

October 7, 2015

**OFFICE OF THE DIRECTOR**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of the production stats of PIRM:  
September 2015

**NEW CANDIDATES AND COMMITTEES REGISTERED FOR THE 2016 ELECTION CYCLE**

David Grosso/Grosso At-Large 2016 (At-Large, City Council).....9/15/2015  
Yvette Alexander/Re-Elect Yvette Alexander 2016 (Ward-7, City Council).....9/24/2015  
Brandon Todd/Re-Elect Brandon Todd (Ward-4, City Council).....9/28/2015

**ENTRANCE CONFERENCE PARTICIPANTS ON SEPTEMBER 3, 2015**

David Oberting (Candidate/Treasurer, Oberting 2016)  
Erika Wadlington (Treasurer, Committee for Fair Employment Laws)

**ENTRANCE CONFERENCE PARTICIPANTS ON SEPTEMBER 25, 2015**

Yvette Alexander (Candidate, Re-Elect Yvette Alexander 2016)  
Michael J. Fortunato (Treasurer, Grosso At-Large 2016)  
David Grosso (Candidate, Grosso At-Large 2016)

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of the production stats of RAAD:  
September 2015

**REVIEWS AND OTHER MATTERS COMPLETED**

Political Action Committees (includes amended Reports).....3  
Constituent Service Funds (includes amended Reports).....0  
US Senator/Representative.....0  
Principal Campaign Committees (includes amended Reports).....5  
Exploratory, Transition, and Inaugural Committees.....0  
Legal Defense Committees.....0  
Independent Expenditures.....0  
Independent Expenditure Committees .....0  
Twenty-four Hour Reports.....0  
Initiative/Referendum Committees.....0  
Audit Letters (RFAI) Sent.....1

Follow-up Letters Sent.....	0
Case(s) Resolved.....	0
Cases Referred to the Office of the General Counsel.....	4
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated .....	0
Hearings Attended .....	0
Precinct Visits.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended .....	0
In House Training .....	1
Entrance Conferences.....	3

**ONGOING AUDITS**

**Full Field Audits:**

**(1) Investigative Audits**

- Gray for Mayor

**(2) Inaugural Committees 2015**

- DC Proud Inaugural Committee

**(3) Newly Elected Officials 2014 and 2015**

- LaRuby May 2015
- Brandon Todd for Ward 4
- Brianne for DC
- Charles Allen for Ward 6

**Periodic Random Audits:**

**(1) Candidates Registered for the 2015 Election Cycle - January 31, 2015 Filing**

- Barry for Council

**(2) Continuing Committees - January 31, 2015 Filing**

- Morgan for DC

**(3) Political Action Committees and Continuing Committees - July 31, 2015 Filing**

- Eric Jones 2014
- Fresh PAC
- Libertarian Party of DC

**AUDIT REPORTS ISSUED**

- Holness for DC - September 21, 2015)

**OFFICE OF THE GENERAL COUNSEL**

**2015 MONTHLY IN-TAKE/OUTPUT REPORT**

**OFFICE OF GENERAL COUNSEL**

	July	August	September	October	November	December	
Opinion Request Received			0				
Opinion Request Completed			0				
Complaints Received			0				
Internal Queries			0				
Preliminary Investigations Initiated			0				
Preliminary Investigations Orders Issued			0				
Full Investigations Initiated			0				
Full Investigations Orders Issued			0				
Informal Hearings Referred			4				

Informal Hearings Completed			9				
Informal Hearings Orders Issued			15*				
Petitions for Enforcement Referrals to BOE			0				

\*This figure represents 6 Failure to Timely File orders in which fines totaling \$7,750.00 were imposed, 7 Failure to Timely File orders in which no fines were imposed and 2 orders vacating previously issued orders based upon payment of fines.

Board Statistics for September, 2015

Board Meeting October 7, 2015

1. Actual Matters referred

**PIRM**

PCC	0
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

**RAAD**

PCC	3
PAC's	1
Constituent Services	0

Statehood Fund	0	
2. Notices of Hearings	40	
First Notices	23	
Second Notices	17	
3. Notices and Orders Vacated	0	
4. Hearings Conducted	9	
5. Orders which imposed fines	6	
6. Fines imposed		<ul style="list-style-type: none"> <li>1. D.C. Latino Caucus PAC \$300.00</li> <li>2. Gertrude Stein Democratic Club PAC \$1,400.00</li> <li>3. Youth Action PAC \$1,400.00</li> <li>4. Bell for Ward 8 Council \$1,550.00</li> <li>5. Whitaker 4 Ward 4 \$1,550.00</li> <li>6. Trayon White Ward 8 2015 \$1,550.00</li> </ul>
7. Fines collected		<ul style="list-style-type: none"> <li>1. \$100.00 DC Working Families</li> <li>2. \$100.00 Campaign Committee for Working Families</li> <li>3. \$125.00 Ward Six Democrats</li> </ul>
8. Investigations completed	0	
Orders issued		
9. Petitions for Enforcement	0	
10. Outstanding Fines	\$18,800.00*	<ul style="list-style-type: none"> <li>(1) \$2,000.00 Carter At-Large 2014</li> <li>(2) \$800.00 Re-Elect Mark Jones</li> <li>(3) \$2,000.00 Carter At-Large 2014</li> <li>(4) \$2,000.00 Re-Elect Mark Jones</li> <li>(5) \$2,000.00 Bruce Majors Libertarian for Mayor</li> <li>(6) \$2,000.00 Beverly Wheeler for Ward 1</li> <li>(7) \$2,000,00 Martin Sterbal for US Senate</li> <li>(8) \$2,000.00 Bell for Ward 8</li> <li>(9) \$2,000.00 Gaston 2015</li> <li>(10)\$2,000.00 Whitaker 4 Ward 4</li> </ul>

\*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

October 7, 2015

OCF FI 2011-103                      Complainant: Internally Generated March 15, 2011  
Respondent: Sulaimon Brown for Mayor  
Principal Campaign Committee  
Infraction: Reporting Violations  
Status: Due to current criminal investigations  
the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104                      Complainant: Internally Generated March 15, 2011  
Respondent: Gray for Mayor  
Principal Campaign Committee  
Infraction: Reporting Violations  
Status: Due to current criminal investigations  
the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013                      Complainant: Internally Generated June 24, 2013  
Respondent: Lee Calhoun  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Lee Calhoun investigation remains ongoing

OCF FI 2013-014                      Complainant: Internally Generated June 24, 2013  
Respondent: Stanley Straughter

Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015

Complainant: Internally Generated March 28, 2014  
Respondent: Jeffrey Thompson  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001

Complainant: Internally Generated July 24, 2014  
Respondent: Kelvin Robinson  
Infraction: Campaign contribution violations  
Status: Pending

OCF FI 2014-002

Complainant: Internally Generated July 24, 2014  
Respondent: Jeff Smith  
Infraction: Campaign contribution violations  
Status: Pending

INTERPRETATIVE OPINIONS

October 7, 2015

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

October 7, 2015

NONE