

January 7, 2013

OFFICE OF THE DIRECTOR

OCF Website Survey launched October 3, 2012 for members of the public to comment on the usability of the Website, its services and features. The Survey closed on December 31, 2012. A total of 63 visitors to the Web Site participated in the Survey. The results of the Survey will be analyzed and recommendations submitted to the Office of Campaign Finance for consideration.

The Vacancy Announcements of nine (9) Positions available in the OCF Office of the General Counsel and the Records Analysis and Audit Division which posted at the Department of Human Resources Website on October 25, 2012, closed on November 9, 2012 for the submission of applications. OCF commenced the interview process, which is ongoing, on December 18, 2012.

2013 Biennial Report – Due January 31, 2013. The contributor and expenditure information of candidates and political committees from the previous two years is being compiled for inclusion in the Report.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
December 2012

FILING DEADLINES

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

December 10, 2010 R&E Reports

Total number of Required Filers.....	87 (40PCCs - 47PACs)
Total number of Timely Filers.....	70 (25PCCs – 45PACs)*
Total number of Extensions.....	0(0PCCs – 0PACs)
Total number of Late filers.....	5(5PCCs – 0 PACs)
Total number of Failures to File.....	12 (10PCCs – 2 PACs)
Total number of OGC Referrals.....	17 (15PCCs – 2PACs)
<i>Total number of Electronic Filings.....</i>	<i>57 (23PCCs – 34PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>47 (20PCCs – 27PACs)</i>

**3 PCC filings and 2 PAC filings were termination reports*

New Candidates and Committees registered for the 2013 Special Election

December 2012

Anita Bonds/Bonds for Council.....	12/19/2012
Diallo Brooks/Cmte. to Elect Diallo Brooks.....	12/21/2012
John Capozzi/Capozzi for City Council.....	12/11/2012
AJ Cooper/Cooper At-Large.....	12/7/2012
Matthew Frumin/Frumin At-Large.....	12/10/2012
Patrick Mara/Mara 2013.....	12/14/2012
Perry Redd/Cmte. to Elect Perry Redd.....	12/26/2012
John Settles/Cmte to Elect John F. Settles.....	12/13/2012
Elissa Silverman/Elissa 2013.....	12/21/2012
Paul Zukerberg/Cmte. to Elect Paul Zukerberg.....	12/18/2012

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:
December 2012

Reviews Completed – 98

Political Action Committees.....	55
Constituent Service Funds (includes amended filings).....	3
US Senator/Representative	0
Principal Campaign Committees (includes Amended Filings).....	37
Exploratory Committees.....	0
Independent Expenditures	1
Twenty-four Hour Pre- Election Reports.....	0
Initiative/Referendum Committees.....	2
Audit Letters (RFAI) Sent.....	11
Follow-up letters sent.....	2
Case(s) Resolved.....	9
Cases Referred to the Office of General Counsel.....	7
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Outreach Education Seminars Attended	0
Outside Seminars Attended	1

Periodic Random Audits

Constituent Services Programs – October 1, 2012 Filings

1. **Yvette Alexander Constituent Fund** – The Final Audit Report issued on December 11, 2012.
2. **Evans Constituent Service Fund** – The Final Audit Report issued on December 11, 2012.

Full Field Audits

Investigative Audits

1. **Gray For Mayor** – The audit process is ongoing. The Audit Staff is awaiting the availability of additional information from another enforcement agency which may require the issuance of an Interim Audit Report.

Newly Elected Official

1. **Kwame for Chair** - The Preliminary Audit Report is complete, and under review by the Audit Manager.
2. **Kenyan McDuffie 2012-** The Audit Fieldwork is in progress.

OFFICE OF THE GENERAL COUNSEL

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received						0	
Opinion Request Completed						0	
Complaints Received						0	
Internal Queries						0	

Preliminary Investigations Initiated						0	
Preliminary Investigations Orders Issued						0	
Full Investigations Initiated						0	
Full Investigations Orders Issued						0	
Informal Hearings Referred						23	
Informal Hearings Completed						4	
Informal Hearings Orders Issued						*17	
Petitions for Enforcement Referrals to BOEE						0	

*This figure represents 11 Failure to Timely File Orders in which no fines were imposed, 1 Request for Additional Information Order in which no fine was imposed and 5 Failure to Timely File Orders in which a total of \$3,525.00 in fines were imposed.

Board Statistics for December, 2012

Board Meeting January 7, 2012

1. Actual Matters referred

PIRM

PCC	15
PAC's	2
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0

RAAD

PCC	0
PAC's	1
Lobbyist	0
Constituent Services	5
FDS	0
Statehood Fund	0

2. Notices of Hearings 21

First Notices	17
Second Notices	4

3. Notices and Orders Vacated	12
4. Hearings Conducted	14
5. Orders which imposed fines	5
6. Fines imposed	\$3,525.00
7. Fines collected	\$0.00
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

January 7, 2013

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2012-117

Complainant: Internally Generated, September 21, 2012

Respondent: Michael Brown 2012

Infraction: Reporting Violations

Status: Complaint filed with BOE 12/31/2012

OCF PI 2012-124

Complainant: Anthony Green, November 16, 2012

Respondent: Gaston 2012

Infraction: Reporting Violations

Status: Pending

SHOW CAUSE PROCEEDINGS

January 7, 2013

NONE