

May 14, 2015

**OFFICE OF THE DIRECTOR**

New OCF Website Launched on April 15, 2015

Site Visits to the Early Voting Centers on April 23, 2015 and to the Election Precincts on April 28, 2015 (Ward 4-15 Precincts) (Ward 8-9 Precincts).

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
April 2015:

**CONSTITUENT SERVICE PROGRAMS**

**April 1, 2015 Report of Receipts and Expenditures**

Total number of Required Filers.....	11
Total number of Timely Filers.....	11
Total number of Extensions Requested.....	0
Total number of Extensions Granted.....	0
Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>11</i>
<i>Total number of Certified E-Filings.....</i>	<i>11</i>

\*Three (3) filings were Termination Reports

**SENATOR/REPRESENTATIVE STATEHOOD FUNDS**

**April 1, 2015 Report of Receipts and Expenditures**

Total number of Required Filers.....	3
Total number of Timely Filers.....	3
Total number of Extensions Requested.....	0
Total number of Extensions Granted.....	0
Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>3</i>
<i>Total number of Certified E-Filings.....</i>	<i>3</i>

**PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES**

**8 Day Pre Special Election Report of Receipts and Expenditures**

**(April 20, 2015)**

Total number of required filers.....	79(34PCCs – 45PACs)
Total number of timely filers.....	64(25PCCs – 39PACs)*
Total number of extensions requested.....	0(0PCCs – 0PACs)
Total number of extensions granted.....	0(0PCCs – 0PACs)
Total number of Late filers.....	1(1PCCs – 0PACs)
Total number of FTF.....	15(9PCCs – 6PACs)**
Total number of OGC referrals.....	16(10PCCs – 6PACs)

\*Two (2) PCC filings were termination reports

\*\*One (1) PCC was a paper filing

**Referrals to OGC**

**Failure to Timely File the 8 Day Pre Special Election Report of Receipts and Expenditures**

**Principal Campaign Committees**

K. Armstead 4 Ward 8  
Karlene Armstead, Treasurer  
559 Fox Hall Place, SE  
Washington, DC 20032  
[k.armstead4u@gmail.com](mailto:k.armstead4u@gmail.com)

Help Lucius Gallion Help You  
Lucius Gallion, Treasurer  
1371 Morris Road, SE  
Washington, DC 20020  
[gallionlucius@yahoo.com](mailto:gallionlucius@yahoo.com)

Fleming 2015  
Herbert Smith, Treasurer  
1394 Morris Road, SE  
Washington, DC 20020  
[masonradams@gmail.com](mailto:masonradams@gmail.com)

Cmte. to Elect Ron Austin  
June Daugherty, Treasurer  
5919 3<sup>rd</sup> Street, NW  
Washington, DC 20011  
[jolart4u@gmail.com](mailto:jolart4u@gmail.com)

Bell for Ward 8  
Milton Bell, Treasurer  
3221 8<sup>th</sup> Street, SE, #11  
Washington, DC 20032  
[miltonbell@gmail.com](mailto:miltonbell@gmail.com)

Edwina for Ward 8  
Rachelle Montague, Treasurer  
2300 Good Hope Road, SE, #115  
Washington, DC 20020  
[rachelle.montague93@gmail.com](mailto:rachelle.montague93@gmail.com)

Anderson Ward 4 Council  
Acqunetta Anderson, Treasurer  
7247 15<sup>th</sup> Place, NW  
Washington, DC 20012  
[ATAnderson2@gmail.com](mailto:ATAnderson2@gmail.com)

Whitaker 4 Ward 4  
Robert Whitaker, Treasurer  
50 Whittier Street, NW  
Washington, DC 20012  
[jwhitaker@hrsa.gov](mailto:jwhitaker@hrsa.gov)

Corley for Ward 4  
Easter Johnson, Treasurer  
452 Delafield Pl, NW  
Washington, DC 20011  
[gellen.corley@gmail.com](mailto:gellen.corley@gmail.com)

Bruce Morrison for Ward 4  
Beverly Jean Hughes, Treasurer  
6315 8<sup>th</sup> Street, NW  
Washington, DC 20011  
[beverlyjeanhughes@aol.com](mailto:beverlyjeanhughes@aol.com)

## **POLITICAL ACTION COMMITTEES**

Ward 6 Democrats  
Jasmine Harris, Treasurer  
604 12<sup>th</sup> Street, NE, #4  
Washington, DC 20002  
[jasminelharris@gmail.com](mailto:jasminelharris@gmail.com)

Republican Committee  
Roderick Johnson, Treasurer  
2633 Patricia Roberts Harris, Pl, NE  
Washington, DC 20018  
[kjohnson@industrialbank.com](mailto:kjohnson@industrialbank.com)

Mid-Atlantic Regional Council of Carpenters PAC  
Edward Coryell, Treasurer  
1803 Spring Garden Street  
Philadelphia, PA 19130  
[ecjr@mrccarpenters.org](mailto:ecjr@mrccarpenters.org)

Youth Action PAC  
Garbrielle Moise, Treasurer  
1909 23<sup>rd</sup> Street, SE, #142D  
Washington, DC 20002  
[gmoise515@gmail.com](mailto:gmoise515@gmail.com)

BB&T District of Columbia PAC  
Edward Simpson, Treasurer  
P.O. Box 1290  
Winston-Salem, NC 27102  
[esimpson@bbandt.com](mailto:esimpson@bbandt.com)

Credit Union PAC of Washington  
Ruth White, Treasurer  
8975 Guilford Road, Ste. 190  
Columbia, MD 21046

### **Entrance Conference Participants on April 9, 2015**

Christopher Collins, Treasurer (DCBIA PAC)  
Elise Millor, member (DCBIA PAC)  
Liz DeBarras, member (DCBIA PAC)

(DCBIA = District of Columbia Building Industry Association PAC)

### **Entrance Conference Participants on April 23, 2015**

Karen Lucas (Ward 8 Resident)  
Joy Russell, Treasurer (Democrats for Education Reform, Independent Expenditure Cmte.)  
Herbert Harris, Chairman (Brotherhood of Locomotive Engineers and Trainmen)  
Jonathan Steingart, Treasurer (Ward 1 Democrats)

(Representatives from DCBIA, Karen Lucas & Herbert Harris were not required attendees)

**April 22, 2015**

Letters were mailed to all active Principal Campaign Committees, PACs, Constituent Service/Statehood Committees and Initiative/Referendum committees advising them of the new regulations regarding business contributors and their affiliated entities sharing a single contribution limit.

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD’s production stats:

**Reviews Completed – 82**

Political Action Committees (includes amended Reports).....	37
Constituent Service Funds (includes amended Reports).....	12
US Senator/Representative.....	2
Principal Campaign Committees (includes amended Reports).....	31
Inaugural Committee.....	0
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General.....	0
Audit Letters (RFAI) Sent.....	33
Follow-up letters sent.....	0
Case(s) Resolved.....	11
Cases Referred to the Office of General Counsel.....	5
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated.....	0
Hearings Attended.....	0
Outreach Education Seminars Attended – Institute of Internal Auditors.....	0
Precinct Visits.....	22
Site Visits.....	3
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended.....	0
In House Training (Training of Audit staff – DC Official Code and 3DCMR).....	0
Entrance Conferences.....	2

**Ongoing Audits:**

**Full Field Audit**

- **Gray for Mayor**

**Periodic Random Audits – Candidates Registered for the 2015 Election**

- **Committee to Elect Natalie Williams for Ward 8**
- **Barry for Council**
- **Friends of Leon Andrews**
- **Tengen for DC**

**Inaugural Committees 2015**

- DC Proud Inaugural Committee

**Periodic Random Audits - Candidates Registered for the 2014 Election**

- Ruth for Schools
- Brian Hart for DC

**Periodic Random Audits – Continuing Committees**

- Republican Committee DC
- Morgan for DC

**Periodic Random Audits – Attorney General**

- Lori Masters for Attorney General
- Smitty for AG

**Audits Issued**

- Committee to Elect Eugene Puryear – April 7, 2015
- Friends of Courtney R. Snowden – April 7, 2015
- Tengen for DC – April 13, 2015

**OFFICE OF THE GENERAL COUNSEL**

2015 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received				0			
Opinion Request Completed				0			

Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				21			
Informal Hearings Completed				16			
Informal Hearings Orders Issued				31*			
Petitions for Enforcement Referrals to BOE				0			

\*This figure represents 17 Failure to Timely File orders in which no fines were imposed, 2 Failure to Timely Respond to a Request for Additional Information (RFAI) orders in which no fines were imposed, 9 Failure to

Timely File orders in which fines totaling \$ 13,800.00 were imposed, 2 Failure to Timely Respond to RFAI orders in which fines totaling \$2,550.00 were imposed and 1 order to vacate a previous order based upon the respondent's payment of a fine.

Board Statistics for April, 2015

Board Meeting May 14, 2015

1. Actual Matters referred

**PIRM**

PCC	10
PAC's	6
ANC's	0
Constituent Services	0
Statehood Fund	0

**RAAD**

PCC	3
PAC's	1
Constituent Services	1
Statehood Fund	0

2. Notices of Hearings	66
First Notices	40
Second Notices	26
3. Notices and Orders Vacated	1
4. Hearings Conducted	16
<b>5. Orders which imposed fines</b>	<b>11</b>

<b>6. Fines imposed</b>	<b>1. Greater Washington Board of Trade \$100.00</b> <b>2. Bruce Majors for Mayor \$1,400.00</b> <b>3. Beverly Wheeler for Ward 1 \$1,500.00</b> <b>4. Martin Sterbal US Senate \$1,400.00</b> <b>5. Carter At-Large \$1,400.00</b> <b>6. Whitaker for Ward 4 \$2,000.00</b> <b>7. Gaston 2014 \$2,000.00</b> <b>8. Bell for Ward 8 \$2,000.00</b> <b>9. Edwina for Ward 8 \$2,000.00</b> <b>10. Marc Morgan for DC 2014 \$2,200.00</b> <b>11. Marc Morgan for DC 2014 \$350.00</b>
<b>7. Fines collected</b>	<b>0</b>
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0
10. Outstanding Fines	\$15,400.00* (1) \$1,700.00 Frank Sewell for Mayor (2) \$350.00 Friends of Calvin Gurley (3) \$1,650.00 James Caviness for Mayor (4) \$100.00 Valentine At-Large (5) \$2,000.00 Friends of Tarek Stevens (6) \$2,000.00 Cmte to Elect Villarreal Johnson (7) \$1,900.00 James Caviness for Mayor (8) \$1,900.00 Christian Carter At-Large (9) \$1,900.00 Gaston 2014 (10)\$1,900.00 Martin Sterbal for US Senate

\*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

May 14, 2015

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Lee Calhoun investigation remains ongoing

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015

Complainant: Internally Generated March 28, 2014  
Respondent: Jeffrey Thompson  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001

Complainant: Internally Generated July 24, 2014  
Respondent: Kelvin Robinson  
Infraction: Campaign contribution violations  
Status: Pending

OCF FI 2014-002

Complainant: Internally Generated July 24, 2014  
Respondent: Jeff Smith  
Infraction: Campaign contribution violations  
Status: Pending

INTERPRETATIVE OPINIONS

May 14, 2015

#015-02      Requestor:      Sylvia E. Anderson, Esq.  
Submitted:      April 6, 2015  
Issue:              Campaign Literature  
Status:             Issued April 6, 2015

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

May 14, 2015

NONE