

June 6, 2012

**OFFICE OF THE DIRECTOR**

D.C. Act 19-0371, the “Board of Ethics and Government Accountability Establishment and Comprehensive Ethics Reform Clarification Emergency Amendment Act of 2012”, effective May 16, 2012

Bill 19-743, the “Fiscal Year 2013 Budget Support Act of 2012”, Subtitle G, the “Financial Disclosure and Ethics Reform Clarification Amendment Act of 2012”, May 15, 2012

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
May, 2012

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES  
8 Day Pre Special Election Report of Receipts & Expenditures**

- 17 Total number of Required Filers (17PCCs – 0PACs)
- 15 Total number of Timely Filers (15PCCs – 0PACs)
- 1 Total number of Extensions (1PCCs - 0PACs)
- 1 Total number of Failures to File (1PCCs - 0PACs)
- 0 Total number of OGC Referrals (1PCCs - 0PACs)
  
- 15 Total number of Electronic Filings (15PCCs – 0PACs)
- 14 Total number of Certified E-Filings (14PCCs- 0PACs)
  
- 3 Total number of Filings were Termination Reports

**LOBBYIST REGISTRATION FEES (as of 5/31/12)**

- 212 Total number of New Lobbyists Registered for 2012
- 212 Total Registration Fees collected in the amount of \$46,300

## **REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD's production stats:  
June, 2012

### **Reviews Completed – 43**

- 0 Lobbyists
- 1 PAC
- 12 CSF (Includes Amended Filings)
- 0 US S/R
- 30 PCC (Includes Amended Filings)
- 0 Exploratory Committee
- 0 FDS
- 0 Initiative/Referendum
- 16 Audit Letters (RFAI) Sent
- 2 Follow-up letters sent
- 19 Cases Resolved
- 6 Cases Referred to the Office of General Counsel
- 0 Cases Referred for Contributions with Same Address and Similar Signatures
- 0 Cases Terminated
- 1 Hearing Attended (Mara 2010)
- 0 Outreach Education Seminars Attended
- 0 Outside Seminars Attended

### **Periodic Random Audits – Continuing Committees – January 31, 2012 Filings**

1. **Orange At-Large** – The Audit fieldwork is in progress. The Audit staff is 75% complete.
2. **Mara 2010** – The Statement of Findings was issued on May 14, 2012.
3. **Stand for Marriage Initiative** – The Final Audit Report was issued on May 9, 2012.

### **Periodic Random Audits – Constituent Services Funds –April 1, 2012 Filings**

1. **Mayor Gray's CSP** – The Audit Notification Letter was issued on May 23, 2012
2. **Vincent Orange, Sr. CSP** – The Audit Notification Letter was issued on May 23, 2012.

### **Full Field Audit – Investigative Audit**

1. **Gray For Mayor** – The Final Audit Report is completed and under review by the OCF General Counsel

### **Full Field Audit – Newly Elected Official**

1. **Kwame for Chair** - The Audit Notification letter was issued on May 15, 2012. The Committee records are due to the Audit staff by June 11, 2012.

**OFFICE OF THE GENERAL COUNSEL**  
2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

June 6, 2012

|  | January | February | March | April | May | June |  |
|--|---------|----------|-------|-------|-----|------|--|
| Opinion Request<br>Received                    |         |          |       |       | 0   |      |  |
| Opinion Request<br>Completed                   |         |          |       |       | 0   |      |  |
| Complaints<br>Received                         |         |          |       |       | 1   |      |  |
| Internal Queries                               |         |          |       |       | 0   |      |  |
| Preliminary<br>Investigations<br>Initiated     |         |          |       |       | 1   |      |  |
| Preliminary<br>Investigations<br>Orders Issued |         |          |       |       | 0   |      |  |
| Full Investigations<br>Initiated               |         |          |       |       | 0   |      |  |
| Full Investigations<br>Orders Issued           |         |          |       |       | 0   |      |  |
| Informal Hearings                              |         |          |       |       | 8   |      |  |

|   |  |  |  |  |    |  |  |
|---|--|--|--|--|----|--|--|
| Referred                                    |  |  |  |  |    |  |  |
| Informal Hearings Completed                 |  |  |  |  | 4  |  |  |
| Informal Hearings Orders Issued             |  |  |  |  | *8 |  |  |
| Petitions for Enforcement Referrals to BOEE |  |  |  |  | 0  |  |  |

\*This figure represents 7 Failure to Timely File Orders in which no fines were imposed and 1 Failure to Timely File Order in which a fine of \$100.00 was imposed

Board Statistics for May, 2012

Board Meeting June 6, 2012

1. Actual Matters referred

**PIRM**

PCC 1  
PAC's 0  
Lobbyist 0  
Constituent Services 0  
FDS 0  
Statehood Fund 0

**RAAD**

PCC 7  
PAC's 0  
Lobbyist 0

|  |          |
|--|----------|
| Constituent Services                         | 0        |
| FDS  | 0        |
| Statehood Fund                               | 0        |
| 2. Notices of Hearings                       | 18       |
| First Notices                                | 4        |
| Second Notices                               | 14       |
| 3. Notices and Orders Vacated                | 7        |
| 4. Hearings Conducted                        | 4        |
| 5. Orders which imposed fines                | 1        |
| 6. Fines imposed                             | \$100.00 |
| 7. Fines collected                           | 0        |
| 8. Investigations completed<br>Orders issued | 0        |
| 9. Petitions for Enforcement                 | 0        |

LIST OF OPEN OCF INVESTIGATIONS

June 6, 2012

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

The Preliminary Audit has been completed and upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF PI-2012-103

Complainant: Keith Jarrell, initiated April 2, 2012

Respondent: Councilmember Muriel Bowser

Infraction: Misuse of government resources

Status: Pending

OCF PI-2012-105

Complainant: Karen A. Todd, initiated May 14, 2012

Respondent: Candidate Kenyan McDuffie

Infraction: Misuse of government resources

Status: Pending

#### INTERPRETATIVE OPINIONS

June 6, 2012

#12-03 Requestor: Barry A. Bostrom, Esq., of ActRight Legal Services

Submitted: May 30, 2012

Issue: Registration and reporting requirements

Status: Pending

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

June 6, 2012

NONE