

December 12, 2012

OFFICE OF THE DIRECTOR

OCF Website Survey launched October 3, 2012 for members of the public to comment on the usability of the Website, its services and features. The Survey will remain open until December 31, 2012. As of November 30, 2012, 51 visitors to the OCF Web Site participated in the Survey.

Vacancy Announcements of Positions available in the OCF Office of the General Counsel and the Records Analysis and Audit Division posted at the Department of Human Resources Website on October 25, 2012. The Announcements closed on November 9, 2012 for the submission of applications. DHS is in the process of ranking the applicants, and forwarding the “Highly Qualified” Candidates to OCF for consideration.

November 6, 2012 Election Day Activity of OCF Staff

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
November 2012

FILING DEADLINES

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

October 29th, 2012 R&E Reports (8 Day Pre-General Election R&E Reports)

Total number of Required Filers.....76 (28PCCs - 48PACs)

Total number of Timely Filers.....56 (20PCCs – 36PACs)

Total number of Failures to File.....20 (8PCCs – 12 PACs)

Total number of OGC Referrals.....20 (8PCCs – 12PACs)

Total number of Electronic Filings.....46 (19PCCs – 27PACs)

Total number of Certified E-Filings.....39 (19PCCs – 20PACs)

New Candidates and Committees registered for 2013 Special Election

November 2012

Jon Gann/Committee to Elect Jon Gann.....11/30/2012

Lobbyist Registration Fees (as of November 30, 2012)

Total Number of Lobbyists Registered for Calendar Year 2012.....281

Registration Fees collected in the total amount of \$60,100.00 from the New Registrants

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
November 2012

Reviews Completed – 130

Political Action Committees.....	64
Constituent Service Funds (includes amended filings).....	9
US Senator/Representative	0
Principal Campaign Committees (includes Amended Filings).....	51
Exploratory Committees.....	0
Independent Expenditures	1
Twenty-four Hour Pre-General Election Reports.....	5
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	26
Follow-up letters sent.....	11
Case(s) Resolved.....	9
Cases Referred to the Office of General Counsel.....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	4
Hearings Attended	1
Outreach Education Seminars Attended	0
Outside Seminars Attended	0

Periodic Random Audits

Constituent Services Programs – October 1, 2012 Filings

1. **Yvette Alexander Constituent Fund** – The Statement of Findings was issued on November 7, 2012. The Audit staff is in the process of completing the Final Audit Report.
2. **Evans Constituent Service Fund** – The Statement of Findings was issued on November 2, 2012. The Audit staff is in the process of reviewing the Fund’s response to the Findings to finalize the Final Audit Report.

Full Field Audits

Investigative Audits

1. **Gray For Mayor** – The audit process is ongoing. The Audit Staff is awaiting the availability of additional information from another enforcement agency which may require the issuance of an Interim Audit Report.

Newly Elected Official

1. **Kwame for Chair** - The Audit fieldwork is complete. The Preliminary Audit Report is being prepared.
2. **Kenyan McDuffie 2012-** The Audit Notification Letter was issued on November 9, 2012. The Committee records are due December 14, 2012 for the commencement of the Audit fieldwork.

OFFICE OF THE GENERAL COUNSEL

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received					2		
Opinion Request Completed					3		
Complaints Received					3		
Internal Queries					2		
Preliminary Investigations Initiated					0		

Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		
Informal Hearings Referred					20		
Informal Hearings Completed					14		
Informal Hearings Orders Issued					23*		
Petitions for Enforcement Referrals to BOEE					0		

*This figure represents 11 Failure to Timely File Orders in which a total \$14,575.00 in fines were imposed, 7 Failure to Timely File Orders in which no fines were imposed and 5 Show Cause Proceedings in which no fines were imposed.

Board Statistics for November, 2012

Board Meeting December 12, 2012

1. Actual Matters referred

PIRM

PCC	8
PAC's	12

Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0

RAAD

PCC	0
PAC's	0
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0
2. Notices of Hearings	29
First Notices	21
Second Notices	8
3. Notices and Orders Vacated	12
4. Hearings Conducted	14
5. Orders which imposed fines	11
6. Fines imposed	\$14,575.00
7. Fines collected	\$1,450.00
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

December 12, 2012

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2012-117

Complainant: Internally Generated, September 21, 2012

Respondent: Michael Brown 2012

Infraction: Reporting Violations

Status: Pending

OCF PI 2012-124

Complainant: Anthony Green, November 16, 2012

Respondent: Gaston 2012

Infraction: Reporting Violations

Status: Pending

INTERPRETATIVE OPINIONS

December 12, 2012

#12-05 Requestor: Martha R. Woodland
Submitted: October 9, 2012
Issue: Campaign Literature and In-Kind Contributions
Status: Issued November 7, 2012

#12-06 Requestor: Council Chairman, Phil Mendelson
Submitted: October 22, 2012
Issue: Use of Constituent Services Funds
Status: Issued November 21, 2012

#12-07 Requestor: Council Chairman, Phil Mendelson
Submitted: October 31, 2012
Issue: Use of Campaign Funds
Status: Issued November 30, 2012

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

December 12, 2012

OCF P.I. 2012-118 Complainant: Gayle Carley
Respondent: Timothy Thomas, ANC Candidate SMD 5B01
Infraction: Failure to include disclaimer on campaign literature
Originated: October 25, 2012
Status: Order Issued November 5, 2012

OCF P.I. 2012-119	Complainant: Internally Generated
	Respondent: Chris Harlow, ANC Candidate SMD 6B06
	Infraction: Failure to include disclaimer on campaign literature
	Originated: October 25, 2012
	Status: Order Issued November 2, 2012
OCF P.I. 2012-119	Complainant: Deborah Steiner
	Respondent: William Boston, ANC Candidate SMD 5E01
	Infraction: Failure to include disclaimer on campaign literature
	Originated: October 24, 2012
	Status: Order Issued November 5, 2012
OCF P.I. 2012-119	Complainant: Terry Lynch
	Respondent: Yasmin Romero-Castillo, ANC Candidate SMD 1D01
	Infraction: Failure to include disclaimer on campaign literature
	Originated: November 1, 2012
	Status: Order Issued November 5, 2012
OCF P.I. 2012-119	Complainant: Internally Generated
	Respondent: Kathy Henderson, ANC Candidate SMD 5D05
	Infraction: Failure to include disclaimer on campaign literature
	Originated: November 5, 2012
	Status: Order Issued November 13, 2012