

December 3, 2014

OCF Activity for October 2014

OFFICE OF THE DIRECTOR

Site Visits to Campaign Headquarters (October 3, 2014 (1); October 7, 2014 (1); October 10, 2014 (1); and October 14, 2014 (1)).

Site visits to Early Voting Centers (October 27, 2014 (4); and October 28, 2014 (4))

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
October 2014:

CONSTITUENT SERVICE PROGRAMS
October 1, 2014 Report of Receipts & Expenditures

Total number of required filers.....	12
Total number of timely filers.....	12
Total number of late filers.....	0
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0
Total number of Electronic Filings.....	12
Total number of Certified E-Filings.....	12

SENATOR/REP. STATEHOOD FUNDS
October 1, 2014 Report of Receipts & Expenditures

Total number of required filers.....	2
Total number of timely filers.....	2
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of late filers.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0

Total number of Electronic Filings.....2
 Total number of Certified E-Filings.....2

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES
(Committees Active in the 2014 Election Cycle)

October 10, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....127 (78PCCs - 49PACs)
 Total number of Timely Filers.....115 (68PCCs - 47PACs)
 Total number of Extensions Requested.....1 (1PCCs - 0PACs)
 Total number of Extensions Granted.....1 (1PCCs - 0PACs)
 Total number of Late Filers.....3 (1PCCs - 2PACs)
 Total number of Failures to File.....8 (8PCCs - 0PACs)
 Total number of OGC Referrals.....12 (9PCCs - 3PACs)

Total number of Electronic Filings.....108 (64PCCs- 44 PACs)
Total number of Certified E-Filings.....101 (63PCCs - 38PACs)

One (1) PCC Filing was a Termination Report

8 Day Pre General Election Report

October 27, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....108 (55PCCs - 53PACs)
 Total number of Timely Filers.....94 (47PCCs - 47PACs)
 Total number of Extensions Requested.....0 (0PCCs - 0PACs)
 Total number of Extensions Granted.....0 (0PCCs - 0PACs)
 Total number of Late Filers.....5 (1PCCs - 4PACs)
 Total number of Failures to File.....9 (7PCCs - 2PACs)
 Total number of OGC Referrals.....14 (8PCCs - 6PACs)

Total number of Electronic Filings.....87 (42PCCs - 45PACs)
Total number of Certified E-Filings.....79 (42PCCs - 37PACs)

INITIATIVE, REFERENDUM & RECALL

4th Report of Receipts and Expenditures

October 27, 2014 Report of Receipts & Expenditures

Total number of Required Filers.....6 (6 Initiatives)
 Total number of Timely Filers.....6 (6 Initiatives)
 Total number of Extensions Requested.....0 (0 Initiatives)
 Total number of Extensions Granted.....0 (0Initiatives)

Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>6</i>
<i>Total number of Certified E-Filings.....</i>	<i>6</i>

Referrals to OGC

Failure to Timely File the October 10th R&E Report

PCC's

James Caviness for Mayor 2014
James Caviness, Treasurer

Carter At-Large 2014
Christian Carter, Treasurer

Carson-Smith 4 DNC
Winifred Y. Carson-Smith, Treasurer

Gaston 2014
Darrell Gaston, Treasurer

Sewell for Mayor 2014
Otis Sution, Treasurer

Valentine At-Large 2014
Kevin Valentine, Treasurer

Committee to Elect Andy Shallal
Robin Weiss, Treasurer

Martin Sterbal for U.S. Senate
Martin Sterbal, Treasurer

Elect Cheeks 4 Chairman
Ronald Bonficio, Treasurer

PAC's

Ward 5 Democrats
Adrian Jordan, Treasurer

Greater Washington Board of Trade
James Dinegar, Treasurer

Greater Washington DC Insurance & Fin. Advisors PAC
Mathew Grace, Treasurer

Failure to Timely File the 8 Day Pre General Election R&E Report

PCCs

Carter At-Large 2014
Christian Carter, Treasurer

Nestor for Mayor 2014
Nestor Djonkam, Treasurer

Holness for DC
Geoffrey Tate, Treasurer

Committee to Elect Pranav Badhward
Pranav Badhwar, Treasurer

Committee to Elect Ernest Johnson
Allan Rolnick, Treasurer

Morgan DC
James Turner, Treasurer

Re-Elect Mark Jones 2014
Mark Jones, Treasurer

Bruce Majors, Libertarian for Mayor
Bruce Majors, Treasurer

PACs

Metropolitan Reg. Council of Carpenters Wash. DC PAC
Edward Coryell, Treasurer

Metropolitan Washington PAC
Margaret Jeffers, Treasurer

Ward 6 Democrats
Foley Santamaria, Treasurer

Ward Two Democrats
Aaron DeNue, Treasurer

Youth Action PAC
Gabrielle Moise, Treasurer

DC Hospital Association PAC
Renee DuBiel, Treasurer

New Committees

October

NORML Foundation DC Committee (Initiative Committee).....10/14/2014

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:

Reviews Completed – 281

Political Action Committees (includes amended filings).....	103
Constituent Service Funds (includes amended filings).....	16
US Senator/Representative.....	2
Principal Campaign Committees (includes amended filings).....	138
Exploratory Committees.....	0
Independent Expenditures.....	2
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	8
Attorney General.....	12
Audit Letters (RFAI) Sent.....	83
Follow-up letters Sent.....	0
Case(s) Resolved.....	40
Cases Referred to the Office of General Counsel.....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0

Hearings Attended	1
Site Visits.....	9
Outreach Education Seminars Attended – Institute of Internal Auditors.	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	1
In House Training	4

Ongoing Audits:

Full Field Audits

- Gray for Mayor

Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)

- Bowser for Mayor – issued October 22, 2014
- Tommy Wells for Mayor – issued October 31, 2014
- Evans for Mayor
- Charles Allen for Ward 6 – issued October 20, 2014
- Catania for Mayor – issued October 7, 2014
- Friends of Courtney R. Snowden
- Elect Cheeks for Chairman

Periodic Random Audits – Continuing Committees

- Biddle 2012
- Hubbard for Ward 5 -2012

Periodic Random Audits – Political Action Committees

- Baker and Hostetler – issued October 31, 2014
- District of Columbia State Federation of Business and Professional Women’s Club Inc. PAC

Audits Issued

- Bowser for Mayor
- Wells for Mayor
- Charles Allen for Ward 6
- Baker and Hostetler PAC
- Catania for Mayor

OFFICE OF THE GENERAL COUNSEL

2014 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				4			
Internal Queries				0			
Preliminary Investigations Initiated				4			
Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings				16			

Referred							
Informal Hearings Completed				14			
Informal Hearings Orders Issued				14*			
Petitions for Enforcement Referrals to BOE				10			

*This figure represents 4 Failure to Timely File orders in which no fines were imposed, 6 Failure to timely File orders in which \$7,660.00 in fines were imposed, 2 orders regarding the failures to timely respond to Requests For Additional Information in which no fines were imposed and 2 orders regarding failures to timely respond to Requests For Additional Information in which \$2,650.00 in fines were imposed.

Board Statistics for October, 2014

Board Meeting December 3, 2014

1. Actual Matters referred

PIRM

PCC	9
PAC's	3
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	4	
PAC's	0	
Constituent Services	0	
Statehood Fund	0	
2. Notices of Hearings		
First Notices	7	
Second Notices	8	
3. Notices and Orders Vacated	2	
4. Hearings Conducted	4	
5. Orders which imposed fines	8	
6. Fines imposed		\$10,310.00
		(1) 14R-042 Nestor for Mayor (\$650)
		(2) 14R-036 DC Ready for Hillary (\$2,000)
		(3) 14C-050 James Caviness for Mayor (\$1,650)
		(4) 14C-056 Valentine At-Large (\$100)
		(5) 14P-016 Youth Action PAC (\$1,250)
		(6) 14C-048 David Leacraft (\$660)
		(7) 14C-062 Tarek Stevens (\$2,000)
		(8) 14C-066 Ronald Moten (\$2,000)
7. Fines collected	0	
8. Investigations completed	0	
Orders issued		
9. Petitions for Enforcement		(1) 14C-015 Christian Carter for Mayor (\$500)
		(2) 14C-018 Nestor for Mayor (\$1,300)
		(3) 14C-019 Sewell for Mayor (\$1,300)
		(4) 14C-024 Martin Sterbal US Senate (\$1,300)
		(5) 14C-024 Martin Sterbal US Senate (\$1,250)
		(6) 14C-038 Beverly Wheeler for Ward 1 (\$1,500)
		(7) 14C-039 Martin Sterbal US Senate (\$950)

(8) 14C-042 Sewell for Mayor (\$1,500)

(9) 14C-044 Valentine At-Large (\$1,500)

(10) 14R- 032 Gaston (\$1,100)

10. Outstanding Fines

\$6,100.00*

(1) \$1,700.00 Frank Sewell for Mayor

(2) \$1,100.00 Nestor for Mayor

(3) \$150.00 Tim Clark (ANC)

(4) \$350.00 Friends of Calvin Gurley

(5) \$1,150.00 Nestor for Mayor

(6) \$1,650.00 Nestor for Mayor

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

November, 2014

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013 Complainant: Internally Generated June 24, 2013
Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Lee Calhoun investigation remains ongoing

OCF FI 2013-014 Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015 Complainant: Internally Generated March 28, 2014
Respondent: Jeffrey Thompson
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001 Complainant: Internally Generated July 24, 2014
Respondent: Kelvin Robinson
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2014-002

Complainant: Internally Generated July 24, 2014

Respondent: Jeff Smith

Infraction: Campaign contribution violations

Status: Pending

INTERPRETATIVE OPINIONS

November, 2014

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

November 5, 2014

OCF 2014-P-033

Complainant: Smitty for AG

Respondent: Karl Racine for AG

Infraction: Failure to provide contributor information

Originated: October 16, 2014

Status: Order Issue d November 26, 2014

OCF 2014-P-021

Complainant: Adam Eidinger

Respondent: William V. Jones, III

Infraction: Failure to include disclaimer on campaign literature
and Failure to file a Statement of organization

Originated: October 17, 2014

Status: Order Pending

OCF 2014-P-026

Complainant: Adam Eidinge

Respondent: William V. Jones, III

Infraction: Failure to include disclaimer on campaign literature
and Failure to file a Statement of Organization

Originated: October 17, 2014

Status: Order Pending

OCF 2014-P-022

Complainant: Marie Drissel

Respondent: Elwood "Yango" Sawyer

Infraction: Failure to file financial reports and Failure to
Identify the source of expenditure

Originated: October 21, 2014

Status: Order Pending