

October 2, 2013

OFFICE OF THE DIRECTOR

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
September 2013.

New Candidates and Committees registered for the 2014 Election

September 2013

Beverly Wheeler/Beverly Wheeler for Ward 1 (City Council9/12/2013
Robert White/Robert White At-Large (City Council).....9/17/2013
Frank Sewell/Sewell for Mayor 2014.....9/23/2013

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:

Reviews Completed -11

Political Action Committees (includes amended filings).....3
Constituent Service Funds0
US Senator/Representative.....0
Principal Campaign Committees (includes amended filings).....8
Exploratory Committees.....0
Twenty-Four Hour Pre-Election Reports.....0
Independent Expenditures.....0
Initiative/Referendum Committees.....0
Audit Letters (RFAI) Sent.....0
Follow-up letters sent.....0
Case(s) Resolved.....10
Cases Referred to the Office of the General Counsel (Re-Elect Yvette Alexander failure to respond RFAI).....1
Cases Referred for Contributions with Same Address and Similar Signatures.....0
Cases Terminated.....0

Hearings Attended	0
Outreach Education Seminars Attended	1
Outside Seminars Attended.....	0

*The training of new Auditors has been on going and constant throughout the month of September 2013.

Specialized in house training for all staff auditors on September 19th and 20th 2013- course title “Writing High Impact Audit Reports”.

FULL FIELD AUDITS -INVESTIGATIVE AUDITS

1. **Gray For Mayor** – The final audit report was completed in draft on May 15, 2012; however, at the request of the U.S. Attorney’s Office, additional information may be necessary. The Final Audit Report has not been released for public dissemination
2. **Our Ward 5 CSF-** The Preliminary Audit Report was issued on August 9, 2013. The Committee response was received on September 9, 2013. Additional records have been requested by the Audit staff.

Full Field Audit – Newly Elected Official

- 1) **Bonds for Council** – The audit records were received on September 20, 2013. Additional Records have been requested. The pre-audit steps have been completed.
- 2) **Mendelson for Chairman** – The Audit Notification Letter was issued on September 24, 2013. The audit records are due to OCF by October 15, 2013.

Periodic Random Audits – PACs and Continuing Committees

- 1) **Ward 7 Democratic Committee** – The Statement of Findings was issued on September 27, 2013.
- 2) **Ward 3 Democratic Committee** – The Statement of Findings was issued on September 5, 2013. The response has been received and is being reviewed.
- 3) **Washington DC Association of Realtors** – Statement of Findings was issued on September 10, 2013. The response has been received; however, after review, additional documents have been requested.
- 4) **Mara 2013** – The Audit fieldwork is in progress.
- 5) **Committee to Elect Perry Redd** – The Audit fieldwork is in progress.
- 6) **Elissa 2013** – The Audit fieldwork is in progress.

OFFICE OF THE GENERAL COUNSEL

2013 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received			0				
Opinion Request Completed			0				
Complaints Received			0				
Internal Queries			0				
Preliminary Investigations Initiated			0				
Preliminary Investigations Orders Issued			0				
Full Investigations Initiated			0				
Full Investigations Orders Issued			1*				

Informal Hearings Referred			3				
Informal Hearings Completed			6				
Informal Hearings Orders Issued			14**				
Petitions for Enforcement Referrals to BOE			0				

*This figure represents an investigation in which the matter was dismissed and no fine was imposed. ** This figure represents 14 Failure to Timely File Orders in which no fines were imposed.

Board Statistics for September, 2013

Board Meeting October 2, 2013

1. Actual Matters referred

PIRM

PCC	0
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	2
PAC's	1
Constituent Services	0
Statehood Fund	0
2. Notices of Hearings	17
First Notices	10
Second Notices	7
3. Notices and Orders Vacated	15
4. Hearings Conducted	6
5. Orders which imposed fines	0
6. Fines imposed	\$0.00
7. Fines collected	\$316.33 (1) \$150.00 Fleming 2012 (2) \$166.33 Paterson for Ward 8
8. Investigations completed Orders issued	1
9. Petitions for Enforcement	0
10. Outstanding Fines	8 Cases (Fines due in the total sum of \$8,500); Petitions for Enforcement were filed with BOE on 08/30/2012.

LIST OF OPEN OCF INVESTIGATIONS

October 2, 2013

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2012-124

Complainant: Anthony Green, November 16, 2012

Respondent: Gaston 2012

Infraction: Reporting Violations

Status: Final Audit issued August 27, 2013.

Hearing scheduled for October 8, 2013

OCF FI 2013-012 Complainant: Internally Generated April 19, 2013
Respondent: Patrick Mara
Infraction: Misuse of donor information from 2008
 Campaign for Council At-Large
Status Order issued September 27, 2013.

OCF FI 2013-013 Complainant: Internally Generated June 24, 2013
Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2013-014 Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2013-015 Complainant: Internally Generated June 25, 2013
Respondent: Advisory Neighborhood Commission 5E
Infraction: Misuse of government resources
Status: Order to be issued October 3, 2013

OCF FI 2013-016 Complainant: Internally Generated July 6, 2013
Respondent: Kwame for Chair
Infraction: Reporting Violations

Status: This matter was referred by the OCF RAAD
Order to be issued October 3, 2013.

OCF FI 2013-017

Complainant: Internally Generated August 7, 2013
Respondent: Evans Constituent Service Fund
Infraction: Excessive contributions
Status: Resolved, inquiry concluded

OCF PI 2013-019

Complainant: Internally Generated September 16, 2013
Respondent: Frank Sewell
Infraction: Failure to comply with OCF registration
requirements and failure to properly identify campaign
literature.
Hearing Scheduled for October 7, 2013.

INTERPRETATIVE OPINIONS

October 2, 2013

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

October 2, 2013

NONE