

November 18, 2016

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
October 2016

**PRINCIPAL CAMPAIGN COMMITTEES & PACs
October 10th Report of Receipts & Expenditures
(Due on October 11, 2016)**

Total number of required filers	89(41PCC – 48PAC)
Total number of timely filers	77(*34PCC – 43PAC)
Total number of late filers	7(3PCC – 4PAC)
Total number of extensions requested	0(0PCC – 0PAC)
Total number of extensions granted	0(0PCC – 0PAC)
Total number of FTF	5(4PCC – 1PAC)
Total number of OGC referrals	12(7PCC – 5PAC)
Total number of Electronic Filings	84(37PCC – 47PAC)
Total number of Certified E-Filings	84(37PCC – 47PAC)

***Three (3) of the PCC filings and one (1) exploratory filing were termination reports.**

**8 Day Pre General Election Report of Receipts & Expenditures
(Due on October 31, 2016)**

Total number of required filers	74(26PCC – 48PAC)
Total number of timely filers	*62(21PCC – 41PAC)
Total number of late filers	1(0PCC – 1PAC)
Total number of extensions requested	0(0PCC – 0PAC)
Total number of extensions granted	0(0PCC – 0PAC)
Total number of FTF	11(5PCC – 6PAC)
Total number of OGC referrals	TBD
Total number of Electronic Filings	63(21PCC – 42PAC)
Total number of Certified E-Filings	63(21PCC – 42PAC)

***Two (2) of the PCC filings were termination reports.**

REFERRALS TO THE OFFICE OF THE GENERAL COUNSEL

Failure to attend OCF Entrance Conference

Principal Campaign Committees

Chesley for DC Council Ward 7
Samuel Hughes, Treasurer

Bachner Committee 2016
Carrie Bachner, Treasurer

The Committee to Elect Randy Short 2016
Sakhele Seshibe, Treasurer

Dean for School Board Ward 7
Marla Dean, Treasurer

Philo Collins for Representative
Philo Collins, Treasurer

Friends of Jimmy Calomiris
James Calomiris, Treasurer

Drew for DC
Kimberly Higginbotham, Treasurer

Political Action Committees

Gertrude Stein Democratic Political Acct.
Jessica Pierce, Treasurer

Ward 5 Democrats
Gayle Hall Carley, Treasurer

DC Working Families
D. Morris Michael, Treasurer

DC Chamber of Commerce
Andrew J. Kline, Treasurer

Campaign Committee for Working Families (I.E. Cmte)
George Gilbert, Treasurer

REPORTS ANALYSIS AND AUDIT DIVISION (RAAD)

The following is an accounting of RAAD’s production stats:
October 2016

DESK REVIEWS AND OTHER ACTIVITIES

Political Action Committees	57
Constituent Service Funds (includes amended reports).....	11
US Senator/Representative.....	3
Principal Campaign Committees (includes amended reports).....	34
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	1
Attorney General.....	1
Audit Letters (RFAI) Sent.....	26
Follow-up letters sent.....	0

Case(s) Resolved.....	5
Cases Referred to the Office of the General Counsel.....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	1
Outside Seminars Attended	0
In House Training	0
Entrance Conference.....	0

ONGOING AUDITS

Full Field Audits – Newly Elected Officials

- Brandon Todd for Ward 4

Periodic Random Audits - Candidates in the 2016 Election

- **Re-Elect Vincent Orange 2016** (*January 31, 2016 and March 10, 2016 R&E Reports*)
- Carter for DC (August 10, 2016 R&E Report)

Periodic Random Audits - Constituent Service Programs (October 1, 2016 filing)

- Office of LuRuby May CSP
- Evans Constituent Service Fund
- Mary Cheh Ward 3 CSF

AUDITS ISSUED

- Brianne for DC – issued 10/25/16
- Friends of Jimmy Calomiris – issued 10/25/16

2016 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received				1			
Opinion Request Completed				1			
Complaints Received							
Internal Queries				0			
Preliminary Investigations				0			

Initiated							
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				12			
Informal Hearings Completed				17*			
Informal Hearings Orders Issued				17			
Petitions for Enforcement Referrals to BOE				0			

*This figure represents 5 Failure to Timely File orders in which no fines were imposed and 12 Failure to Timely File orders in which a total of \$17,750.00 in fines were imposed.

Board Statistics for October, 2016
Board Meeting November 18, 2016

1. Actual Matters referred

PIRM

PCC 7
PAC's 5
ANC's 0
Constituent Services 0
Statehood Fund 0

RAAD

PCC 0
PAC's 0
Constituent Services 0
Statehood Fund 0

2. Notices of Hearings 41
First Notices 26

Second Notices	15	
3. Notices and Orders Vacated	0	
4. Hearings Conducted	5	
5. Orders which imposed fines	0	
6. Fines imposed		\$1,750.00
1199 SEIU		\$ 600.00
Gertrude Stein		\$ 1,100.00
Vote Carter 2016		\$ 2,100.00
Toliver for Ward 4		\$1,500.00
Zuckerberg for AG		\$ 1,500.00
Paterson for Ward 8		\$1,500.00
Committee to Elect Judi Jones		\$ 450.00
Committee to Elect Natalie Williams		\$ 1,500.00
Bell for Ward 8		\$2,000.00
Whitaker for Ward 4		\$2,500.00
Carter At-Large 2014		\$1,600.00
Committee to Elect Khalid Pitts		\$2,000.00
7. Fines collected		\$500.00
8. Investigations completed	0	
Orders issued		
9. Petitions for Enforcement	0	
10. Outstanding Fines		\$26,350.00*
	(1)	\$1,400.00 Carter At-Large 2014
	(2)	\$1400.00 Bruce Majors for Mayor
	(3)	\$2,000.00 Bell for Ward 8
	(4)	\$2,500.00 Bell for Ward 8
	(5)	\$2,500.00 Bell for Ward 8
	(6)	\$2,500.00 Whitaker 4 Ward 4
	(7)	\$2,000.00 Whitaker 4 Ward 4
	(8)	\$2,500.00 Whitaker 4 Ward 4
	(9)	\$1,700.00 Youth Action PAC
	(10)	\$600.00 Gertrude Stein Democratic PAC
	(11)	\$1,900.00 Youth Action PAC
	(12)	\$ 1,400.00 Gertrude Stein Democratic PAC
	(13)	\$1,400.00 Youth Action PAC
	(14)	\$350.00 Morgan for D.C.

(15)\$2,200.00 Morgan for D.C

(16)\$2,500.00 Gaston 2015

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

November 18, 2016

OCF FI 2013-013	Complainant: Internally Generated June 24, 2013 Respondent: Lee Calhoun Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-014	Complainant: Internally Generated June 24, 2013 Respondent: Stanley Straughter Infraction: Campaign contribution violations Status: Pending
OCF FI 2013-015	Complainant: Internally Generated March 28, 2014 Respondent: Jeffrey Thompson Infraction: Campaign contribution violations Status: Pending:
OCF FI 2014-001	Complainant: Internally Generated July 24, 2014 Respondent: Kelvin Robinson Infraction: Campaign contribution violations Status: Pending
OCF FI 2014-002	Complainant: Internally Generated July 24, 2014 Respondent: Jeff Smith Infraction: Campaign contribution violations Status: Administratively Suspended

INTERPRETATIVE OPINIONS

November 18, 2016

#016-02	Requestors: Angie M. Gates and Lawrence Cooper
	Submitted: October 24, 2016
	Issue: Activities Related to Advisory Ballot Referendum
Status:	Issued October 31, 2016

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

November 18, 2016

NONE