

February 3, 2016

OFFICE OF THE DIRECTOR

January 22, 2016 Testimony of Cecily E. Collier-Montgomery submitted to Councilmember Vincent B. Orange, Sr., Chair, Committee on Business, Consumer, and Regulatory Affairs, on OCF Agency Compliance with the Small Business Enterprise Utilization Requirements for Fiscal Years 2015 and 2016.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
January 2016:

CONSTITUENT SERVICE PROGRAMS

January 1, 2016 Report of Receipts and Expenditures

Total number of required filers.....	12
Total number of timely filers.....	12
Total number of late filers.....	0
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0
Total number of Electronic Filings.....	12
Total number of Certified E-Filings.....	12

SENATOR/REP. STATEHOOD FUNDS

January 1, 2016 Report of Receipts and Expenditures

Total number of required filers.....	3
Total number of timely filers.....	3
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of late filers.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0
Total number of Electronic Filings.....	3
Total number of Certified E-Filings.....	3

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

January 31, 2016 Report of Receipts and Expenditures

Total number of Required Filers.....	147 (87PCCs - 60PACs)
Total number of Timely Filers.....	TBD
Total number of Extensions Requested.....	TBD
Total number of Late Filers.....	TBD
Total number of Failures to File.....	TBD
Total number of OGC Referrals.....	TBD
<i>Total number of Electronic Filings.....</i>	TBD
<i>Total number of Certified E-Filings.....</i>	TBD

New Candidates and Committees Registered for the 2016 Election Cycle
January 2016

DeLmar Chesley/Chesley for DC Council Ward-7 (Ward-7, City Council).....	01/04/2016
Aaron Holmes/Holmes for Ward 8 (Ward-8, City Council).....	01/14/2016
Robert Kabel/Kabel 2016 (Republican National Committeewoman).....	01/21/2016
Walter Deleon/Deleon 2016 (At-Large, State Board of Education).....	01/28/2016

Entrance Conference Participants on January 12, 2016

David Satterfield	(Treasurer, Homan for DC NCW & Oberting 2016))
Samuel Hughes	(Treasurer, Chesley for DC Council Ward 7)
DeLmar Chesley	(Candidate, Chesley For DC Council Ward 7)

Entrance Conference Participants on January 19, 2016

Robert White	(Candidate, Robert White At-Large 2016)
Christy White	(Treasurer, Robert White At-Large 2016)
George Jonathan Caley	(Treasurer, Jack Jacobson for School Board)

Entrance Conference Participants on January 27, 2016

LaRuby Z May	(Candidate, Re-Elect LaRuby May)
Monica Tahlisha Ray	(Treasurer, Re-Elect LaRuby May)
Jakarya Branch	(Asst. Treasurer, Re-Elect LaRuby May)

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
January 2016:

REVIEW AND OTHER MATTERS COMPLETED-30

Political Action Committees (includes amended Reports).....	6
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Constituent Service Funds (includes amended Reports).....	11
US Senator/Representative.....	1
Principal Campaign Committees (includes amended Reports).....	11
Exploratory, Transition, and Inaugural Committees.....	1
Legal Defense Committees.....	0
Independent Expenditures.....	0
Independent Expenditure Committees	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	12
Follow-up Letters Sent.....	0
Case(s) Resolved.....	11
Cases Referred to the Office of the General Counsel.....	4
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	0
In House Training	1
Entrance Conferences.....	3

Ongoing Audits:

Periodic Random Audits:

(1) Continuing Committees – January 31, 2015 Filing

- Morgan for DC

(2) Constituent Service Programs – October 1, 2015 Filing

- Yvette Alexander Constituent Service Fund

Full Field Audits:

(1) Investigative Audit

- Gray for Mayor – Issued January 29, 2016

(2) NEWLY ELECTED OFFICIALS

- LaRuby May 2015
- Brandon Todd for Ward 4
- Brianne for DC
- Elissa 2014

OFFICE OF THE GENERAL COUNSEL

2016 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received	0						
Opinion Request Completed	0						
Complaints Received	0						
Internal Queries	0						
Preliminary Investigations Initiated	0						
Preliminary Investigations Orders Issued	0						
Full Investigations Initiated	0						
Full Investigations Orders Issued	0						
Informal Hearings Referred	5						

Informal Hearings Completed	5						
Informal Hearings Orders Issued	4*						
Petitions for Enforcement Referrals to BOE	0						

*This figure represents 2 Failure to Timely File orders in which no fines were imposed and 1 Motion for Reconsideration in which no fines were imposed and 1 Motion for Reconsideration in which the fine against the Committee Treasurer was vacated.

Board Statistics for January, 2016

Board Meeting February 3, 2016

1. Actual Matters referred

PIRM

PCC	0
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	3
PAC's	1
Constituent Services	1

Statehood Fund	0
2. Notices of Hearings	14
First Notices	14
Second Notices	0
3. Notices and Orders Vacated	0
4. Hearings Conducted	5
5. Orders which imposed fines	0
6. Fines imposed	0
7. Fines collected	1. \$200.00 Re-Elect Mark Jones. 2. \$200.00 Beverly Wheeler for Ward 1 3. \$250.00 Committee to Elect Ron Moten 4. \$50.00 DC Latino Caucus PAC
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0
10. Outstanding Fines	\$22,750.00*

- (1) \$1,400.00 Carter At-Large 2014
- (2) \$2,000.00 Beverly Wheeler for Ward 1
- (3) \$1400.00 Committee to Elect Villareal Johnson
- (4) \$1400.00 Bruce Majors for Mayor
- (5) \$2,000.00 Bell for Ward 8
- (6) \$2,500.00 Bell for Ward 8
- (7) \$2,500.00 Whitaker 4 Ward 4
- (8) \$1,700.00 Youth Action PAC
- (9) \$600.00 Gertrude Stein Democratic PAC
- (10)\$1,900.00 Youth Action PAC
- (11)\$ 1,400.00 Gertrude Stein Democratic PAC
- (12)\$1,400.00 Youth Action PAC
- (13)\$350.00 Morgan for D.C.
- (14)\$2,200.00 Morgan for D.C.

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

February 3, 2016

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Pending

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Pending

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations
the Lee Calhoun investigation remains ongoing

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations

Status: Due to current criminal investigations
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015 Complainant: Internally Generated March 28, 2014
Respondent: Jeffrey Thompson
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001 Complainant: Internally Generated July 24, 2014
Respondent: Kelvin Robinson
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2014-002 Complainant: Internally Generated July 24, 2014
Respondent: Jeff Smith
Infraction: Campaign contribution violations
Status: Pending

INTERPRETATIVE OPINIONS

February 3, 2016

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

February 3, 2016

NONE