

September 7, 2011

**OFFICE OF THE DIRECTOR**

**OFFICE OF THE GENERAL COUNSEL**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:

August 2011

**PRINCIPAL CAMPAIGN COMMITTEES (PCCs) & POLITICAL ACTION  
COMMITTEES (PACs)**

July 31<sup>st</sup> (Due August 1, 2011) Report of Receipts & Expenditures

- 53 Total number of required filers (27PCCs – 26PACs)
- 40 Total number of required timely filers (19PCCs – 21PACs)
- 3 Total number of extensions (2PCCs - 1PAC)
- 10 Total number of failures to file (6PCCs - 4PACs)
- 10 Total number of OGC referrals (6PCCs - 4PACs)
  
- 32 Total number of Electronic Filings (17PCCs – 15PACs)
- 28 Total number of Certified E-Filings (14PCCs – 14PACs)

**PRINCIPAL CAMPAIGN COMMITTEES (PCCs) & POLITICAL ACTION  
COMMITTEES (PACs)**

August 10<sup>th</sup> Report of Receipts & Expenditures

- 54 Total number of required filers (17PCCs – 37PACs)
- 37 Total number of required timely filers (7PCCs – 30PACs)
- 1 Total number of extensions (0PCCs - 1PAC)
- 16 Total number of failures to file (10PCCs - 6PACs)
- 16 Total number of OGC referrals (10PCCs - 6PACs)
  
- 30 Total number of Electronic Filings (6PCCs – 24PACs)
- 24 Total number of Certified E-Filings (6PCCs – 18PACs)

**LOBBYIST REGISTRATION FEES (as of 08/31/11)**

- 247 Total number of New Lobbyists Registered for 2011
- 247 Registration Fees collected in the amount of \$47,300 from New Lobbyists for 2011 (96,650.00Balance in Special Fund)

**New Candidates and Committees registered for 2012 Elections**

August 2011

- Ronald Moten/Cmte. To Elect Ronald Moten for Ward 7City Council .....8/03/2011
- Tom Brown/Tom Brown for Ward 7 (City Council, Ward-7).....8/16/2011
- Kevin B. Chavous/Chavous for Ward 7 2012 (City Council, Ward-7).....8/23/2011

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD's production stats:

August 2011

**107 Reviews Completed**

- 11 Lobbyists
- 57 PAC
- 0 CSF
- 0 US S/R
- 38 PCC (July 31<sup>st</sup> and August 10, 2011filings)
- 1 Exploratory Committee
- 0 FDS
- 0 Initiative/Referendum
- 20 Audit Letters (RFAI) Sent
- 1 Follow-up letters sent
- 13 Cases Resolved
- 0 Case Referred to the Office of General Counsel
- 0 Cases Terminated
- 0 Hearings Attended
- 1 Outreach Education Seminars Attended
- 0 Outside Seminars Attended

**Periodic Random Audits – Citizen Services Programs – April 1, 2011 Filings)**

1. **Ward 6 Constituent Service Program** – The Final Audit Report was issued on August 30, 2011.
2. **Phil's Fund for Citizen Service** – The Final Audit Report was issued on August 18, 2011.

**Periodic Random Audits – Lobbyist Activity Reports – July 10, 2011 Filings)**

1. Bruce C. Bereano (Lobbyist)/Safeway, Inc. (Compensating Registrant) – The Audit Notification Letter was issued on August 18, 2011.
2. Bruce C. Bereano (Lobbyist)/Collective Mgmt. Assoc. (Compensating Registrant) – The Notification Letter was issued on August 18, 2011.
3. Bruce C. Bereano (Lobbyist)/EnerNoc, Inc. (Compensating Registrant) – The Audit Notification Letter was issued on August 18, 2011.
4. Bruce C. Bereano (Lobbyist)/DC Cmte. To Protect & Preserve Independ. Gasoline and Auto Repair (Compensating Registrant) – The Audit Notification Letter was issued on August 18, 2011.

**Note: An Extension was requested by the Lobbyist on August 26, 2011.**

**Full Field Audit – Investigative Audit**

1. **Gray For Mayor** – The audit fieldwork is in progress.

2011 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received		1					
Opinion Request Completed		1					
Complaints Received		0					
Internal Queries		1					
Preliminary Investigations Initiated		0					
Preliminary Investigations Orders Issued		0					
Full Investigations Initiated		0					
Full Investigations Orders Issued		0					
Informal Hearings Referred		16					
Informal Hearings		25					

Completed							
Informal Hearings		24*					
Orders Issued							
Petitions for Enforcement		0					
Referrals to BOEE							

\*This Figure represents 17 FDS Orders in which no fines were imposed 3 Failure to Timely File Orders in which no fines were imposed and 4 Failure to Timely File Orders in which \$4,600.00 in fines were imposed.

Board Statistics for August, 2011

Board Meeting September 7, 2011

1. Actual Matters referred

PCC	10
PAC's	6
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0

2. Notices of Hearings 157

First Notices	96
Second Notices	61

3. Notices and Orders Vacated	12
4. Hearings Conducted	25
5. Orders which imposed fines	4
6. Fines imposed	\$4, 600.00
7. Fines collected	0
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

September 7, 2011

OCF FI 2011-105(a) & (b)	Complainant: Bob Kabel initiated March 22, 2011
	Respondents: Harry Thomas, Jr. and Victoria Leonard- Chambers Councilmember for Ward 5
	Infraction: Conflict of Interest
	Status: Final disposition delayed due to the unavailability of witnesses who are cooperating with another investigative agency.

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final Audit Report,  
OCF will determine whether an investigation is  
warranted.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final

Audit Report, OCF will determine whether an  
investigation is warranted.

SHOW CAUSE PROCEEDINGS

September 7, 2011

NONE

INTERPRETATIVE OPINIONS

September 7, 2011

#11-03      Requestor:      Joseph Askew

Submitted:      August 5, 2011

Issue:              Political Action Committee's/Independent Expenditures

Status:              Issued August 26, 2011

(All Interpretative Opinions are on-line.)