

September 5, 2012

OFFICE OF THE DIRECTOR

Mayor Gray's Campaign Finance Reform Proposal (August 28, 2012).

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
August 2012

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

August 10th R&E Reports

Total number of Required Filers.....100 (56PCCs - 44PACs)
Total number of Timely Filers.....75 (37PCCs – 38PACs)*
Total number of Extensions.....3 (3PCCs – 0PAC)
Total number of Failures to File.....22 (16PCCs – 6PACs)
Total number of OGC Referrals.....22 (16PCCs – 6PACs)
Total number of Electronic Filings.....67 (36PCCs – 31PACs)
Total number of Certified E-Filings.....59 (35PCCs – 24PACs)

**4 of the filings were Termination Reports.*

LOBBYIST ACTIVITY REPORTS (Update)

July 10, 2012

Total number of Required Filers.....237
Total number of Timely Filers.....225
Total number of Extensions.....34
Total number of Failures to File.....12
Total number of Filers (extended deadline).....10
Total number of OGC Referrals.....2

Total number of Electronic Filings.....107

Total number of Certified E-Filings.....97

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES (Update)

July 31st R&E Reports

Total number of Required Filers.....36 (18PCCs - 18PACs)

Total number of Timely Filers.....28 (13PCCs – 15PACs)

Total number of Extensions.....1 (1PCCs – 0PACs)

Total number of Failures to File.....7 (4PCCs – 3PACs)

Total number of OGC Referrals.....7(4PCCs – 3PACs)

Total number of Electronic Filings.....25(14PCCs – 11PACs)

Total number of Certified E-Filings.....17(6PCCs – 11PACs)

**5 of the PCC filings were Termination Reports.*

Lobbyist Registration Fees (as of August 30, 2012)

Total Number of Lobbyists Registered for Calendar Year 2012.....265

Registration Fees collected in the total amount of \$56,400.00 from the New Registrants

New Candidates and Committees registered for 2012 Elections

August 2012

George Jackson (City Council, At-Large).....8/2/2012

Villareal Johnson/Cmte. to Elect Villareal VJ Johnson (School Board, Ward-7).....8/6/2012

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
August 2012

Reviews Completed – 117

Lobbyists.....05
Political Action Committees.....49
Constituent Service Funds (Amended Filings)4
US Senator/Representative2
Principal Campaign Committees 57
Exploratory Committees.....0 \\
Financial Disclosure Statements.....0

Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	13
Follow-up letters sent.....	0
Cases Resolved.....	15
Cases Referred to the Office of General Counsel.....	1
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	7
Hearings Attended	0
Outreach Education Seminars Attended (New Campaign Finance Act of 2011 – CSF).....	0
Outside Seminars Attended	0

Periodic Random Audits

Constituent Services Funds – April 1, 2012 Filings

1. **Mayor Gray’s CSP** – The Final Audit Report was issued on August 10, 2012.

Political Action Committees – July 31, 2012 Filings

1. **Baker & Hostetler DC PAC** – The Audit Notification Letter was issued on August 21, 2012.
2. **DC Democratic State Committee** – The Audit Notification Letter was issued on August 21, 2012.

Full Field Audits

Investigative Audits

1. **Gray For Mayor** – In light of new developments, the Audit Staff is in the process of preparing an Interim Audit Report.
2. **Michael Brown At-Large** – The Preliminary Audit Report issued on August 30, 2012. The Response is due on September 10, 2012.

Newly Elected Official

1. **Kwame for Chair** - The Audit fieldwork is in progress.
2. **Orange At-Large/Special Election 2011-** The Interim Audit Report was issued August 21, 2012. The Response is due on September 5, 2012.

OFFICE OF THE GENERAL COUNSEL

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received		0					
Opinion Request Completed		1					
Complaints Received		0					
Internal Queries		0					
Preliminary Investigations Initiated		0					
Preliminary Investigations Orders Issued		0					
Full Investigations Initiated		0					
Full Investigations Orders Issued		0					
Informal Hearings Referred		32					

Informal Hearings Completed		2					
Informal Hearings Orders Issued		9*					
Petitions for Enforcement Referrals to BOEE		0					

*This figure represents 3 Failure to Timely File Orders in which no fines were imposed, 2 Failure to Timely File Orders in which \$600.00 in fines were imposed, 1 Request for Additional Information Order in which a fine of \$550.00 was imposed and 3 Requests for Additional Information Orders in which no fines were imposed.

Board Statistics for August, 2012

Board Meeting September 5, 2012

1. Actual Matters referred

PIRM

PCC	20
PAC's	9
Lobbyist	2
Constituent Services	0
FDS	0
Statehood Fund	0

RAAD

PCC	0
PAC's	0
Lobbyist	1

Constituent Services	0
FDS	0
Statehood Fund	0
2. Notices of Hearings	11
First Notices	9
Second Notices	2
3. Notices and Orders Vacated	6
4. Hearings Conducted	2
5. Orders which imposed fines	3
6. Fines imposed	\$1,150.00
7. Fines collected	\$1,000.00
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

September 5, 2012

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF PI 2012-116

Complainant: Terrance Lynch, July 17, 2012

Respondent: Yasmin Romero-Castillo ANC-1D01

Infraction: Conflict of Interest

Status: Pending

INTERPRETATIVE OPINIONS

September 5, 2012

#12-03 Requestor: Barry A. Bostrom, Esq., of ActRight Legal Services

Submitted: Revised submission July 13, 2012

Issue: Registration and reporting requirements

Status: Issued August 10, 2012

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

September 5, 2012

NONE