

December 4, 2013

OFFICE OF THE DIRECTOR

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
November 2013

New Candidates and Committees registered for the 2014 Election Cycle
November

Phil Mendelson/Mendelson For Chairman 2014 (City Council).....	11/4/2013
Paul Zukerberg/Zukerberg for Attorney General.....	11/8/2013
David Schwartzman/Cmte. To Elect David Schwartzman (U. S. Senate).....	11/12/2013
Andy Shallal/Committee to Elect Andy Shallal (Mayor).....	11/12/2013
Pedro Rubio/Rubio for DC (City Council, At-Large).....	11/12/2013
Michael Green/Michael Green Mayoral Committee	11/14/2013
Vincent Orange/Orange for Mayor.....	11/14/2013
Martin Sterbal/Martin Sterbal for U.S. Senate.....	11/14/2013
Franklin Garcia/Franklin for DC (House of Representatives).....	11/15/2013
Paul Strauss (U.S. Senate).....	11/15/2013
Antonette Russell (House of Representatives).....	11/15/2013
Joseph Slovinc (City Council, Ward-6).....	11/15/2013
Ming Lowe (City Council, Ward-1).....	11/15/2013
G. Lee Aikin (City Council, At-Large).....	11/18/2013
G. Lee Aikin (House of Representatives).....	11/18/2013
Carolyn Steptoe (City Council, Ward-5).....	11/18/2013
John Settles/Cmte. To Elect John F. Settles (City Council, At-Large).....	11/20/2013
Marc Morgan/Morgan for DC (City Council, At-Large).....	11/20/2013
Octavia Wells (Mayor).....	11/20/2013
Octavia Wells (U.S. Senate).....	11/20/2013
Kathy Henderson/Cmte. to Elect Kathy Henderson (City Council, Ward-5)....	11/20/2013
Kathy Henderson/Cmte. To Elect Katy Henderson (City Council, At-Large)...	11/20/2013
Glenda Richmond/Cmte. To Elect Glenda J. Richmond for U.S. Senator.....	11/21/2013
James Bubar (Democratic National Committeeman).....	11/22/2013
Bruce Majors/Bruce Majors, Libertarian for Mayor.....	11/25/2013
Eugene Puryear/Cmte. To Elect Eugene Puryear (City Council, At-Large).....	11/26/2013
Kevin Valentine/Vote Valentine 2014 (City Council, At-Large).....	11/26/2013
Timothy Thomas (D.C. Democrat Committee, Ward-5).....	11/26/2013

OCF Entrance Conference Participants
November 14, 2013

Pat Johnson (Treasurer, Charles Allen for Ward 6)
Laura Marks (Chairperson, Charles Allen for Ward 6)
Deneira Owens (Candidate, City Council At-Large)
Ben Foshager (Candidate, Mayor)
Martin Sterbal (Candidate, U. S. Senate)
Eugen Puryear (Candidate, City Council At-Large)
Christy White (Treasurer, Robert White At-Large)
Joy Zarembka (Committee to Elect Andy Shalal)

Referrals to OGC

There were no referrals in the month of November

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:
November 2013

Reviews Completed – 11

Political Action Committees (includes amended filings).....	1
Constituent Service Funds (includes amended filings).....	3
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	6
Exploratory Committee (Ron Williams).....	1
Independent Expenditures.....	1
Twenty-four Hour Pre- Election Reports.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	1
Follow-up letters sent.....	1
Case(s) Resolved.....	12
Cases Referred to the Office of General Counsel (Nestor for Mayor, Ross 2014, Friends of Calvin Gurley Committee to Elect John Settles II, Committee to Elect Diallo Brooks, Ross 2014)	5
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Webinars Attended – Institute of Internal Auditors.....	2
Hearings Attended (Washington DC Association of Realtors).....	1
Outreach Education Seminars Attended – Institute of Internal Auditors.	1
Outside Seminars Attended	0

Full Field Audits

Investigative Audits

1. **Gray For Mayor** – The final audit report was completed in draft on May 15, 2012; however, at the request of the U.S. Attorney’s Office, additional information may be necessary. The Final Audit Report has not been released for public dissemination.

Newly Elected Official

1. **Bonds for Council** - The Preliminary Audit Report was issued on November 27, 2013.
2. **Mendelson for Chairman** - The Preliminary Audit Report was issued on November 13, 2013.

Periodic Random Audits – Political Action Committees and Continuing Committees

1. **Mara 2013** - The Statement of Findings was issued on November 21, 2013.
2. **Committee to Elect Perry Redd** - The Statement of Findings was issued on October 28, 2013. The audit staff is awaiting additional information based on the Committee’s response.
3. **Elissa 2013** - The Statement of Findings was issued on October 28, 2013. The audit staff is awaiting additional information based on the Committee’s response.

Periodic Random Audits – Constituent Services Programs – October 1, 2013 Filing

1. **Phil’s Fund for Citizen- Service** - The Final Audit Report was issued on November 6, 2013.
2. **Citizens Outreach Fund (Bonds)** – The Statement of Findings was issued on November 18, 2013. The Final Audit Report was issued on November 19, 2013.

OFFICE OF THE GENERAL COUNSEL

2013 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received					0		
Opinion Request Completed					0		
Complaints Received					0		
Internal Queries					0		
Preliminary Investigations Initiated					0		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		
Informal Hearings Referred					5		

Informal Hearings Completed					3		
Informal Hearings Orders Issued					12*		
Petitions for Enforcement Referrals to BOE					0		

*This figure represents 1 Cease and Desist order, 2 orders to Vacate prior orders, 2 Failure to Timely File orders in which no fines were imposed, 3 RFAI orders in which no fines were imposed, 2 ANC orders in which no fines were imposed 1 Failure to Timely File order in which a fine of \$100.00 was imposed and 1 RFAI order in which a fine of \$1,000.00 was imposed.

Board Statistics for September, 2013

Board Meeting October 2, 2013

1. Actual Matters referred

PIRM

PCC 0
PAC's 0
ANC's 0
Constituent Services 0
Statehood Fund 0

RAAD

PCC 5
PAC's 0
Constituent Services 0
Statehood Fund 0

2. Notices of Hearings	12
First Notices	5
Second Notices	7
3. Notices and Orders Vacated	2
4. Hearings Conducted	3
5. Orders which imposed fines	2
6. Fines imposed	\$1,100.00
7. Fines collected	\$825.00
	(1) \$500.00 D.C. Legal
	(2) \$100.00 Natalie Williams for Ward 8
	(3) \$75.00 Committee to Elect Kathy Henderson
	(4) \$150.00 Guria Navden ANC6A02
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0
10. Outstanding Fines	0 (All outstanding Petitions for Enforcement were presented to the BOE on 11/04/2013).

LIST OF OPEN OCF INVESTIGATIONS

December 4, 2013

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104 Complainant: Internally Generated March 15, 2011
Respondent: Gray for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit
Division for a Full Field Audit.
Issuance of the Final Audit Report contingent upon
coordination with another enforcement agency.

OCF FI 2013-013 Complainant: Internally Generated June 24, 2013
Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2013-014 Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Pending

OCF PI 2013-019 Complainant: Internally Generated September 16, 2013
Respondent: Frank Sewell
Infraction: Failure to comply with OCF registration
requirements and failure to properly identify campaign
literature.
Second Hearing October 28, 2013. FTA

INTERPRETATIVE OPINIONS

December 4, 2013

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

December 4, 2013

NONE