

August 6, 2014

OFFICE OF THE DIRECTOR

Ward 8 State Board of Education July 15, 2014 Special Election. OCF Staff (2 RAAD and 2 OGC staff members) visited the Malcolm X Elementary School Early Voting Center on July 9, and 11, 2014, and 10 Election Precincts located in Ward 8 on Election Day.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
July 2014:

CONSTITUENT SERVICE PROGRAMS

July 1, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....	12
Total number of Timely Filers.....	12
Total number of Extensions Requested.....	0
Total number of Extensions Granted.....	0
Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>12</i>
<i>Total number of Certified E-Filings.....</i>	<i>12</i>

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

July 1, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....	2
Total number of Timely Filers.....	2
Total number of Extensions Requested.....	0
Total number of Extensions Granted.....	0
Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>2</i>
<i>Total number of Certified E-Filings.....</i>	<i>2</i>

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

July 7, 2014 8 Day Pre-Special Election (State Board of Education, Ward-8) Report of Receipts and Expenditures

Total number of Required Filers.....	2 (2PCCs)
Total number of Timely Filers.....	2 (2PCCs)
Total number of Extensions Requested.....	0 (0PCCs)
Total number of Extensions Granted.....	0 (0PCCs)
Total number of Late Filers.....	0 (0PCCs)
Total number of Failures to File.....	0 (0PCCs)
Total number of OGC Referrals.....	0 (0PCCs)
<i>Total number of Electronic Filings.....</i>	<i>2 (2PCCs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>2 (2PCCs)</i>

July 31st, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....	34(24PCCs – 10PACs)
Total number of Timely Filers.....	TBD
Total number of Extensions Requested.....	TBD
Total number of Extensions Granted.....	TBD
Total number of Late Filers.....	TBD
Total number of FTF.....	TBD
Total number of OGC Referrals.....	TBD
<i>Total number of Electronic Filings.....</i>	<i>TBD</i>
<i>Total number of Certified E-Filings.....</i>	<i>TBD</i>

INITIATIVES, REFERENDUM AND RECALL

**2nd Report of Receipts and Expenditures
July 10, 2014**

Total number of required filers.....	4 (4 Initiatives)
Total number of timely filers.....	2 (2 Initiatives)
Total number of extensions requested.....	2 (2 Initiatives)
Total number of extensions granted.....	2 (2 Initiatives)
Total number of Late filers.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	TBD
<i>Total number of Electronic Filings.....</i>	<i>2</i>
<i>Total number of Certified E-Filings.....</i>	<i>2</i>

Referrals to OGC

Failure to Timely File the File Statement of Candidacy

Frank E. Sewell
1618 T Street, N.W.
Washington, D.C. 20009

David O. Leacraft
113 35th Street, N.E.
Washington, D.C. 20019

Failure to Timely File the Statement of Organization

Re-Elect Mark Jones 2014
Mark Jones, Treasurer
1905 Brentwood Road, N.E.
Washington, D.C. 20018

New Candidates and Committees registered for the 2014 Election Cycle

July 2014

Mark Naydan/Mark Naydan for School Board (Ward 6).....	7/1/2014
Janai Reed (Attorney General).....	7/1/2014
Kris Hammond/Hammond for Chairman (City Council).....	7/2/2014
Edward Smith/Smitty for AG (Attorney General).....	7/3/2014
George Jackson (City Council, Ward-5).....	7/9/2014
Lateefah Williams/Lateefah Williams for Attorney General.....	7/15/2014
W. Philip Thomas/Thomas for Ward 3 State Board.....	7/15/2014
Karl Racine/Karl Racine for AG (Attorney General).....	7/15/2014
Thomas Brennan (School Board, Ward-6).....	7/16/2014
Lorie Masters/Lorie Masters for Attorney General.....	7/21/2014

Entrance Conference Participants on July 22, 2014

Justin Mark (Smitty for AG)
Art Slater (Friends of Courtney)
Cleve Mesidor (Friends of Courtney)
Justin Myers (Hammond for Chairman)
Mark Naydan (Mark Naydan for School Board)
Lateefah Williams (Lateefah Williams for Attorney General)

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:

Reviews Completed – 79

Political Action Committees (includes amended Reports).....17
 Constituent Service Funds (includes amended Reports).....15
 US Senator/Representative.....2
 Principal Campaign Committees (includes amended Reports).....42
 Exploratory Committees.....0
 Independent Expenditures.....0
 Twenty-four Hour Reports.....0
 Initiative/Referendum Committees.....3
 Attorney General.....0
 Audit Letters (RFAI) Sent.....22
 Follow-up letters sent.....0
 Case(s) Resolved.....36
 Cases Referred to the Office of General Counsel.....18
 Cases Referred for Contributions with Same Address and Similar Signatures.....1
 Cases Terminated0
 Hearings Attended3
 Outreach Education Seminars Attended – Institute of Internal Auditors.0
 Precinct Visits..... 3
 Webinars Attended – Institute of Internal Auditors.....0
 Outside Seminars Attended0
 In House Training (Training of Audit staff – DC Official Code and 3DCMR).....1
 Entrance Conference.....1

Ongoing Audits:

Full Field Audits

- Gray for Mayor

Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)

- Bowser for Mayor
- Wells for Mayor
- Evans for Mayor
- Charles Allen for Ward 6
- Catania for Mayor
- Vincent Orange for Mayor

Audit Reports Issued:

None

OFFICE OF THE GENERAL COUNSEL

2014 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received	0						
Opinion Request Completed	0						
Complaints Received	0						
Internal Queries	0						
Preliminary Investigations Initiated	0						
Preliminary Investigations Orders Issued	0						
Full Investigations Initiated	2						
Full Investigations Orders Issued	0						

Informal Hearings Referred	21						
Informal Hearings Completed	10						
Informal Hearings Orders Issued	15*						
Petitions for Enforcement Referrals to BOE	0						

*This figure represents 9 Failure to Timely File orders in which no fines were imposed, 4 Failure to timely File orders in which \$5,350.00 in fines were imposed, 1 order regarding the failure to timely respond to a Request For Additional Information in which a fine of \$2,000.00 was imposed and 1 order in regarding the failure to timely respond to a Request For Additional Information in which no fine was imposed.

Board Statistics for July, 2014

Board Meeting August 6, 2014

1. Actual Matters referred

PIRM

PCC	3
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	15	
PAC's	2	
Constituent Services	1	
Statehood Fund	0	
2. Notices of Hearings		
First Notices	19	
Second Notices	8	
3. Notices and Orders Vacated	0	
4. Hearings Conducted	10	
5. Orders which imposed fines	5	
6. Fines imposed		\$7,350.00
		(1)14C-037 Carson-Smith for DNC (\$850)
		(2)14C-038 Beverly Wheeler for Ward 1 (1,500)
		(3)14C-042 Sewell for Mayor (\$1,500)
		(4)14C-044 Valentine At-Large (1,500)
		(5)14R-026 Carson-Smith for DNC (\$2,000)
7. Fines collected	0	
8. Investigations completed	0	
Orders issued		
9. Petitions for Enforcement	0	
10. Outstanding Fines		\$7,550.00*
		(1) \$1,700.00 Frank Sewell for Mayor
		(2) \$1,100.00 Nestor for Mayor
		(3) \$150.00 Tim Clark (ANC)
		(4) \$350.00 Friends of Calvin Gurley
		(5) \$1,450.00 Comm. To Elect Ron Moten
		(6) \$1,150.00 Nestor for Mayor
		(7) \$1,650.00 Nestor for Mayor

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

August 6, 2014

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor
Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor
Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Lee Calhoun investigation remains ongoing

INTERPRETATIVE OPINIONS

August 6, 2014

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

August 6, 2014

NONE