courtney R. Snowden
Arthur Slater, Treasurer

Yes for Lillian Perdomo
Lorraine Wilson, Treasurer

Tierra Jolly for School Board, Ward-8
Emily Hammell, Treasurer

Gaston 2014
Darrell Gaston, Treasurer

Democrats Moving Forward
Barrie Daneker, Treasurer

Michael Green Mayoral Committee
Michael L. Green, Treasurer

Friends of Tarek Stevens
Tarek Stevens, Treasurer

Cmte. to Elect Ronald Moten, Ward
7 City Council Ron Moten, Treasurer

Patterson for Ward 8
Timothy Lewis, Treasurer

Barry for Ward 8 Re-Election Committee
Robert James, Treasurer

Committee to Elect Villareal VJ Johnson
Timothy Lewis, Treasurer

Gray for Mayor
Betty R. Brown, Treasurer

Failure to Timely File the December 10th Report of Receipts and Expenditures

Jim Graham 2014
Nelson Ayala, Treasurer

PACs

Gertrude Stein Democratic Club Political Account
Terrance Laney, Treasurer

Libertarian Party
Sarah Harvard, Treasurer

Ward Two Democrats
Aaron DeNu, Treasurer

Ward 6 Democrats
Jasmine Harris, Treasurer

Ward One Democrats
Katerina Herodotou, Treasurer

Independent Expenditure Committees

D.C. Firefighters Association (I.E. Cmte.)
Robert Alvarado, Treasurer

New Candidates/Committees

February 2015

Genora Reed (Ward 8, City Council).....2/4/2015
(Did not qualify for the ballot)

REPORTS ANALYSIS AND AUDIT DIVISON

The following is an accounting of RAAD’s production stats:

Reviews Completed – 154

Political Action Committees (includes amended filings).....	60
Constituent Service Funds	5
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	86
Inaugural Committee.....	1
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Pre- Election Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General.....	2
Audit Letters (RFAI) Sent.....	45
Follow-up letters sent.....	0
Case(s) Resolved.....	19
Cases Referred to the Office of General Counsel	12
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	20
Site Visits.....	0
Outside Seminars Attended	0
In House Training.....	1

Ongoing Audits:

Full Field Audits

- Gray for Mayor

Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)

- Friends of Courtney R. Snowden
- Ruth for Schools
- Brian Hart for DC

Periodic Random Audits – Continuing Committees

- Firefighters Committee on Political Activities
- Republican Committee DC
- Morgan for DC
- Committee to Elect Eugene Puryear

Periodic Random Audits- Attorney General

- Lori Masters for Attorney General
- Smitty for AG

Audits Issued

- Evans for MayorFebruary 24, 2015
- Biddle 2012.....February 18, 2015

OFFICE OF THE GENERAL COUNSEL

2015 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received		0					
Opinion Request Completed		0					
Complaints Received		0					
Internal Queries		0					
Preliminary Investigations Initiated		0					
Preliminary Investigations Orders Issued		0					
Full Investigations Initiated		0					
Full Investigations Orders Issued		0					

Informal Hearings Referred		42					
Informal Hearings Completed		15					
Informal Hearings Orders Issued		15*					
Petitions for Enforcement Referrals to BOE		0					

*This figure represents 8 Failure to Timely File orders in which no fines were imposed, 1 Failure to Timely Respond to a Request For Additional Information (RFAI) order in which no fine was imposed and 6 Failure to Timely File orders in which a total of \$12,000.00 in fines were imposed.

Board Statistics for February, 2015

Board Meeting March 4, 2015

1. Actual Matters referred

PIRM

PCC	31
PAC's	6
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	4	
PAC's	0	
Constituent Services	1	
Statehood Fund	0	
2. Notices of Hearings		
First Notices	9	
Second Notices	1	
3. Notices and Orders Vacated	2	
4. Hearings Conducted	4	
5. Orders which imposed fines	6	
6. Fines imposed		(1) Christian Carter At-Large \$2,000.00 (2) Beverly Wheeler for Ward 1 \$2,000.00 (3) Bruce Majors Libertarian \$2,000.00 (4) Zuckerberg for Attorney General \$2,000.00 (5) Mark Jones DC Board of Education \$2,000.00 (6) Martin Sterbal for U.S. Senate \$2,000.00
7. Fines collected	\$900.00	
8. Investigations completed Orders issued	0	
9. Petitions for Enforcement	0	
10. Outstanding Fines	\$5,950.00*	(1) \$1,700.00 Frank Sewell for Mayor (2) \$1,100.00 Nestor for Mayor (3) \$350.00 Friends of Calvin Gurley (4) \$1,150.00 Nestor for Mayor (5) \$1,650.00 Nestor for Mayor

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

February 4, 2015

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Lee Calhoun investigation remains ongoing

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Stanley Straughter investigation remains ongoing

OCF FI 2013-015

Complainant: Internally Generated March 28, 2014

Respondent: Jeffrey Thompson

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001

Complainant: Internally Generated July 24, 2014

Respondent: Kelvin Robinson

Infraction: Campaign contribution violations

Status: Pending

OCF FI 2014-002

Complainant: Internally Generated July 24, 2014

Respondent: Jeff Smith

Infraction: Campaign contribution violations

Status: Pending

INTERPRETATIVE OPINIONS

March 4, 2015

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

March 4, 2015

NONE