

March 7, 2013

**OFFICE OF THE DIRECTOR**

Committee on Government Operations Hearing on OCF FY 2012 Performance held February 21, 2013.

Publication period closed on February 23, 2013 for 8 Vacant Positions (6 Audit Positions and 2 Legal Positions)

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
January 2013

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES**

**January 31<sup>st</sup> R&E Reports**

Total number of Required Filers.....	120 (60PCCs - 60PACs)
Total number of Timely Filers.....	104 (50PCCs – 54PACs)*
Total number of Extensions.....	1 (1PCCs – 0PACs)
Total number of Late Filers.....	2 (0PCCS - 2PACs)
Total number of Failures to File.....	13 (9PCCs – 4PACs)
Total number of OGC Referrals.....	15 (9PCCs – 6PACs)
<i>Total number of Electronic Filings.....</i>	<i>85 (46PCCs – 39PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>70 (40PCCs – 30PACs)</i>

\*5 PCC filings were termination reports

**ADVISORY NEIGHBORHOOD COMMISSION MEMBERS**

**Financial Summary Statement (Filing Deadline January 29, 2013)**

Total number of Required Filers.....	394
Total number of Timely Filers.....	360
Total number of Failures to File .....	34
Total number of OGC Referrals.....	34

Total number of Electronic Filings.....231

Total number of Certified E-Filings.....230

**New Candidates and Committees registered for the 2013 Special Election**

**February 2013**

Matt Garcia.....02/04/2013

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD’s production stats:

February 2013

**Reviews Completed – 170**

Political Action Committees (includes 8DPG, December 10, 2012, January 31, 2013 and Amended filings).....	105
Constituent Service Funds (includes amended filings).....	8
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	57
Exploratory Committees .....	0
Independent Expenditures.....	0
Twenty-four Hour Pre- Election Reports.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	44
Follow-up letters sent.....	6
Case(s) Resolved.....	30
Cases Referred to the Office of General Counsel (Gaston 2012, Mayor Gray CSF, Mike Panetta Rep).....	3
Cases Referred for Contributions with Same Address and Similar Signatures.....	4
Cases Terminated .....	0
Hearings Attended .....	1
Outreach Education Seminars Attended.....	0
Outside Seminars Attended .....	0

**Full Field Audits**

**Investigative Audits**

1. **Gray For Mayor** – The audit process is ongoing. The Audit Staff is awaiting the availability of additional information from another enforcement agency which may require the issuance of an Interim Audit Report.

**Newly Elected Official**

1. **Kwame for Chair** - The Preliminary Audit Report was issued on January 15, 2013. The Committee Response is due by February 28, 2013.
2. **Kenyan McDuffie 2012** - The Preliminary Audit Report was issued on February 22, 2013.
3. **Grosso for Council 2012** – The Audit fieldwork is in progress.

**Periodic Random Audits – Political Action Committees and Continuing Committees – January 31, 2013 Filing**

1. AJ Cooper At-Large – The Audit Notification Letter was issued on February 27, 2013.
2. Metropolitan Washington PAC – The Audit Letter was issued on February 27, 2013.
3. DC Chamber of Commerce PAC – The Audit Notification Letter was issued on February 27, 2013.

**OFFICE OF THE GENERAL COUNSEL**

2013 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received		0					
Opinion Request Completed		0					
Complaints Received		0					
Internal Queries		0					
Preliminary Investigations Initiated		0					
Preliminary Investigations Orders Issued		1					

Full Investigations Initiated		0					
Full Investigations Orders Issued		0					
Informal Hearings Referred		18					
Informal Hearings Completed		8					
Informal Hearings Orders Issued		15*					
Petitions for Enforcement Referrals to BOE		0					

\*This figure represents 6 Failure to Timely File Orders in which no fines were imposed, 1 Order arising from a complaint in which no fine was imposed, 5 failure to Timely File Orders in which \$2,500 in fines were imposed and 3 Failure to Respond to Requests for Additional Information in which \$5,000.00 in fines were imposed.

Board Statistics for February, 2013

Board Meeting March 7, 2013

1. Actual Matters referred

**PIRM**

PCC	9
PAC's	6
Lobbyist	0
Constituent Services	0

FDS	0
Statehood Fund	0

**RAAD**

PCC	2
PAC's	0
Lobbyist	0
Constituent Services	1
FDS	0
Statehood Fund	0

2. Notices of Hearings 6

First Notices 6

Second Notices 0

3. Notices and Orders Vacated 7

4. Hearings Conducted 5

5. Orders which imposed fines 8

6. Fines imposed \$7,500.00

7. Fines collected \$.00

8. Investigations completed 0  
Orders issued

9. Petitions for Enforcement 0

LIST OF OPEN OCF INVESTIGATIONS

March 7, 2013

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2012-117

Complainant: Internally Generated, September 21, 2012

Respondent: Hakim Sutton (Former Treasurer for Michael Brown 2012)

Infraction: Reporting Violations

Status: Complaint filed with BOE 12/31/2012

OCF PI 2012-124

Complainant: Anthony Green, November 16, 2012

Respondent: Gaston 2012

Infraction: Reporting Violations

Status: This matter was referred to the Audit  
Division for a Full Field Audit.

OCF PI 2013-001

Complainant: Dorothy Brizill, January 9, 2013

Respondent: Michael Brown 2013

Infraction: Failure to include required information on  
campaign literature

Status: Order Issued 02/28/2013

INTERPRETATIVE OPINIONS

March 7, 2013

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

March 7, 2013

NONE