

September 6, 2016

OFFICE OF THE DIRECTOR

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
August 2016

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

**July 31st Report of Receipts & Expenditures
(Due on August 1, 2016)**

Total number of required filers	66(50PCC – 16PAC)
Total number of timely filers	51(*37PCC – 14PAC)
Total number of late filers	2(1PCC – 1PAC)
Total number of extensions requested	0(0PCC – 0PAC)
Total number of extensions granted	0(0PCC – 0PAC)
Total number of FTF	14(13PCC – 1PAC)
Total number of OGC referrals	16(14PCC – 2PAC)
Total number of Electronic Filings	53(38PCC – 15PAC)
Total number of Certified E-Filings	53(38PCC – 15PAC)

***Ten (10) of the PCC filings were termination reports.**

August 10th Report of Receipts & Expenditures

Total number of required filers	93(46PCC – 47PAC)
Total number of timely filers	*83(40PCC – 43PAC)
Total number of late filers	6(4PCC – 2PAC)
Total number of extensions requested	3(3PCC – 0PAC)
Total number of extensions granted	3(3PCC – 0PAC)
Total number of FTF	4(2PCC – 2PAC)
Total number of OGC referrals	10(6PCC – 4PAC)
Total number of Electronic Filings	89(44PCC – 45PAC)
Total number of Certified E-Filings	89(44PCC – 45PAC)

***Six of the PCC filings were termination reports.**

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to Timely File the July 31st Report of Receipts & Expenditures

Principal Campaign Committees

Toliver for Ward 4
Emily Gumpert, Treasurer

Committee to Elect Judi Jones
James Elie, Treasurer

Zukerberg for Attorney General
Lenox Ramsey, Treasurer

Biddle 2012
William Brown, Treasurer

Patterson for Ward 8
Jacque Patterson, Treasurer
Committee to Elect Natalie Williams
Natalie Williams, Treasurer

Cmte. to Elect Sekou Biddle At-Large
Bande McQueen, Treasurer
Sewell for Mayor 2014
Otis Sution, Treasurer

Cmte. to Elect Khalid Pitts 2014
Amy Pritchard, Treasurer

Bell for Ward 8
Milton Bell, Treasurer

Bruce Majors, Libertarian Mayor
Bruce Majors, Treasurer

Carter At-Large 2014
Christian Carter, Treasurer

Whitaker 4 Ward 4
Robert Whitaker, Treasurer

Gray for Mayor
Vincent Gray, Candidate

Political Action Committee

Republican Committee, DC
Roderick Johnson, Treasurer

Initiative Committee

Raise the Wage
Edwin L. Jones Sr., Treasurer

Failure to Timely File the August 10th Report of Receipts & Expenditures

Principal Campaign Committees

Holmes for Ward 8
Stephen Seh, Treasurer

Elect Christopher Hawthorne 4 Ward 8
Christopher Hawthorne, Treasurer

Bonita Goode 2016 Ward 8
Bonita Goode, Treasurer

VoteCarter2016
Christian Carter, Treasurer

Committee to Elect Grant Thompson for Ward 7
Bobbi Smith, Treasurer

Chesley for DC Council Ward 7
Samuel Hughes, Treasurer

Political Action Committees

Gertrude Stein Democratic Political Acct.
Jessica Pierce, Treasurer

Ward Eight Matters
Jameka Anderson, Treasurer

1199 SEIU PAC
George Gresham, Treasurer

Ward One Democrats
Jonathan Steingart, Treasurer

NEW CANDIDATES/COMMITTEES

August 2016:

Shakira Hemphill/Shakira Hemphill for Ward 8 (School Board, Ward-8) _____ 8/02/2016
G. Lee Aikin/Elect G. Lee Aikin (City Council, At-Large) _____ 8/04/2016
Statehood YES! (Initiative Committee) _____ 8/16/2016

ENTRANCE CONFERENCES

August 10, 2016

Carrie Bachner	Candidate/Treasurer, Bachner Committee 2016
Matthew Klokel	Candidate, Klokel at Large
Marcus Scribner	Treasurer, Klokel at Large
Drew Franklin	Candidate, Drew for DC
Kimberly Higginbotham	Treasurer, Drew for DC
Marla Dean	Candidate/Treasurer, Dean for School Board Ward-7
Paul Strauss	Candidate, Strauss 2014 Committee

August 18, 2016

Lannette Woodruff	Candidate, Lannette Woodruff for School Board
Lamont Harrell	Treasurer, Lannette Woodruff for School Board

August 24, 2016

Shakira T. Hemphill	Candidate, Shakira Hemphill for Ward 8
Timothy Lewis	Treasurer, Shakira Hemphill for Ward 8

REPORTS ANALYSIS AND AUDIT DIVISION (RAAD)

The following is an accounting of RAAD’s production stats:
August 2016

Reviews Completed – 112:

Political Action Committees	45
Constituent Service Funds (includes amended reports).....	9
US Senator/Representative.....	0
Principal Campaign Committees (includes amended reports).....	54
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	3
Attorney General.....	1
Audit Letters (RFAI) Sent.....	15
Follow-up letters sent.....	0
Case(s) Resolved.....	11
Cases Referred to the Office of the General Counsel.....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	0
In House Training	0
Entrance Conference.....	3

Ongoing Audits:

Full Field Audits – Newly Elected Officials

- **LaRuby May 2015**
- **Brandon Todd for Ward 4**
- **Brianne for DC**

Periodic Random Audits Candidates in the 2016 Election

- **Potillo for Council 2016 (December 10, 2015 R&E Report)**
- **Robert White At-Large 2016 (January 31, 2016 and March 10, 2016 R&E Reports)**
- **Re-Elect Vincent Orange 2016 (January 31, 2016 and March 10, 2016 R&E Reports)**

Periodic Random Audits Political Action Committees (July 31, 2016 Report)

- **Professional Insurance Agents PAC**
- **DC Building Industry Association PAC**

Periodic Random Audits Candidates in 2016 Election (August 10, 2016 Report)

**Note the Audit Notification Letters were issued on August 18, 2016.*

- **Committee to Elect Jaclyn Smith 2016 SB**
- **Klokel At-Large**
- **Carter for DC**
- **Friends of Jimmy Calomiris**

Audits Issued:

- **Trayon White for Ward 8 2016-** Issued on August 29, 2016.
- **DC Political Action Committee (DOCPAC)** – Issued on August 31, 2016.
- **Lawyers for Better Government Fund** –Issued on August 31, 2016.

OFFICE OF THE GENERAL COUNSEL

2016 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received		0					
Opinion Request Completed		0					
Complaints Received		3					
Internal Queries		0					
Preliminary Investigations Initiated		0					

Preliminary Investigations Orders Issued		0					
Full Investigations Initiated		0					
Full Investigations Orders Issued		0					
Informal Hearings Referred		25					
Informal Hearings Completed		6					
Informal Hearings Orders Issued		6*					
Petitions for Enforcement Referrals to BOE		0					

*This figure represents 3 Failure to Timely File orders in which no fines were imposed and 3 Failure to Timely File orders in which fines totaling \$2,975.00 were imposed.

Board Statistics for August, 2016

Board Meeting September 7, 2016

1. Actual Matters referred

PIRM

PCC 19

PAC's 6

ANC's 0

Constituent Services 0

Statehood Fund 0

RAAD

PCC 0

PAC's 0

Constituent Services 0

Statehood Fund 0

2. Notices of Hearings 12
First Notices 10

Second Notices 2

3. Notices and Orders Vacated 0

4. Hearings Conducted 6

5. Orders which imposed fines 3

6. Fines imposed **1. \$1,300.00 Christopher Hawthorne 4 Ward 8**
2. \$1,300.00 Gertrude Stein Democratic Club
3. \$375.00 Holmes for Ward 8

7. Fines collected \$2,400.00

8. Investigations completed 0
Orders issued

9. Petitions for Enforcement 0

10. Outstanding Fines \$27,750.00*

- (1) \$1,400.00 Carter At-Large 2014
- (2) \$1400.00 Committee to Elect Villareal Johnson
- (3) \$1400.00 Bruce Majors for Mayor
- (4) \$2,000.00 Bell for Ward 8
- (5) \$2,500.00 Bell for Ward 8
- (6) \$2,500.00 Bell for Ward 8
- (7) \$2,500.00 Whitaker 4 Ward 4
- (8) \$2,000.00 Whitaker 4 Ward 4

(9) \$2,500.00 Whitaker 4 Ward 4
(10)\$1,700.00 Youth Action PAC
(11)\$600.00 Gertrude Stein Democratic PAC
(12)\$1,900.00 Youth Action PAC
(13)\$ 1,400.00 Gertrude Stein Democratic PAC
(14)\$1,400.00 Youth Action PAC
(15)\$350.00 Morgan for D.C.
(16)\$2,200.00 Morgan for D.C
(17)\$2,500.00 Gaston 2015

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

September 7, 2016

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Administratively Suspended

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Pending

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations

Status: Pending

OCF FI 2013-015

Complainant: Internally Generated March 28, 2014

Respondent: Jeffrey Thompson

Infraction: Campaign contribution violations

Status Pending:

OCF FI 2014-001

Complainant: Internally Generated July 24, 2014

Respondent: Kelvin Robinson

Infraction: Campaign contribution violations

Status: Pending

OCF FI 2014-002

Complainant: Internally Generated July 24, 2014

Respondent: Jeff Smith

Infraction: Campaign contribution violations

Status: Pending

INTERPRETATIVE OPINIONS

September 7, 2016

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

September 7, 2016

NONE