

July 11, 2012

**OFFICE OF THE DIRECTOR**

D.C. Act 19-383, the “Fiscal Year 2013 Budget Support Emergency Act of 2012”, Subtitle G, the “Financial Disclosure and Ethics Reform Clarification Emergency Amendment Act of 2012”, effective June 19, 2012. Expiration Date: September 17, 2012.

Presented Testimony before the Committee on Government Operations of the Council of the District of Columbia on June 25, 2012 on:

Bill 19-713, the “Campaign Finance Reform Amendment Act of 2012”;

Bill 19-730, the “Money Order Restriction Amendment Act of 2012”; and

Bill 19-733, the “District of Columbia Employment and Corporate Contributions Amendment Act Of 2012”

Submitted on June 27, 2012, Proposed Regulations for the Office of Campaign Finance, consistent with the new Campaign Finance Act of 2011, for review by the Office of the General Counsel of the Board

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
June 2012

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES**  
**June 10th R&E Reports**

Total number of Required Filers.....	98 (52PCCs - 46PACs)
Total number of Timely Filers.....	82 (40PCCs – 42PACs)*
Total number of Extensions.....	2 (2PCCs – 0PAC)
Total number of Failures to File.....	16 (12PCCs – 4PACs)
Total number of OGC referrals.....	16 (12PCCs – 4PACs)
<i>Total number of Electronic Filings.....</i>	<i>63 (34PCCs – 29PACs)</i>

Total number of Certified E-Filings.....56 (34PCCs – 22PACs)

\*7 of the filings were termination reports.

**Lobbyist Registration Fees (as of 6/30/12)**

218 Total Number of Lobbyists Registered for Calendar Year 2012

218 Registration Fees collected in the amount of \$50,600.00 from the New Registrants

**New Candidates and Committees registered for 2012 Elections**

**June 2012**

- David Schwartzman (U.S. Senate).....6/15/2012
- Leon Swain/Swain 2012 (City Council, At-Large).....6/20/2012
- Marvin Tucker/Committee To Elect Marvin Tucker (School Board, At-Large).....6/20/2012
- Mary Lord/Mary Lord 2012 (School Board, At-Large).....6/20/2012
- Jimmy Johnson/Ward 7 for Jimmy (City Council, Ward-7).....6/21/2012
- Larry Pretlow/Pretlow for Ward 8 (School Board, Ward-8).....6/21/2012
- Robert Matthews/Matthews for Chairman (City Council, Chair).....6/21/2012
- Robert Matthews/Matthews for Ward 7 (School Board, Ward-7).....6/21/2012
- Trayon White/Committee To Re-Elect Trayon White (School Board, Ward-8).....6/27/2012
- A.J. Cooper/AJ Cooper at Large (City Council, At-Large).....6/28/2012
- Phil Mendelson/Mendelson For Chairman (City Council, Chair).....6/28/2012
- Karen Williams/KW for Ward 7 SBOE (School Board, Ward-7).....6/29/2012

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD’s production stats:

June, 2012 through July 9, 2012

**Reviews Completed – 75**

Lobbyists.....	0
Political Action Committees.....	37
Constituent Service Funds (Includes Amended Filings) .....	11
US Senator/Representative .....	0

Principal Campaign Committees (Includes Amended Filings).....	27
Exploratory Committees.....	0
Financial Disclosure Statements.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	24
Follow-up letters sent.....	0
Cases Resolved.....	1
Cases Referred to the Office of General Counsel.....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated .....	14
Hearings Attended (Jordan for DC, Gaston 2012, Kabel 2012, Natalie Williams, and Monica Johnson).....	5
Outreach Education Seminar Attended (New Campaign Finance Act of 2011 – CSF).....	1
Outside Seminars Attended .....	0

**Periodic Random Audits – Continuing Committees – January 31, 2012 Filings**

1. **Mara 2010** – The Final Audit Report was issued on June 14, 2012.

**Periodic Random Audits – Constituent Services Funds –April 1, 2012 Filings**

1. **Mayor Gray’s CSP** – The Statement of Findings was issued on June 26, 2012.
2. **Vincent Orange, Sr. CSP** – The Statement of Findings was issued on June 26, 2012.

**Full Field Audits - Investigative**

1. **Gray For Mayor** – The Final Audit Report is complete and under review by the OCF General Counsel.
2. **Michael Brown At-Large** – The audit work is in progress. The Audit staff is still waiting for additional records.

**Full Field Audits – Newly Elected Official**

1. **Kwame for Chair** - The Audit fieldwork is in progress.
2. **Orange At-Large/Special Election 2011-** The Preliminary Audit Report was issued on July 9, 2012.

**On June 19, 2012, the Audit staff issued 14 letters to the treasurers of each elected official regarding the new Constituent Service Program requirements pursuant to the “Campaign Finance Act of 2011”.**

**OFFICE OF THE GENERAL COUNSEL**

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

July 11, 2012

	January	February	March	April	May	June	
Opinion Request Received						1	
Opinion Request Completed						0	
Complaints Received						0	
Internal Queries						0	
Preliminary Investigations Initiated						0	
Preliminary Investigations Orders Issued						1	
Full Investigations Initiated						0	
Full Investigations Orders Issued						0	
Informal Hearings Referred						16	

Informal Hearings Completed						7	
Informal Hearings Orders Issued						*15	
Petitions for Enforcement Referrals to BOEE						0	

\*This figure represents 12 Failure to Timely File Orders in which no fines were imposed and 3 Failure to Timely File Orders in which \$4,200.00 in fines were imposed

Board Statistics for June, 2012

Board Meeting July 11, 2012

1. Actual Matters referred

**PIRM**

PCC	12
PAC's	4
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0

**RAAD**

PCC	0
PAC's	0
Lobbyist	0
Constituent Services	0

FDS	0
Statehood Fund	0
2. Notices of Hearings	21
First Notices	6
Second Notices	15
3. Notices and Orders Vacated	12
4. Hearings Conducted	7
5. Orders which imposed fines	3
6. Fines imposed	\$4,200.00
7. Fines collected	0
8. Investigations completed Orders issued	2
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

July 11, 2012

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF FI 2011-104                      Complainant: Internally Generated March 15, 2011  
Respondent: Gray for Mayor  
Principal Campaign Committee  
Infraction: Reporting Violations  
Status: This matter was referred to the Audit  
Division for a Full Field Audit;  
The Preliminary Audit has been completed and upon  
completion and issuance of the Final Audit Report, OCF  
will determine whether an investigation is warranted.

OCF PI-2012-103                      Complainant: Keith Jarrell, initiated April 2, 2012  
Respondent: Councilmember Muriel Bowser  
Infraction: Misuse of government resources  
Status: Order issued July 10, 2012

OCF PI-2012-105                      Complainant: Karen A. Todd, initiated May 14, 2012  
Respondent: Candidate Kenyan McDuffie  
Infraction: Misuse of government resources  
Status: Order issued July 6, 2012

INTERPRETATIVE OPINIONS

July 11, 2012

#12-03 Requestor: Barry A. Bostrom, Esq., of ActRight Legal Services

Submitted: May 30, 2012

Issue: Registration and reporting requirements  
Status: Request revised July 6, 2012  
#12-04 Requestor: Edwin W. Powell  
Submitted: June 13, 2012  
Issue: Potential Conflict of Interest  
Status: Pending

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

July 11, 2012

NONE