

October 3, 2012

**OFFICE OF THE DIRECTOR**

Notice of Emergency and Proposed Rulemaking - will amend Title 3, "Elections and Ethics", Chapters 30 – 37, and 99 of the District of Columbia Municipal Regulations, which govern the operations of the Office of Campaign Finance. The proposed regulations will fully implement those provisions of the "Board of Ethics and Government Accountability Establishment and Comprehensive Ethics Reform Amendment Act of 2011" (the "Ethics Act" or the "Ethics Reform Amendment Act of 2011") (D.C. Law 19-0124; effective April 27, 2012), set forth in Title III, the "Campaign Finance Act of 2011".

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
September 2012

**FILING DEADLINES**

**There were no scheduled Statutory Deadlines for the filing of Financial Reports during the month of September 2012.**

**Lobbyist Registration Fees** *(as of September 30, 2012)*

Total Number of Lobbyists Registered for Calendar Year 2012.....269

Registration Fees collected in the total amount of \$57,200.00 from the New Registrants

**New Candidates and Committees Registered for the 2012 Elections**

***September 2012***

**There were no Statements of Candidacy or Statements of Organization submitted for filing from new candidates or committees during the month of September 2012.**

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD's production stats:  
September 2012

**Reviews Completed – 28**

Lobbyists.....	0
Political Action Committees.....	0
Constituent Service Funds .....	0
US Senator/Representative .....	0

Principal Campaign Committees (includes Amended Filings).....	28
Exploratory Committees.....	0
Financial Disclosure Statements.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	03
Follow-up letters sent.....	0
Cases Resolved.....	27
Cases Referred to the Office of General Counsel.....	6
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated .....	0
Hearings Attended .....	0
Outreach Education Seminars Attended (New Campaign Finance Act of 2011 – CSF).....	0
Outside Seminars Attended .....	1

**Periodic Random Audits**

**Political Action Committees – July 31, 2012 Filings**

1. **Baker & Hostetler DC PAC** – The Final Audit Report was issued on September 24, 2012.
2. **DC Democratic State Committee** – The Statement of Findings was issued on September 24, 2012.

**Full Field Audits**

**Investigative Audits**

1. **Gray For Mayor** – The audit process is ongoing. In light of new developments, the Audit Staff is awaiting additional information before issuing an Interim Audit Report.
2. **Michael Brown At-Large** – The Final Audit Report issued on September 21, 2012, with a referral to the OCF Office of the General Counsel for investigation.

**Newly Elected Official**

1. **Kwame for Chair** - The Audit fieldwork is in progress.
2. **Orange At-Large/Special Election 2011-** The Interim Audit Report was issued on August 21, 2012. On September 21, 2012, a partial response was received, and the remaining documents are due October 5, 2012.

**OFFICE OF THE GENERAL COUNSEL**

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received			0				
Opinion Request Completed			0				
Complaints Received			0				
Internal Queries			0				
Preliminary Investigations Initiated			0				
Preliminary Investigations Orders Issued			0				
Full Investigations Initiated			1				
Full Investigations Orders Issued			0				
Informal Hearings Referred			4				

Informal Hearings Completed			10				
Informal Hearings Orders Issued			25*				
Petitions for Enforcement Referrals to BOEE			0				

\*This figure represents Failure to Timely File Orders in which no fines were imposed, 17 Failure to Timely File Orders in which no fines were imposed, 3 Request for Additional Information Orders in which no fines were imposed and 5 Requests for Additional Information Orders in which fines totaling \$5,500.00 were imposed.

Board Statistics for September, 2012

Board Meeting October 3, 2012

1. Actual Matters referred

**PIRM**

PCC	0
PAC's	0
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0

**RAAD**

PCC	3
PAC's	1
Lobbyist	0
Constituent Services	0

FDS	0
Statehood Fund	0
2. Notices of Hearings	45
First Notices	28
Second Notices	17
3. Notices and Orders Vacated	20
4. Hearings Conducted	8
5. Orders which imposed fines	5
6. Fines imposed	\$5,500.00
7. Fines collected	0
8. Investigations completed	0
Orders issued	
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

October 3, 2012

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2012-116

Complainant: Terrance Lynch, July 17, 2012

Respondent: Yasmin Romero-Castillo ANC-1D01

Infraction: Conflict of Interest

Status: Pending

OCF FI 2012-117

Complainant: Internally Generated, September 21, 2012

Respondent: Michael Brown 2012

Infraction: Reporting Violations

Status: Pending

INTERPRETATIVE OPINIONS

October 3, 2012

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

October 3, 2012

NONE