

May 8, 2013

OFFICE OF THE DIRECTOR

Testimony presented before the Council Committee on Government Operations on the OCF FY 2014 Proposed Budget Hearing held on April 22, 2013.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
April 2013

FILING DEADLINES

CITIZEN SERVICE PROGRAMS

April 1, 2013 Report of Receipts & Expenditures

Total number of Required Filers.....	12
Total number of Timely Filers.....	12
Total number of Extensions.....	1
Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>12</i>
<i>Total number of Certified E-Filings.....</i>	<i>12</i>

SENATOR/REPERSENTATIVE STATEHOOD FUNDS

April 1, 2013 Report of Receipts & Expenditures

Total number of Required Filers.....	3
Total number of Timely Filers.....	3
Total number of Extensions.....	0
Total number of Late Filers.....	0
Total number of Failures to File.....	0

Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>3</i>
<i>Total number of Certified E-Filings.....</i>	<i>2</i>

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEE

April 15, 2013 8 Day Pre Special Election Report of Receipts & Expenditures

Total number of Required Filers.....	53 (9PCCs – 44 PACs)
Total number of Timely Filers.....	45 (9PCCs – 36PACs)
Total number of Extensions.....	0 (0PCCs – 0PACs)
Total number of Late Filers.....	4 (0PCCs – 4PACs)
Total number of Failures to File.....	5 (1PCCs – 4PACs)
Total number of OGC Referrals.....	9 (1PCCs – 8PACs)
<i>Total number of Electronic Filings.....</i>	<i>39 (6PCCs – 33PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>35(6PCCs – 29PACs)</i>

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
April 2013

Reviews Completed – 109

Political Action Committees (includes amended filings).....	48
Constituent Service Funds (includes amended filings).....	15
US Senator/Representative.....	3
Principal Campaign Committees.....	20
Exploratory Committee	1
Independent Expenditures.....	1
Twenty-four Hour Pre- Election Reports.....	21
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	26
Follow-up letters sent.....	2
Case(s) Resolved.....	16
Cases Referred to the Office of General Counsel (Evans CSF – corporate contribution).....	1
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Outreach Education Seminars Attended.....	0
Outside Seminars Attended	0

Full Field Audits

Investigative Audits

1. **Gray For Mayor** – The final audit report was completed in draft on May 15, 2012; however, at the request of the U.S. Attorney’s Office, additional information may be necessary. The Final Audit Report has not been released for public dissemination.

Newly Elected Official

1. **Kwame for Chair** - The Preliminary Audit Report was issued on January 15, 2013. On March 13, 2013, the Committee provided the Amended Consolidated Report per recommendation by the Audit staff; however, additional issues remained outstanding. The Committee appeared for an informal hearing on May 1, 2013 to address those issues. The matter remains under review.
2. **Kenyan McDuffie 2012** - The Preliminary Audit Report was issued on February 22, 2013. The Committee responded on March 22, 2013. Additional information was requested after the Audit staff reviewed the Committee’s response; and due on April 26, 2013. Referred to OGC on April 29, 2013, who made contact with the Committee. The information is forthcoming
3. **Grosso for Council 2012** – The Preliminary Audit Report was issued on April 26, 2013. The Committee response is due on May 06, 2013.

Periodic Random Audits – Political Action Committees and Continuing Committees – January 31, 2013 Filing

1. AJ Cooper At-Large – The audit fieldwork is in progress.
2. Metropolitan Washington PAC – The Final Audit Report was issued on April 9, 2013.
3. DC Chamber of Commerce PAC – The Final Audit Report was issued on May 3, 2013.

Periodic Random Audits – Constituent Services Programs – April 1, 2013 Filing

1. The Common Good (Catania (CSF) – The Audit Notification Letter was issued on April 25, 2013.
2. Mary Cheh Ward 3 CSF – The Audit Notification Letter was issued on April 25, 2013.
3. Our Ward 5 (McDuffie CSF) – The Audit Notification Letter was issued on April 25, 2013.

OFFICE OF THE GENERAL COUNSEL

2013 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				1			
Internal Queries				1			
Preliminary Investigations Initiated				1			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				11			

Informal Hearings Completed				9			
Informal Hearings Orders Issued				25*			
Petitions for Enforcement Referrals to BOE				0			

*This figure represents 9 Failure to Timely File Orders in which fines totaling \$9,400.00 were imposed and 14 Failure to Timely File Orders in which no fines were imposed 1 Failure to Respond to Requests for Additional Information Order in which a fine of \$400.00 was imposed and 1 Failure to Respond to Requests for Additional Information in which no fine was imposed.

Board Statistics for April, 2013

Board Meeting May 8, 2013

1. Actual Matters referred

PIRM

PCC	1
PAC's	8
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	1
PAC's	0

Constituent Services	1
Statehood Fund	0
2. Notices of Hearings	13
First Notices	12
Second Notices	1
3. Notices and Orders Vacated	15
4. Hearings Conducted	9
5. Orders which imposed fines	10
6. Fines imposed	\$9,400.00
7. Fines collected	\$2,050.00
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

May 8, 2013

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2012-117

Complainant: Internally Generated, September 21, 2012

Respondent: Hakim Sutton (Former Treasurer for Michael Brown 2012)

Infraction: Reporting Violations

Status: Complaint filed with BOE 12/31/2012

OCF PI 2012-124

Complainant: Anthony Green, November 16, 2012

Respondent: Gaston 2012

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

OCF PI 2013-012

Complainant: Internally Generated April 19, 2013

Respondent: Patrick Mara

Infraction: Misuse of donor information from 2008 Campaign for Council At-Large

Status: Pending

INTERPRETATIVE OPINIONS

May 8, 2013

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

May 8, 2013

NONE