

September 4, 2013

**OFFICE OF THE DIRECTOR**

**OFFICE OF THE GENERAL COUNSEL (See Attachments)**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
August 2013:

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES**

**July 31<sup>st</sup> Report of Receipts and Expenditures**

Total number of Required Filers.....	64 (47PCCs - 17PACs)
Total number of Timely Filers.....	58 (41PCCs – 17PACs)*
Total number of Extensions.....	1 (1PCCs – 0PACs)
Total number of Late Filers.....	1 (1PCCS - 0PACs)
Total number of Failures to File.....	4 (4PCCs – 0PACs)
Total number of OGC Referrals.....	5 (5PCCs – 0PACs)
<i>Total number of Electronic Filings.....</i>	<i>55 (39PCCs –16PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>45 (32PCCs –13PACs)</i>

\*Eleven (11) PCC filings and two (2) PAC/Initiative filings were termination reports

**August 10<sup>th</sup> Report of Receipts and Expenditures**

Total number of Required Filers.....	50 (7PCCs - 43PACs)
Total number of Timely Filers.....	45 (4PCCs – 41PACs)*
Total number of Extensions.....	0 (0PCCs – 0PACs)
Total number of Late Filers.....	1 (0PCCS - 1PACs)
Total number of Failures to File.....	4 (3PCCs – 1PACs)
Total number of OGC Referrals.....	5 (3PCCs – 2PACs)
<i>Total number of Electronic Filings.....</i>	<i>35 (3PCCs –32PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>28 (3PCCs –25PACs)</i>

\*One (1) PCC filing was termination report

New Candidates and Committees registered for the 2014 Election

**August 2013**

Anita Bonds/Bonds for Council 2014 (At-Large).....	08/01/2013
Calvin Gurley/Friends of Calvin H. Gurley 2014 (Council, Chair).....	06/16/2013

Exploratory Committees registered for 2014

**August 2013**

Darrel Thompson/Darrel Thompson Ward 6 Exploratory Committee.....	08/21/2013
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**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD’s production stats:

Reviews Completed -106

Political Action Committees (includes amended filings).....	60
Constituent Service Funds .....	0
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	45
Exploratory Committee.....	1
Twenty-Four Hour Pre-Election Reports.....	0
Independent Expenditures.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	30
Follow-up letters sent.....	0

Case(s) Resolved.....	14
Cases Referred to the Office of the General Counsel (Gaston 2013 per audit).....	1
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated.....	8
Hearings Attended (Kwame for Chair).....	1
Outreach Education Seminars Attended .....	0
Outside Seminars Attended.....	0

\*The training of new Auditors has been on going and constant throughout the month of August 2013.

**FULL FIELD AUDITS -INVESTIGATIVE AUDITS**

- 1) **Gray For Mayor** – The final audit report was completed in draft on May 15, 2012; however, at the request of the U.S. Attorney’s Office, additional information may be necessary. The Final Audit Report has not been released for public dissemination.
- 2) **Gaston 2012** – The Final Audit Report was issued on August 28, 2013.
- 3) **Our Ward 5 CSF** – The Preliminary Audit Report was issued on August 9, 2013. The Committee response is due by September 9, 2013.

**Full Field Audit – Newly Elected Official**

- 1) **Bonds for Council** – The Audit Notification Letter was issued on August 2, 2013. The Audit to commence on September 9, 2013.

**Periodic Random Audits – PACs and Continuing Committees**

- 1) **Ward 7 Democratic Committee** – The Audit Notification letter was issued on August 9, 2013. The records are due by September 2, 2013.
- 2) **Ward 3 Democratic Committee** – The Audit fieldwork is in progress.
- 3) **Washington DC Association of Realtors** – The Audit Notification Letter was issued on August 9, 2013. The audit records were submitted by August 30, 2013.
- 4) **Mara 2013** – The Audit Notification letter was issued on August 2013. The records are due by September 16, 2013.
- 5) **Committee to Elect Perry Redd** – The Audit Notification letter was issued on August 15, 2013. The records are due by September 16, 2013.
- 6) **Elissa 2013** – The Audit Notification letter was issued on August 15, 2013. The records are due by September 16, 2013.

2013 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received		0					
Opinion Request Completed		0					
Complaints Received		0					
Internal Queries		0					
Preliminary Investigations Initiated		1					
Preliminary Investigations Orders Issued		0					
Full Investigations Initiated		0					
Full Investigations Orders Issued		0					
Informal Hearings Referred		11					
Informal Hearings		7					

Completed							
Informal Hearings Orders Issued		16*					
Petitions for Enforcement Referrals to BOE		10					

\*This figure represents 13 Failure to Timely File Orders in which no fines were imposed and 3 Failure to Timely File Orders in which \$2,700.00 in fines were imposed.

Board Statistics for August, 2013

Board Meeting September 4, 2013

1. Actual Matters referred

**PIRM**

PCC	8
PAC's	2
ANC's	0
Constituent Services	0
Statehood Fund	0

**RAAD**

PCC	1
PAC's	0
Constituent Services	1
Statehood Fund	0

2. Notices of Hearings	25
First Notices	18
Second Notices	7
3. Notices and Orders Vacated	13
4. Hearings Conducted	7
5. Orders which imposed fines	3
6. Fines imposed	\$2,700.00
7. Fines collected	\$150.00 (1) \$150.00 Cmte. To Elect Bill Quirk
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	10
10. Outstanding Fines	10 Cases (Fines due in the total sum of \$9,200); Petitions for Enforcement were filed with BOE on 8/30/2013)

LIST OF OPEN OCF INVESTIGATIONS

September 4, 2013

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2012-124

Complainant: Anthony Green, November 16, 2012

Respondent: Gaston 2012

Infraction: Reporting Violations

Status: Final Audit issued August 27, 2013.

Referred to OGC for a Show Cause Hearing

OCF FI 2013-012

Complainant: Internally Generated April 19, 2013

Respondent: Patrick Mara

Infraction: Misuse of donor information from 2008

Campaign for Council At-Large

Status: Hearings completed, order pending.

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun  
Infraction: Campaign contribution violations  
Status: Pending

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013  
Respondent: Stanley Straughter  
Infraction: Campaign contribution violations  
Status: Pending

OCF FI 2013-015

Complainant: Internally Generated June 25, 2013  
Respondent: Advisory Neighborhood Commission 5E  
Infraction: Misuse of government resources  
Status: Pending

OCF FI 2013-016

Complainant: Internally Generated July 6, 2013  
Respondent: Kwame for Chair  
Infraction: Reporting Violations  
Status: This matter was referred by the OCF RAAD  
Hearing conducted August 15, 2013.  
Order pending.

OCF FI 2013-017

Complainant: Internally Generated August 7, 2013  
Respondent: Evans Constituent Service Fund  
Infraction: Excessive contributions  
Status: Pending



INTERPRETATIVE OPINIONS

September 4, 2013

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

September 4, 2013

NONE