

June 7, 2011

OFFICE OF THE DIRECTOR

The “Comprehensive Ethics Reform Act of 2011”, B19-0297, was introduced on May 17, 2011 in the Committee of the Whole of the Council of the District of Columbia and referred to the Committee on Government Operations and the Environment.

OCF Performance Statistics will be published at the OCF Website under “OCF NEWS” following the monthly BOEE Meeting.

OFFICE OF THE GENERAL COUNSEL (See Attachments)

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
May 2011

FINANCIAL DISCLOSURE STATEMENTS

May 15, 2011

- 2940 Total number of required filers
- 1908 Total number of required timely filers
- 1032 Total number of failures to file
- TBD Total number of OGC referrals

- 1474 Total number of Electronic Filings
- 1324 Total number of Certified E-Filings

LOBBYIST REGISTRATION FEES (as of 05/31/11)

- 202 Total number of New Lobbyists Registered for 2011
- 201 Registration Fees collected in the amount of \$42,450 from New Lobbyists
- 1 Outstanding Lobbyist who registered and has not paid the fee

New Candidates and Committees registered for 2012 Elections

April/May

- Jack Evans/Evans 2012 (City Council/Ward-2)4/13/2011
- Muriel Bowser/Bowser 2012 (City Council/Ward-4).....4/28/2011
- Michael Brown/Michael Brown 2012 (City Council/At-Large).....5/16/2011
- Baruti Jahi/Jahi for Ward-4 (City Council/Ward-4).....5/18/2011

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:
May 2011

93 Reviews Completed

- 55 Lobbyists
- 12 PAC
- 3 CSF
- 0 US S/R
- 23 PCC (Includes amended filings)
- 0 Exploratory Committee
- 0 FDS
- 0 Initiative/Referendum
- 2 Audit Letters (RFAI) Sent
- 3 Follow-up letters sent
- 7 Cases Resolved
- 1 Case Referred to the Office of General Counsel (Sulamom Brown for Mayor - no response to audit)
- 1 Cases Terminated
- 0 Hearings Attended
- 0 Outreach Education Seminars Attended
- 0 Outside Seminars Attended

Periodic Random Audits – Political Action Committees (January 31, 2011 Report)

1. **Yes on Amendment Four Committee** – The Final Audit Report was issued on May 31, 2011.
2. **Stand for Marriage Initiative** – The Audit staff has referred this to the OCF General Counsel for failing to respond to audit notification letter.
3. **Bud's Pac** – The Final Audit Report was issued on May 24, 2011.

Desk Review as a Result of Complaint Filed

Yvette Alexander Constituent Service Fund – The review is in progress. The Findings and Recommendations will be forwarded to the OCF Office of General Counsel on June 6, 2011.

Full Field Audit – Investigative Audit

1. **Gray For Mayor** – The audit fieldwork is in progress.

2011 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL
June 7, 2011

	January	February	March	April	May	June	
Opinion Request Received					1		
Opinion Request Completed					1		
Complaints Received					0		
Internal Queries					0		
Preliminary Investigations Initiated					0		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		
Informal Hearings Referred					7		
Informal Hearings Completed					21		
Informal Hearings Orders Issued					21*		
Petitions for Enforcement Referrals to BOEE					1		

* This figure represents 17 Orders in which no fines were imposed and 4 Administrative Dismissals in which no fines were imposed.

Board Statistics for May, 2011
Board Meeting June 7, 2011

1. Actual Matters referred	
PCC	1
PAC's	6
Lobbyist	0
Constituent Services	0
ANC's	0
FDS	0
Statehood Fund	0
RFAI (Audit Division)	0
2. Notices of Hearings	57
First Notices	3
Second Notices	54
3. Notices and Orders Vacated	0
4. Hearings Conducted	17
5. Orders which imposed fines	0
6. Fines imposed	0
7. Fines collected	0
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	1

INTERPRETATIVE OPINIONS

June 7, 2011

#2011-02 Requestor: ANC Commissioner, Albrette “Gigi” Ransom
Submitted: May 18, 2011
Issue: Conflict of Interest
Status: Issued May 31, 2011

(All Interpretative Opinions are on-line.)

LIST OF OPEN OCF INVESTIGATIONS

June 7, 2011

OCF FI 2010-102 Complainant: Vincent Orange
Respondent: Kwame Brown
At-Large Councilmember
Infraction: Conflict of Interest
Originated: September 9, 2010
Status: This matter was referred to the Audit Division for a Full Field Audit. A Final Audit Report was issued on April 5, 2011. Pursuant to the Final Audit Report, OCF has initiated investigations regarding the following:
(A) 2004 Committee to Elect Kwame Brown and (B) 2008 Committee to Re-Elect Kwame Brown

OCF FI 2011-105(a) &(b) Complainant: Bob Kabel initiated March 22, 2011
Respondents: Harry Thomas, Jr. and Victoria Leonard- Chambers
Councilmember for Ward 5
Infraction: Conflict of Interest
Status: Pending

OCF FI 2011-103 Complainant: Internally Generated March 15, 2011
Respondent: Sulaimon Brown for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit

Division for a Full Field Audit;
upon completion and issuance of the Final
Audit Report, OCF will proceed with the
investigation.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011
Respondent: Gray for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit
Division for a Full Field Audit;
upon completion and issuance of the Final
Audit Report, OCF will proceed with the
investigation.

OCF FI 2011-106

Complainant: Geraldine Washington April 13, 2011
Respondent: Yvette Alexander
Councilmember for Ward 7
Infraction: Conflict of Interest/ Misuse of CSP Funds
Status: Pending

SHOW CAUSE PROCEEDINGS

June 7, 2011

NONE