

December 3, 2015

**OFFICE OF THE DIRECTOR**

**OFFICE OF THE GENERAL COUNSEL (See Attachments)**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
November 2015

**New Candidates/Committees**

*November 2015*

Drew Franklin/Drew for DC (At-Large, City Council).....11/13/2015

**Entrance Conference scheduled for December 3, 2015**

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of the production stats of RAAD:  
November 2015

**REVIEWS AND OTHER MATTERS COMPLETED**

Political Action Committees (includes amended Reports).....	15
Constituent Service Funds (includes amended Reports).....	10
US Senator/Representative.....	0
Principal Campaign Committees (includes amended Reports).....	0
Exploratory, Transition, and Inaugural Committees.....	0
Legal Defense Committees.....	0
Independent Expenditures.....	0
Independent Expenditure Committees .....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	11
Follow-up Letters Sent.....	0
Case(s) Resolved.....	7
Cases Referred to the Office of the General Counsel.....	1
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated .....	24
Hearings Attended .....	0

Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended .....	0
In House Training .....	0
Entrance Conferences.....	1

**ONGOING AUDITS**

**Full Field Audits:**

**(1) Investigative Audits**

- Gray for Mayor

**(2) Inaugural Committees 2015**

- DC Proud Inaugural Committee

**(3) Newly Elected Officials 2014 and 2015**

- LaRuby May 2015
- Brandon Todd for Ward 4
- Brianne for DC

**Periodic Random Audits:**

**(1) Continuing Committees – January 31, 2015 Filing**

- Morgan for DC

**(2) Constituent Service Programs - October 1, 2015 Filing**

- Citizens Outreach Fund
- Yvette Alexander Constituent Service Fund

**AUDIT REPORTS ISSUED**

- Barry for Council.....	11/11/15
- Charles Allen for Ward 6.....	11/20/15
- Eric Jones 2014.....	11/23/15
- Fresh PAC.....	11/23/15
- Libertarian Party of DC.....	11/30/15

# OFFICE OF THE GENERAL COUNSEL

## 2015 MONTHLY IN-TAKE/OUTPUT REPORT

### OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received					0		
Opinion Request Completed					0		
Complaints Received					0		
Internal Queries					0		
Preliminary Investigations Initiated					0		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		

Informal Hearings Referred					1		
Informal Hearings Completed					5		
Informal Hearings Orders Issued					10*		
Petitions for Enforcement Referrals to BOE					22		

\*This figure represents 3 Failure to Timely File orders in which no fines were imposed and 7 Failure to Timely File orders in which fines totaling \$8,750.00 were imposed.

Board Statistics for November, 2015

Board Meeting December 2, 2015

1. Actual Matters referred

**PIRM**

PCC	0
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

**RAAD**

PCC	1
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PAC's	0	
Constituent Services	0	
Statehood Fund	0	
2. Notices of Hearings	20	
First Notices	11	
Second Notices	9	
3. Notices and Orders Vacated	0	
4. Hearings Conducted	5	
5. <b>Orders which imposed fines</b>	7	
6. <b>Fines imposed</b>		<b>1. \$2,000.00 Beverly Wheeler for Ward 1</b> <b>2 \$2,000.00 Martin Sterbal US Senate</b> <b>3. \$200.00 Renee Bowser Ward 4</b> <b>4. \$100.00 John LaBeaume 2014</b> <b>5. \$1,650.00 James Caviness for Mayor</b> <b>6. \$1,800.00 Carter At-Large</b> <b>7. \$1,000.00 Ward 5 Democrats</b>
7. <b>Fines collected</b>		<b>1. \$100.00 D.C. Latino Caucus.</b> <b>2. \$125.00 Ward Six Democrats</b>
8. Investigations completed	0	
Orders issued		
9. Petitions for Enforcement	22	
10. Outstanding Fines	\$16,000.00*	
		(1) \$2,000.00 Carter At-Large 2014 (2) \$2,000.00 Carter At-Large 2014 (3) \$2,000.00 Bruce Majors Libertarian for Mayor (4) \$2,000.00 Beverly Wheeler for Ward 1 (5) \$2,000,00 Martin Sterbal for US Senate

- (6) \$2,000.00 Bell for Ward 8
- (7) \$2,000.00 Gaston 2015
- (8) \$2,000.00 Whitaker 4 Ward 4

\*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

December 2, 2015

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Lee Calhoun investigation remains ongoing

OCF FI 2013-014                      Complainant: Internally Generated June 24, 2013  
Respondent: Stanley Straughter  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015                      Complainant: Internally Generated March 28, 2014  
Respondent: Jeffrey Thompson  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001                      Complainant: Internally Generated July 24, 2014  
Respondent: Kelvin Robinson  
Infraction: Campaign contribution violations  
Status: Pending

OCF FI 2014-002                      Complainant: Internally Generated July 24, 2014  
Respondent: Jeff Smith  
Infraction: Campaign contribution violations  
Status: Pending

INTERPRETATIVE OPINIONS

December 2, 2015

#015-03 Requestor: The Honorable Brandon Todd

Submitted: October 9, 2015

Issue: Reimbursement of Expenses from CSP

Status: Issued November 3, 2015

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

December 2, 2015

NONE