

November 4, 2015

**OFFICE OF THE DIRECTOR
PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:
October 1, 2015:

CONSTITUENT SERVICE PROGRAMS

October 1, 2015 Report of Receipts & Expenditures

Total number of required filers.....	13
Total number of timely filers.....	13*
Total number of late filers.....	0
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0
Total number of Electronic Filings.....	13
Total number of Certified E-Filings.....	13

**The Mayor Gray Constituent Service Fund also filed a Termination Report*

SENATOR/REP. STATEHOOD FUNDS

October 1, 2015 Report of Receipts & Expenditures

Total number of required filers.....	3
Total number of timely filers.....	3
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of late filers.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0
Total number of Electronic Filings.....	3
Total number of Certified E-Filings.....	3

October 10th Report of Receipts and Expenditures

Total number of required filers.....	67(22PCCs – 45PACs)
Total number of timely filers.....	56(15PCCs – 41PACs)*
Total number of extensions requested.....	0(0PCC – 0PAC)
Total number of extensions granted.....	0(0PCC – 0PAC)
Total number of Late filers.....	0(0PCC – 0PAC)
Total number of FTF.....	11(7PCCs – 4PAC)
Total number of OGC referrals.....	11(7PCCs – 4PAC)
<i>Total number of Electronic Filings.....</i>	<i>56(15PCCs – 41PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>56(15PCCs – 41PACs)</i>

**1 PCC filings was a termination report*

Referrals to the Office of the General Counsel

Failure to Timely File the October 10th Report of Receipts & Expenditures

Principal Campaign Committees

Bell for Ward 8 Milton Bell, Treasurer	Abraham for Ward 8 Nicole Spriggs, Treasurer
Whitaker 4 Ward 4 Robert Whitaker, Treasurer	Anderson for Ward 4 Council Acquonetta Anderson, Treasurer
Barry for Council 2015 Marion Barry, Treasurer	Trayon White for Ward 8 Trayon White, Treasurer
Toliver for Ward 4 Emily Gumpert, Treasurer	

Political Action Committees

Gertrude Stein Democratic Political Acct. Jessica Pierce, Treasurer	DC Republican Committee Roderick John son, Treasurer
Youth Action PAC Gabrielle Moise, Treasurer	DC Chamber of Commerce Andrew Kline, Treasurer

New Candidates/Committees

October 2015

Ed Pottillo/Pottillo for Council 2016 Campaign Committee (Ward-7, City Council).....	10/08/2015
Residents for Robert White At-Large Exploratory Committee.....	10/19/2015

Entrance Conference Participants on October 8, 2015

Andrew J. Kline (Treasurer, DC Chamber of Commerce PAC)
Gene T. Fisher (Treasurer, Ward 6 Democrats)
Justin Thomas (Self)
Aaron Haines (Self)
Stephen Seh (Self)

Entrance Conference Participants on October 14, 2015

Vincent Orange (Candidate, Re-Elect Orange 2016)
Paul Orange (Treasurer, Re-Elect Orange 2016)
John Ray (Re-Elect Orange 2016)
Joseph Johnson (Re-Elect Orange 2016)
Brandon Todd (Candidate, Re-Elect Brandon Todd for Ward 4)
Benjamin M. Soto (Treasurer, Re-Elect Brandon Todd for Ward 4)
Jorge Escurra (Treasurer, D.C. Latino Caucus PAC)

Entrance Conference Participants on October 29, 2015

Christy White (Co-Treasurer, Residents for Robert White At-Large Exploratory Committee)

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of the production stats of RAAD:

October 2015:

REVIEWS AND OTHER MATTERS COMPLETED

Political Action Committees (includes amended Reports).....89
Constituent Service Funds (includes amended Reports).....14
US Senator/Representative.....2
Principal Campaign Committees (includes amended Reports).....12
Exploratory, Transition, and Inaugural Committees.....0
Legal Defense Committees.....0
Independent Expenditures.....0
Independent Expenditure Committees0
Twenty-four Hour Reports.....0
Initiative/Referendum Committees.....0
Audit Letters (RFAI) Sent.....6
Follow-up Letters Sent.....0
Case(s) Resolved.....0
Cases Referred to the Office of the General Counsel.....0
Cases Referred for Contributions with Same Address and Similar Signatures.....0
Cases Terminated1

Hearings Attended	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	0
In House Training	0
Entrance Conferences.....	2

ONGOING AUDITS

Full Field Audits:

(1) Investigative Audits

- Gray for Mayor

(2) Inaugural Committees 2015

- DC Proud Inaugural Committee

(3) Newly Elected Officials 2014 and 2015

- LaRuby May 2015
- Brandon Todd for Ward 4
- Brianne for DC
- Charles Allen for Ward 6

Periodic Random Audits – Candidates Registered for the 2015 Election

- Barry for Council

Continuing Committees – January 31, 2015 Filing

- Morgan for DC

Periodic Random Audits PACs and Continuing Committees July 31, 2015 Filing

- Eric Jones 2014
- Fresh PAC
- Libertarian Party of DC

Audits Issued

- none

OFFICE OF THE GENERAL COUNSEL

**2015 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL**

	July	August	September	October	November	December	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				11			
Informal Hearings Completed				2			
Informal Hearings Orders Issued				11			
Petitions for Enforcement Referrals to BOE				0			

*This figure represents 1 Failure to Timely File orders in which a fine of \$1,500.00 was imposed, 7 Failure to Timely File orders in which no fines were imposed, 1 order regarding a Request for

Additional Information in which no fine was imposed and 2 orders affirming prior orders pursuant to Motions For Re-Consideration.

Board Statistics for October, 2015

Board Meeting November 4, 2015

1. Actual Matters referred

PIRM

PCC	0
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	7
PAC's	4
Constituent Services	0
Statehood Fund	0

- | | |
|-------------------------------|---|
| 2. Notices of Hearings | 8 |
| First Notices | 2 |
| Second Notices | 6 |
| 3. Notices and Orders Vacated | 0 |
| 4. Hearings Conducted | 2 |
| 5. Orders which imposed fines | 1 |

6. Fines imposed 1. Barry for Council \$1,500.00

7. Fines collected 1. \$200.00 Re-Elect Mark Jones 2014
 2. \$125.00 Ward Six Democrats

8. Investigations completed 0
 Orders issued

9. Petitions for Enforcement 0

10. Outstanding Fines \$16,000.00*

- (1) \$2,000.00 Carter At-Large 2014
- (2) \$2,000.00 Carter At-Large 2014
- (3) \$2,000.00 Bruce Majors Libertarian for Mayor

- (4) \$2,000.00 Beverly Wheeler for Ward 1
- (5) \$2,000.00 Martin Sterbal for US Senate
- (6) \$2,000.00 Bell for Ward 8
- (7) \$2,000.00 Gaston 2015
- (8) \$2,000.00 Whitaker 4 Ward 4

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

November 4, 2015

- | | |
|-----------------|---|
| OCF FI 2011-103 | Complainant: Internally Generated March 15, 2011
Respondent: Sulaimon Brown for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: Due to current criminal investigations
the Sulaimon Brown for Mayor investigation
remains ongoing |
| OCF FI 2011-104 | Complainant: Internally Generated March 15, 2011
Respondent: Gray for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: Due to current criminal investigations
the Gray for Mayor 2010 investigation remains
ongoing |
| OCF FI 2013-013 | Complainant: Internally Generated June 24, 2013
Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Lee Calhoun investigation remains ongoing |
| OCF FI 2013-014 | Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Stanley Straughter investigation remains
ongoing |
| OCF FI 2013-015 | Complainant: Internally Generated March 28, 2014
Respondent: Jeffrey Thompson
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Jeffrey Thompson investigation remains
ongoing |

OCF FI 2014-001 Complainant: Internally Generated July 24, 2014
 Respondent: Kelvin Robinson
 Infraction: Campaign contribution violations
 Status: Pending

OCF FI 2014-002 Complainant: Internally Generated July 24, 2014
 Respondent: Jeff Smith
 Infraction: Campaign contribution violations
 Status: Pending

INTERPRETATIVE OPINIONS

November 4, 2015

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

November 4, 2015

NONE