

June 5, 2013

**OFFICE OF THE DIRECTOR**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:

May 2013: There were no Filing Deadlines during the month of May 2013

**New Candidates and Committees Registered for 2014 Election**

**May**

Mary Cheh/Cheh 2014 (City Council, Ward-3).....	05/15/2013
Tommy Wells/Tommy Wells for Mayor (Mayor).....	05/17/2013

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD’s production stats:

**Reviews Completed - 28**

Political Action Committees (includes amended filings).....	16
Constituent Service Funds (includes amended filings).....	9
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	3
Exploratory Committees.....	0
Twenty-Four Hour Pre-Election Reports.....	0
Independent Expenditures.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	0
Follow-up letters sent.....	5
Case(s) Resolved.....	30
Case Referred to the Office of the General Counsel .....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated.....	0
Hearings Attended .....	2
Outreach Education Seminars Attended .....	0
Outside Seminars Attended.....	0

**Full Field Audits**

**Investigative Audits**

- 1) **Gray For Mayor** – The final audit report was completed in draft on May 15, 2012; however, at the request of the U.S. Attorney’s Office, additional information may be necessary. The Final Audit Report has not been released for public dissemination.

- 2) **Gaston 2012** – Based on a complaint filed on November 15, 2012 with the OCF, an Audit Notification Letter (ANL) was sent to the Committee on February 5, 2013. Because the Committee did not respond to the ANL, the Committee was referred to the OCF General Counsel on February 20, 2013 for failing to provide the requested audit records. The OCF Office of the General Counsel held an informal hearing on March 13, 2013. The Committee failed to appear for the informal hearing as requested. On April 12, 2012 an order was issued by the OCF Office of the General Counsel and the Committee was subsequently fined. However, on May 23, 2013, the Committee provided records to the Audit staff. After an inventory was conducted, additional records were requested on May 30, 2013 by the Audit staff.

#### **Full Field Audit – Newly Elected Official**

- 1) **Kwame for Chair** – The Preliminary Audit Report was issued on January 15, 2013. On March 13, 2013, the Committee provided the Amended Consolidated Report per recommendation by the Audit staff; however, additional issues remain outstanding. The Committee appeared for an informal hearing on May 1, 2013 to address those issues. The matter remains under review.
- 2) **Kenyan McDuffie 2012** – The Preliminary Audit Report was issued on February 22, 2013. The Committee responded on March 22, 2013. Additional information was requested after the Audit staff reviewed the Committee's response. The additional information has been submitted and is under review.
- 3) **Grosso for Council 2012** – The Preliminary Audit Report was issued on April 26, 2013. The Committee has scheduled a meeting with the Audit staff to discuss the findings contained in the Preliminary Audit Report on Monday, June 3, 2013.

#### **Periodic Random Audits – Political Action Committees and Continuing Committees – January 31, 2013 Filing**

- 1) AJ Cooper At-Large – The Statement of Findings was issued on May 8, 2013. On May 17, 2013, the Committee met with the Audit staff to discuss the Statement of Findings. The Audit staff is currently reviewing their response.
- 2) DC Chamber of Commerce PAC – The Final Audit Report was issued on May 3, 2013.

#### **Periodic Random Audits – Constituent Services Programs – April 1, 2013 Filing**

- 1) The Common Good (Catania CSF) – The Statement of Findings was issued on May 31, 2013.
- 2) Mary Cheh Ward 3 CSF – The Statement of Findings was issued on May 31, 2013.
- 3) Our Ward 5 (McDuffie CSF) – The Statement of Findings was issued on May 31, 2013.

2013 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received					0		
Opinion Request Completed					0		
Complaints Received					0		
Internal Queries					0		
Preliminary Investigations Initiated					0		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		
Informal Hearings Referred					2		

Informal Hearings Completed					2		
Informal Hearings Orders Issued					*13		
Petitions for Enforcement Referrals to BOE					0		

\*This figure represents 2 Failure to Timely File Orders in which fines totaling \$500.00 were imposed and 9 Failure to Timely File Orders in which no fines were imposed and 2 Request for additional information Orders in which no fines were imposed.

Board Statistics for May, 2013

Board Meeting June 5, 2013

1. Actual Matters referred

**PIRM**

PCC 0  
PAC's 0  
ANC's 0  
Constituent Services 0  
Statehood Fund 0

**RAAD**

PCC 0  
PAC's 2  
Constituent Services 0

Statehood Fund	0
2. Notices of Hearings	8
First Notices	6
Second Notices	2
3. Notices and Orders Vacated	11
4. Hearings Conducted	8
5. Orders which imposed fines	2
6. Fines imposed	\$500.00
7. Fines collected	\$500.00
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

June 5, 2013

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit  
Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2012-117

Complainant: Internally Generated, September 21, 2012

Respondent: Hakim Sutton (Former Treasurer for Michael Brown 2012)

Infraction: Reporting Violations

Status: Complaint filed with BOE 12/31/2012

OCF PI 2012-124

Complainant: Anthony Green, November 16, 2012

Respondent: Gaston 2012

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

OCF PI 2013-012

Complainant: Internally Generated April 19, 2013

Respondent: Patrick Mara

Infraction: Misuse of donor information from 2008  
Campaign for Council At-Large

Status: Pending

INTERPRETATIVE OPINIONS

June 5, 2013

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

June 5, 2013

NONE