

October 5, 2016

OFFICE OF THE DIRECTOR

Onsite Training for ANC Candidates for Wards 1 and 8 – September 15, 2016.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
September 2016

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to attend OCF Entrance Conference

Political Action Committee

Ward Eight Matters
Jameka Anderson, Treasurer

ENTRANCE CONFERENCES

September 9, 2016

| | |
|------------------|-----------------------------|
| Markus Batchelor | Candidate/Markus for Ward 8 |
|------------------|-----------------------------|

September 12, 2016

| | |
|-----------------|--------------------------|
| Judith N. Batty | Treasurer/Statehood YES! |
|-----------------|--------------------------|

September 23, 2016

| | |
|-----------------|------------------------------|
| Aaron Holmes | Candidate/Holmes for Ward 8 |
| Jameka Anderson | Treasurer/Ward Eight Matters |

REPORT ANALYSIS AND AUDIT DIVISION (RAAD)

The following is an accounting of RAAD’s production stats:

September 2016

DESK REVIEWS AND OTHER ACTIVITIES

| | |
|--|----|
| Political Action Committees | 3 |
| Constituent Service Funds (includes amended reports)..... | 2 |
| US Senator/Representative..... | 1 |
| Principal Campaign Committees (includes amended reports)..... | 10 |
| Exploratory Committees..... | 0 |
| Independent Expenditures..... | 0 |
| Twenty-four Hour Reports..... | 0 |
| Initiative/Referendum Committees..... | 1 |
| Attorney General..... | 0 |
| Audit Letters (RFAI) Sent..... | 3 |
| Follow-up letters sent..... | 0 |
| Case(s) Resolved..... | 13 |
| Cases Referred to the Office of the General Counsel..... | 2 |
| Cases Referred for Contributions with Same Address and Similar Signatures..... | 0 |
| Cases Terminated | 0 |
| Hearings Attended | 0 |
| Precinct Visits..... | 0 |
| Webinars Attended – Institute of Internal Auditors..... | 0 |
| Outside Seminars Attended | 0 |
| In House Training | 3 |
| Entrance Conference..... | 3 |

ONGOING AUDITS

Full Field Audits – Newly Elected Officials

- **Brandon Todd for Ward 4**
- **Brianne for DC**

Periodic Random Audits - Candidates in the 2016 Election

- **Re-Elect Vincent Orange 2016 (*January 31, 2016 and March 10, 2016 R&E Reports*)**
- **Carter for DC (August 10, 2016 Reports)**
- **Friends of Jimmy Calomiris (August 10, 2016 Reports)**

AUDITS ISSUED

Potillo for Council 2016 – issued 09/08/16
Robert White At-Large –issued 09/21/16
Professional Insurance Agents PAC – issued 09/12/16
DC Building Industry PAC – issued 09/06/16
Committee to Elect Jaclyn Smith 2016 – issued 09/21/16
Klokkel At-Large – issued 09/08/16
LaRuby May 2015 – issued 09/30/16

OFFICE OF THE GENERAL COUNSEL

2016 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

| | July | August | September | October | November | December | |
|--|------|--------|-----------|---------|----------|----------|--|
| Opinion Request Received | | | 0 | | | | |
| Opinion Request Completed | | | 0 | | | | |
| Complaints Received | | | 0 | | | | |
| Internal Queries | | | 0 | | | | |
| Preliminary Investigations Initiated | | | 0 | | | | |
| Preliminary Investigations | | | 0 | | | | |

| | | | | | | | |
|--|--|--|----|--|--|--|--|
| Orders Issued | | | | | | | |
| Full Investigations Initiated | | | 0 | | | | |
| Full Investigations Orders Issued | | | 0 | | | | |
| Informal Hearings Referred | | | 3 | | | | |
| Informal Hearings Completed | | | 5 | | | | |
| Informal Hearings Orders Issued | | | 7* | | | | |
| Petitions for Enforcement Referrals to BOE | | | 0 | | | | |

*This figure represents 7 Failure to Timely File orders in which no fines were imposed.

Board Statistics for September, 2016

Board Meeting October 5, 2016

1. Actual Matters referred

PIRM

PCC 0

PAC's 1

ANC's 0

Constituent Services 0

Statehood Fund 0

RAAD

| | | |
|--|---------------------|-------------------------------|
| PCC | 2 | |
| PAC's | 0 | |
| Constituent Services | 0 | |
| Statehood Fund | 0 | |
| 2. Notices of Hearings | 41 | |
| First Notices | 26 | |
| Second Notices | 15 | |
| 3. Notices and Orders Vacated | 0 | |
| 4. Hearings Conducted | 5 | |
| 5. Orders which imposed fines | 0 | |
| 6. Fines imposed | 0 | |
| 7. Fines collected | \$500.00 | |
| 8. Investigations completed Orders issued | 0 | |
| 9. Petitions for Enforcement | 0 | |
| 10. Outstanding Fines | \$26,350.00* | |
| | (1) \$1,400.00 | Carter At-Large 2014 |
| | (2) \$1400.00 | Bruce Majors for Mayor |
| | (3) \$2,000.00 | Bell for Ward 8 |
| | (4) \$2,500.00 | Bell for Ward 8 |
| | (5) \$2,500.00 | Bell for Ward 8 |
| | (6) \$2,500.00 | Whitaker 4 Ward 4 |
| | (7) \$2,000.00 | Whitaker 4 Ward 4 |
| | (8) \$2,500.00 | Whitaker 4 Ward 4 |
| | (9) \$1,700.00 | Youth Action PAC |
| | (10)\$600.00 | Gertrude Stein Democratic PAC |
| | (11)\$1,900.00 | Youth Action PAC |
| | (12)\$ 1,400.00 | Gertrude Stein Democratic PAC |
| | (13)\$1,400.00 | Youth Action PAC |
| | (14)\$350.00 | Morgan for D.C. |
| | (15)\$2,200.00 | Morgan for D.C |
| | (16)\$2,500.00 | Gaston 2015 |

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

October 5, 2016

| | |
|-----------------|--|
| OCF FI 2013-013 | Complainant: Internally Generated June 24, 2013 Respondent: Lee Calhoun Infraction: Campaign contribution violations Status: Pending |
| OCF FI 2013-014 | Complainant: Internally Generated June 24, 2013 Respondent: Stanley Straughter Infraction: Campaign contribution violations Status: Pending |
| OCF FI 2013-015 | Complainant: Internally Generated March 28, 2014 Respondent: Jeffrey Thompson Infraction: Campaign contribution violations Status Pending: |
| OCF FI 2014-001 | Complainant: Internally Generated July 24, 2014 Respondent: Kelvin Robinson Infraction: Campaign contribution violations Status: Pending |
| OCF FI 2014-002 | Complainant: Internally Generated July 24, 2014 Respondent: Jeff Smith Infraction: Campaign contribution violations Status: Pending |

INTERPRETATIVE OPINIONS

October 5, 2016

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

October 5, 2016

NONE