

February 1, 2012

OFFICE OF THE DIRECTOR

Budget Review Team Meeting with OCF on January 19, 2012.

D.C. Act 19-0298, the “Board of Ethics and Government Accountability Establishment and Comprehensive Ethics Reform Emergency Amendment Act of 2012”, effective January 29, 2012, upon the signature of the Mayor on January 29, 2012.

Fiscal Year 2011 OCF Performance Report submitted on January 31, 2012 to the Committee on Government Operations of the Council of District of Columbia

OFFICE OF THE GENERAL COUNSEL (OGC)

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION (PIRM)

The following is an accounting of PIRM’s production stats:
January 2012

CITIZEN SERVICE PROGRAMS

January 1, 2012 Report of Receipts & Expenditures

- 14 Total number of required filers
- 12 Total number of required timely filers
- 0 Total number of late filers
- 2 Total number of extensions approved
- 0 Total number of failures to file
- 0 Total number of OGC referrals
- 14 Total number of Electronic Filings
- 12 Total number of Certified E-Filings

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

January 1, 2012 Report of Receipts & Expenditures

- 3 Total number of required filers
- 3 Total number of required timely filers
- 0 Total number of extensions
- 0 Total number of late filers
- 0 Total number of failures to file
- 0 Total number of OGC referrals
- 3 Total number of Electronic Filings
- 2 Total number of Certified E-Filings

LOBBYIST ACTIVITY REPORTS

January 10, 2012

- 255 Total number of required filers
- 211 Total number of approved timely filers
- 26 Total number of extensions
- 18 Total number of failures to file

TBD Total number of OGC referrals

- 110 Total number of Electronic Filings
- 102 Total number of Certified E-Filings

LOBBYIST REGISTRATION FEES

- 177 Total number of New Lobbyists Registered for 2012
- \$37,850.00 Registration Fees collected from New Lobbyists

New Candidates and Committees registered for 2012 Election

Monica Johnson/Monica Johnson Campaign for Election (City Council, Ward 7).....01/03/2012
 Jordan Gehrke/Jordan for DC (Republican National Committeeman).....01/06/2012
 Delano Hunter/Hunter 2012 (City Council, Ward-5).....01/18/2012
 Jermaine Brown/Brown for Ward 5 (City Council, Ward-5).....01/20/2012
 Kenyan McDuffie/Kenyan McDuffie 2021 (City Council, Ward-5).....01/23/2012
 Nelson Rimensnyder/Rimensnyder for Senate (U.S. Senate).....01/24/2012
 Frank Wilds/Frank Wilds for Ward 5 (City Council, Ward-5).....01/24/2012
 Ruth Marshall/Cmte. To Elect Ruth Marshall for Ward-5 Council.....01/24/2012
 Kathy Henderson/Cmte. To Elect Kathy Henderson for Ward 5 Councilmember.....01/25/2012
 Amanda Broadnax (City Council, Ward -5).....01/25/2012
 Shelly Gardner/Gardner Ward 5 Council.....01/26/2012
 John Salatti for Ward 5 (City Council, Ward-5).....01/26/2012
 Edward Wolterbeek (City Council, Ward-5).....01/26/2012
 Robert Albrecht/Robert Albrecht for Ward 5 (City Council, Ward-5).....01/27/2012
 Julianne R. King/Cmte. To Elect Julianne Robertson King (City Council, Ward-5).....01/27/2012
 Bill Dwenger/Bill Dwenger for Ward 5 (City Council, Ward-5).....01/27/2012
 Tim Day/Tim Day for DC (City Council, Ward-5).....0127/2012
 Ron Magnus/Citizens to Elect Ron Magnus (City Council, Ward-5).....01/30/2012
 Caroline Petti/Petti for Ward 5 (City Council, Ward-5).....01/30/2012

REPORTS ANALYSIS AND AUDIT DIVISION (RAAD)

The following is an accounting of RAAD's production stats:
January 2012

Reviews Completed of Financial Reports –84

- 29 Lobbyists
- 0 Political Action Committees
- 17 Citizen-Service Programs (Includes Amended Filings)
- 3 US Senator/Representative Fund Programs
- 22 Principal Campaign Committees (Includes amended filings)
- 0 Exploratory Committees
- 0 Financial Disclosure Statements
- 0 Initiative/Referendum Committees
- 13 Audit Letters/Requests for Additional Information
- 4 Follow-up letters sent
- 14 Cases Resolved
- 0 Case Referred to the Office of the General Counsel
- 0 Cases Terminated
- 0 Hearings Attended
- 1 Outreach Education Seminar Attended
- 0 Outside Seminars Attended

Periodic Random Audits – Lobbyist Activity Reports - (July 10, 2011 Filings)

1. **Bruce C. Bereano (Lobbyist)/EnerNoc, Inc. (Compensating Registrant)** - The Audit staff referred this Lobbyist to the General Counsel on November 10, 2011. An informal hearing was scheduled for Wednesday, January 11, 2012. Mr. Bereano was not able to attend. Therefore a meeting with the Audit Manager was scheduled for January 30, 2012. Mr. Bereano was again unable to attend. The Audit staff must reschedule the meeting with the OCF General Counsel.

Periodic Random Audits – Constituent Service Programs (October 1, 2011 Filings)

1. **Friends of Jim Graham** – The Final Audit Report were issued on January 10, 2012.

Full Field Audit – Investigative Audit

1. **Gray For Mayor** – The Preliminary Audit Report (PAR) was issued December 16, 2011. An extension to submit the Committee's Response was requested and granted until March 19, 2012.

Employee Training – Internal training was held for the RAAD staff on January 19, 20, 30 and 21, 2012.

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

February 1, 2012

	January	February	March	April	May	June	
Opinion Request Received	1						
Opinion Request Completed	1						
Complaints Received	0						
Internal Queries	0						
Preliminary Investigations Initiated	1						
Preliminary Investigations Orders Issued	0						
Full Investigations Initiated	0						
Full Investigations Orders Issued	0						
Informal Hearings Referred	15						
Informal Hearings	10						

Completed							
Informal Hearings Orders Issued	47*						
Petitions for Enforcement Referrals to BOEE	11						

*This figure represents 43 FDS Orders 39 of which did not impose fines and 4 of which imposed fines totaling \$8,000.00 and 3 Failure to Timely File Orders which did not impose fines. 1 Order denying a Motion for reconsideration was also issued.

Board Statistics for January, 2012

Board Meeting February 1, 2012

1. Actual Matters referred

PCC	12
PAC's	2
Lobbyist	1
Constituent Services	0
FDS	0
Statehood Fund	0

2. Notices of Hearings

First Notices	39
Second Notices	16

3. Notices and Orders Vacated 39

4. Hearings Conducted 10

5. Orders which imposed fines	4
6. Fines imposed	\$8,000.00
7. Fines collected	\$0
8. Investigations completed Orders issued	1
9. Petitions for Enforcement	11

INTERPRETATIVE OPINIONS

February 1, 2012

#11-05 Requestor: Advisory Neighborhood Commissioner, Albrette Ransom
Submitted: December 29, 2011
Issue: Conflict of Interest
Status: Issued January 26, 2012

#12-01 Requestor: Jan Witold Baran and D. Mark Renaud of Wiley Rein, LLP
Submitted: January 23, 2012
Issue: Limits on contributions to PACs during election years
Status: Pending

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

LIST OF OPEN OCF INVESTIGATIONS

February 1, 2012

- OCF FI 2011-105(a) & (b) Complainant: Bob Kabel initiated March 22, 2011
- Respondents: Harry Thomas, Jr. and Victoria Leonard- Chambers
Councilmember for Ward 5
- Infraction: Conflict of Interest
- Status: Final disposition delayed due to the
unavailability of witnesses who are
cooperating with another investigative agency.
- OCF FI 2011-103 Complainant: Internally Generated March 15, 2011
- Respondent: Sulaimon Brown for Mayor
Principal Campaign Committee
- Infraction: Reporting Violations
- Status: This matter was referred to the Audit
Division for a Full Field Audit;
upon completion and issuance of the Final Audit Report,
OCF will determine whether an investigation is
warranted.
- OCF FI 2011-104 Complainant: Internally Generated March 15, 2011
- Respondent: Gray for Mayor
Principal Campaign Committee
- Infraction: Reporting Violations
- Status: This matter was referred to the Audit
Division for a Full Field Audit;
The Preliminary Audit has been completed and upon
completion and issuance of the Final Audit Report, OCF
will determine whether an investigation is warranted.

OCF PI 2011-102

Complainant: Bob Kabel initiated September 6, 2011

Respondents: Constituent Services Funds for 10 Councilmembers

Infraction: Misuse of CSP funds

Status: Order will issue February 3, 2012.

OCF PI 2012-102

Complainant: Bob Kabel initiated January 13, 2012

Respondents: Gertrude Stein Democratic Club and
DC Democratic State Committee

Infraction: Misuse of government resources

Status: Pending.

SHOW CAUSE PROCEEDINGS

February 1, 2012

NONE