

May 8, 2012

OFFICE OF THE DIRECTOR

OFFICE OF THE GENERAL COUNSEL

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
April 2012

CITIZEN SERVICE PROGRAMS

April 1, 2012 Report of Receipts & Expenditures

- 15 Total number of Required Filers
- 14 Total number of Timely Filers
- 0 Total number of Late Filers
- 1 Total number of Extensions
- 1 Total number of Failures to File
- 1 Total number of OGC Referrals
- 14 Total number of Electronic Filings
- 12 Total number of Certified E-Filings

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

April 1, 2012 Report of Receipts & Expenditures

- 3 Total number of Required Filers
- 3 Total number of Timely Filers
- 0 Total number of Late Filers
- 0 Total number of Extensions
- 0 Total number of Failures to File
- 0 Total number of OGC Referrals
- 3 Total number of Electronic Filings
- 1 Total number of Certified E-Filings

FINANCIAL DISCLOSURE PROGRAM

April 03, 2012

Issued letters to 2,306 Financial Disclosure Statement filers 2011 advising them of the enactment of the "Board of Ethics and Government Accountability Establishment and Comprehensive

Ethics Reform Emergency Act of 2012” and that the Office of Campaign Finance is no longer responsible for the enforcement of the Financial Disclosure Program

LOBBYIST REGISTRATION FEES (as of 04/30/12)

- 202 Total number of New Lobbyists Registered for 2012
- 202 Registration Fees collected in the amount of \$44,000 from New Lobbyists

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:

April 2012

87 Reviews Completed

- 0 Lobbyists
- 12 PAC
- 15CSF (Includes Amended Filings)
- 2 US S/R
- 58 PCC (Includes amended filings)
- 0 Exploratory Committees
- 0 FDS
- 0 Initiative/Referendum Committees
- 26 Audit Letters (RFAI) Sent
- 12 Follow-up letters sent
- 26 Cases Resolved
- 3 Cases Referred to the Office of the General Counsel
(Mara 2010 – failure to provide additional records for Audit, Orange - At-Large – failure to provide additional records in a timely manner, and Patterson for Ward 8 – Case was dismissed)
- 5 Cases Referred for Contributions With Same Address and Similar Signatures
- 0 Cases Terminated
- 6 Hearings Attended (Stand 4 Marriage Initiative, Chavous 2012, Lobbyist Bruce Bereano, DC Latino PAC, Sekou Biddle 2012, and Michael Brown 2021)
- 0 Outreach Education Seminars Attended
- 1 Outside Seminars Attended (Web Seminar – FEC)

Periodic Random Audits – Lobbyist Activity Reports – July 10, 2012 Filings

1. **Bruce C. Bereano (Lobbyist)/EnerNOC, Inc. (Compensating Registrant)** – The Final Audit Report was issued on April 27, 2012.

Periodic Random Audits – Lobbyist Activity Reports - January 10, 2012 Filings

1. **Stand for Marriage Initiative** – This case was initially referred to the OCF Office of the General Counsel for failing to respond to the request for audit records. The informal hearing was held on April 2, 2012. The Statement of Findings was issued on April 18, 2012.

Periodic Random Audits – Lobbyist Activity Reports - January 10, 2012 Filings

1. **Curtis Boykin, Lobbyist/Verizon Wireless (Compensating Registrant)** – The Audit Report was issued on April 13, 2012.

Periodic Random Audits – Continuing Committees - January 31, 2012 Filings

1. **Orange At-Large** – The Audit fieldwork is in progress.
2. **Mara 2012** – The audit fieldwork is in progress. The Audit staff has requested additional information. The Committee was referred to the OCF Office of the General Counsel on April 23, 2012 for failure to provide additional audit records as requested by the Audit staff. An informal hearing has been scheduled for May 8, 2012.

Full Field Audit – Investigative Audit

1. **Gray For Mayor** – The Audit staff is in the process of writing the Final Audit Report.

FOIA Request

1. The City Paper

Incorporated new Code “Board of Ethics and Government Accountability Establishment and Comprehensive Ethics Reform Emergency Amendment Act of 2021” into current Recordkeeping/Constituent Services Regulations.

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

May 8, 2012

	January	February	March	April	May	June	
Opinion Request Received				0			
Opinion Request				0			

Completed							
Complaints Received				1			
Internal Queries				0			
Preliminary Investigations Initiated				1			
Preliminary Investigations Orders Issued				1			
Full Investigations Initiated				0			
Full Investigations Orders Issued				2			
Informal Hearings Referred				15			
Informal Hearings Completed				6			
Informal Hearings Orders Issued				16*			
Petitions for Enforcement Referrals to BOEE				0			

*This figure represents 16 Failure to Timely File Orders in which no fines were imposed.

Board Statistics for April, 2012

Board Meeting May 8, 2012

1. Actual Matters referred

PIRM

PCC	12
PAC's	0
Lobbyist	0
Constituent Services	1
FDS	0
Statehood Fund	0

RAAD

PCC	2
PAC's	
Lobbyist	
Constituent Services	
FDS	
Statehood Fund	

2. Notices of Hearings

First Notices	10
Second Notices	3

3. Notices and Orders Vacated 6

4. Hearings Conducted 6

5. Orders which imposed fines	0
6. Fines imposed	0
7. Fines collected	0
8. Investigations completed Orders issued	3
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

May 8, 2012

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

The Preliminary Audit has been completed and upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF PI 2012-102	Complainant: Bob Kabel initiated January 13, 2012
	Respondents: Gertrude Stein Democratic Club and DC Democratic State Committee
	Infraction: Misuse of government resources
	Status: Investigation completed Order issued 04/17/2012
OCF FI-2012-103	Complainant: Dorothy Brizill initiated March 8, 2012
	Respondents: Michael D. Brown for Senate Principal Campaign Committee
	Infraction: Failure to include disclaimers on Campaign Literature
	Status: Investigation completed Order issued 04/17/2012
OCF FI-2012-104	Complainant: Robert Kabel initiated January 13, 2012
	Respondent: John Salatti Principal Campaign Committee
	Infraction: Failure to timely file a Statement of Organization
	Status: Investigation completed Order issued 04/17/2012
OCF PI-2012-103	Complainant: Keith Jarrell initiated April 2, 2012
	Respondent: Councilmember Muriel Bowser
	Infraction: Misuse of government resources
	Status: Pending

INTERPRETATIVE OPINIONS

May 8, 2012

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

May 8, 2012

NONE