

August 3, 2011

OFFICE OF THE DIRECTOR

“Campaign Finance Reporting Emergency Amendment Act of 2011”, B19-0348, effective July 15, 2011

OCF Performance Statistics will be published at the OCF Website under “OCF NEWS” following the monthly BOEE Meeting.

OFFICE OF THE GENERAL COUNSEL

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:

July 2011

FINANCIAL DISCLOSURE STATEMENTS

May 15, 2011 (Revised)

- 2761 Total number of required filers
- 2382 Total number of required timely filers
- 379 Total number of failures to file
- 359 Total number of OGC referrals

- 1877 Total number of Electronic Filings (79%)
- 1708 Total number of Certified E-Filings

CITIZEN SERVICE PROGRAMS

July 1, 2011 Report of Receipts & Expenditures

- 14 Total number of required filers
- 14 Total number of timely filers
- 0 Total number of late filers
- 0 Total number of extension filers
- 0 Total number of failure to file
- 0 Total number of OGC referrals

- 13 Total number of Electronic Filings
- 10 Total number of Certified E-Filings

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

July 1, 2011 Report of Receipts & Expenditures

- 3 Total number of required filers
- 3 Total number of required timely filers
- 0 Total number of late filers
- 0 Total number of extensions
- 0 Total number of failures to file
- 0 Total number of OGC referrals

- 3 Total number of Electronic Filings
- 2 Total number of Certified E-Filings

LOBBYIST ACTIVITY REPORTS

July 10th, 2011

- 244 Total number of required filers
- 237 Total number of timely filers
- 0 Total number of extension filers
- 7 Total number of failure to file
- TBD Total number of OGC referrals

- 99 Total number of Electronic Filings
- 83 Total number of Certified E-Filings

PRINCIPAL CAMPAIGN COMMITTEES (PCCs) & POLITICAL ACTION COMMITTEES (PACs)

July 31st (Due August 1, 2011)

- 61 Total number of required filers (37PCCs – 24PACs)
- TBD Total number of required timely filers
- 2 Total number of extensions (2PCCs - 0PACs)
- TBD Total number of failures to file
- TBD Total number of OGC referrals

- TBD Total number of Electronic Filings
- TBD Total number of Certified E-Filings

LOBBYIST REGISTRATION FEES (as of 07/29/11)

- 244 Total number of New Lobbyists Registered for 2011
- 244 Registration Fees collected in the amount of \$46,750 from New Lobbyists for 2011 (96,650.00Balance in Special Fund)

New Candidates and Committees registered for 2012 Elections

July

- Judi Jones/Elect Judi Jones Ward 4 2012 (City Council/Ward-4).....7/05/2011
- Renee Bowser/Cmte. To elect Renee 4 Ward 4 Council (City Council/Ward-4)....7/22/2011

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:

July 2011

65 Reviews Completed

- 39 Lobbyists
- 1 PAC
- 14 CSF
- 3 US S/R
- 8 PCC (Includes amended filings)
- 0 Exploratory Committee
- 0 FDS
- 0 Initiative/Referendum
- 9 Audit Letters (RFAI) Sent
- 1 Follow-up letters sent
- 6 Cases Resolved
- 0 Case Referred to the Office of General Counsel
- 0 Cases Terminated
- 0 Hearings Attended
- 0 Outreach Education Seminars Attended
- 0 Outside Seminars Attended

Periodic Random Audits – Citizen Services Programs – April 1, 2011 Filings)

1. **Ward 6 Constituent Service Program** – The audit fieldwork is in progress.

2. **Phil's Fund for Citizen Service** – The audit fieldwork is in progress.

Full Field Audit – Investigative Audit

1. **Gray For Mayor** – The audit fieldwork is in progress.

2011 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received	0						
Opinion Request Completed	0						
Complaints Received	0						
Internal Queries	0						
Preliminary Investigations Initiated	0						
Preliminary Investigations Orders Issued	0						
Full Investigations Initiated	0						
Full Investigations Orders Issued	0						
Informal Hearings Referred	359*						
Informal Hearings	0						

Completed							
Informal Hearings	24**						
Orders Issued							
Petitions for Enforcement	1						
Referrals to BOEE							

*This Figure represents 349 FDS referrals for calendar year 2010 and 10 Failure to Timely File referrals.

** This figure represents 19 FDS Orders in which \$38, 000.00 in fines were imposed, 3 FDS Orders in which no fines were imposed, 1 Failure to Timely File Order in which a \$400.00 fine was impose and 1 Failure to Timely File Order in which no fine was imposed.

Board Statistics for July, 2011

Board Meeting August 3, 2011

1. Actual Matters referred

PCC	8
PAC's	2
Lobbyist	0
Constituent Services	0
FDS	349
Statehood Fund	0

2. Notices of Hearings

First Notices	1
Second Notices	0

3. Notices and Orders Vacated	4
4. Hearings Conducted	1
5. Orders which imposed fines	20
6. Fines imposed	\$38,400.00
7. Fines collected	0
8. Investigations completed Orders issued	1
9. Petitions for Enforcement	1

LIST OF OPEN OCF INVESTIGATIONS

August 3, 2011

OCF FI 2010-102

Complainant: Vincent Orange

Respondent: Kwame Brown

At-Large Councilmember

Infraction: Conflict of Interest

Originated: September 9, 2010

Status: Referred to the United States Attorney

For the District of Columbia on July 8, 2011

OCF FI 2011-105(a) & (b)

Complainant: Bob Kabel initiated March 22, 2011

Respondents: Harry Thomas, Jr. and Victoria Leonard- Chambers
Councilmember for Ward 5

Infraction: Conflict of Interest

Status: Investigation completed. Under review
pending final disposition

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor
Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit
Division for a Full Field Audit;
upon completion and issuance of the Final Audit Report,
OCF will determine whether an investigation is
warranted.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor
Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit
Division for a Full Field Audit;
upon completion and issuance of the Final
Audit Report, OCF will determine whether an
investigation is warranted.

OCF FI 2011-106

Complainant: Geraldine Washington April 13, 2011

Respondent: Yvette Alexander

Councilmember for Ward 7

Infraction: Conflict of Interest/ Misuse of CSP Funds

Status: Order Issued August 2, 2011

INTERPRETATIVE OPINIONS

August 3, 2011

NONE

(All Interpretative Opinions are on-line.)