

February 6, 2013

OFFICE OF THE DIRECTOR

The 2013 Biennial Report issued on January 31, 2013. The Report was presented to the Mayor and the Council of the District of Columbia, in an electronic format. The Report has also been made available for public review in an electronic format at the Washingtoniana Division of the Martin Luther King, Jr. Memorial Library, and through internet access at the OCF Web Site, at www.ocf.dc.gov. A print form will also be provided to the D.C. Library during the latter part of February 2013. The Report contains the contribution and expenditure information of candidates and political committees from the previous two year period.

Realignment of the Reports Analysis and Audit Division and the Office of the General Counsel was effective February 4, 2013.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
January 2013

FILING DEADLINES

CITIZEN SERVICE PROGRAMS

January 1, 2013 Report of Receipts & Expenditures

Total number of Required Filers.....	13
Total number of Timely Filers.....	13
Total number of Late Filers.....	0
Total number of Extensions.....	3
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
Total number of Termination Reports (M. Brown).....	1
Total number of Electronic Filings.....	13
Total number of Certified E-Filings.....	13

SENATOR/REPERSENTATIVE STATEHOOD FUNDS

January 1, 2013 Report of Receipts & Expenditures

Total number of Required Filers.....	3
Total number of Timely Filers.....	2
Total number of Extensions.....	0
Total number of Late Filers.....	1
Total number of Failure to File.....	1
Total number of OGC Referrals.....	1
Total number of Electronic Filings.....	3
Total number of Certified E-Filings.....	2

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

January 31st R&E Reports

Total number of Required Filers.....	130 (63PCCs - 67PACs)
Total number of Timely Filers.....	81 (35PCCs – 46PACs)*
Total number of Extensions.....	4 (2PCCs – 2PACs)
Total number of Late Filers.....	TBD
Total number of Failures to File.....	TBD
Total number of OGC Referrals.....	TBD
<i>Total number of Electronic Filings.....</i>	<i>65 (32PCCs – 33PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>56 (30PCCs – 26PACs)</i>

**5 PCC filings were termination reports*

ADVISORY NEIGHBORHOOD COMMISSION MEMBERS

Financial Summary Statement (Filing Deadline January 29, 2013)

Total number of required filers.....	395
Total number of timely filers.....	291
Total number of FTF.....	104

Total number of OGC referrals.....TBD

Total number of Electronic Filings.....174

Total number of Certified E-Filings.....TBD

New Candidates and Committees registered for the 2013 Special Election

January 2013

Pedro Rubio At-Large.....01/20/2013

George Jackson At-Large.....01/04/2013

Michael Brown/Michael Brown 2013.....01/07/2013

Ivan Cloyd At-Large.....01/11/2013

Candice Walsh At-Large.....01/18/2013

Miscellaneous

Dorothy Douglas, Matt Garcia and Michael Augusta Lee were referred for failing to file a Statement Candidacy for the 2013 Special Election.

Patrick Mara was referred for failing to file a Statement of Organization for the 2013 Special Election.

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:

January 2013

Reviews Completed – 46

Political Action Committees.....	0
Constituent Service Funds (includes amended filings).....	18
US Senator/Representative.....	2
Principal Campaign Committees (includes amended filings).....	26
Exploratory Committees	0
Independent Expenditures	0
Twenty-four Hour Pre- Election Reports.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	15
Follow-up letters sent.....	1
Case(s) Resolved.....	15
Cases Referred to the Office of General Counsel (Evans 2012, Bennett Ward 7).....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	1
Outreach Education Seminars Attended.....	0
Outside Seminars Attended	0

Full Field Audits

Investigative Audits

1. **Gray For Mayor** – The audit process is ongoing. The Audit Staff is awaiting the availability of additional information from another enforcement agency which may require the issuance of an Interim Audit Report.

Newly Elected Official

1. **Kwame for Chair** - The Preliminary Audit Report was issued on January 15, 2013.
2. **Kenyan McDuffie 2012** - The Audit Fieldwork is completed.
3. **Grosso for Council 2012** – The Audit Notification Letter was issued on January 29, 2013.

OFFICE OF THE GENERAL COUNSEL

2013 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received	0						
Opinion Request Completed	0						
Complaints Received	1						
Internal Queries	0						
Preliminary Investigations Initiated	1						

Preliminary Investigations Orders Issued	0						
Full Investigations Initiated	0						
Full Investigations Orders Issued	0						
Informal Hearings Referred	6						
Informal Hearings Completed	11						
Informal Hearings Orders Issued	8*						
Petitions for Enforcement Referrals to BOEE	11						

*This figure represents 8 Failure to Timely File Orders in which no fines were imposed.

Board Statistics for January, 2013

Board Meeting February 6, 2013

1. Actual Matters referred

PIRM

PCC 4

PAC's 0

Lobbyist 0

Constituent Services	1
FDS	0
Statehood Fund	0

RAAD

PCC	1
PAC's	0
Lobbyist	0
Constituent Services	
FDS	0
Statehood Fund	0
2. Notices of Hearings	26
First Notices	17
Second Notices	9
3. Notices and Orders Vacated	8
4. Hearings Conducted	11
5. Orders which imposed fines	0
6. Fines imposed	\$.00
7. Fines collected	\$500.00
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	11

LIST OF OPEN OCF INVESTIGATIONS

February 6, 2013

OCF FI 2011-103 Complainant: Internally Generated March 15, 2011
Respondent: Sulaimon Brown for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit
Division for a Full Field Audit.
Issuance of the Final Audit Report contingent upon
coordination with another enforcement agency.

OCF FI 2011-104 Complainant: Internally Generated March 15, 2011
Respondent: Gray for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit
Division for a Full Field Audit.
Issuance of the Final Audit Report contingent upon
coordination with another enforcement agency.

OCF FI 2012-117 Complainant: Internally Generated, September 21, 2012
Respondent: Hakim Sutton (Former Treasurer for Michael
Brown 2012)
Infraction: Reporting Violations
Status: Complaint filed with BOE 12/31/2012

OCF PI 2012-124

Complainant: Anthony Green, November 16, 2012

Respondent: Gaston 2012

Infraction: Reporting Violations

Status: Pending

OCF PI 2013-001

Complainant: Dorothy Brizill, January 9, 2013

Respondent: Michael Brown 2013

Infraction: Failure to include required information on
campaign literature

Status: Pending

INTERPRETATIVE OPINIONS

February 6, 2013

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

February 6, 2013

NONE