

October 1, 2014

**OFFICE OF THE DIRECTOR**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:  
September 2014:

**INITIATIVES, REFERENDUM AND RECALL**

**Report of Receipts and Expenditures**

September 10, 2014

Total number of Required Filers.....	5 (5 Initiatives)
Total number of Timely Filers.....	5 (5 Initiatives)*
Total number of Extensions Requested.....	0 (0 Initiatives)
Total number of Extensions Granted.....	0 (0 Initiatives)
Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>4</i>
<i>Total number of Certified E-Filings.....</i>	<i>4</i>

\* One Termination Report

**New Candidates and Committees registered for the 2014 Election Cycle**

September 2014

Preston Cornish (City Council, Ward-5).....	9/15/2014
Kyle Walker (City Council, Chair).....	9/22/2014
John LaBeaume/LaBeaume 2014 (City Council, Ward-1).....	9/22/2014
No on 71 (Initiative Committee).....	9/23/2014

**Entrance conference**

TBD

**REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD’s production stats:

**Reviews Completed – 51**

Political Action Committees (includes amended filings).....	9
Constituent Service Funds (includes amended filings).....	0
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	32
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	4
Attorney General.....	6
Audit Letters (RFAI) Sent.....	8
Follow-up letters Sent.....	0
Case(s) Resolved.....	29
Cases Referred to the Office of General Counsel.....	3
Cases Referred for Contributions with Same Address and Similar Signatures.....	1
Cases Terminated.....	21
Hearings Attended.....	4
Site Visits.....	0
Outreach Education Seminars Attended – Institute of Internal Auditors.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended (Management Courses).....	2
In House Training.....	4

**Ongoing Audits:**

**Full Field Audits**

- Gray for Mayor

**Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)**

- Bowser for Mayor
- Wells for Mayor
- Evans for Mayor
- Charles Allen for Ward 6
- Catania for Mayor
- Friends of Courtney R. Snowden
- Elect Cheeks for Chairman

**Periodic Random Audits – Continuing Committees**

- Biddle 2012
- Hubbard for Ward 5 – 2012

**Audits Issued**

- None

**OFFICE OF THE GENERAL COUNSEL**

2014 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received			0				
Opinion Request Completed			0				
Complaints Received			0				
Internal Queries			0				
Preliminary Investigations Initiated			0				
Preliminary Investigations Orders Issued			0				
Full Investigations			0				

Initiated							
Full Investigations Orders Issued			0				
Informal Hearings Referred			4				
Informal Hearings Completed			4				
Informal Hearings Orders Issued			14*				
Petitions for Enforcement Referrals to BOE			0				

\*This figure represents 8 Failure to Timely File orders in which no fines were imposed, 5 Failure to timely File orders in which \$6,150.00 in fines were imposed, 1 order regarding the failure to timely respond to a Request For Additional Information in which no fine was imposed.

Board Statistics for September, 2014

Board Meeting October 1, 2014

1. Actual Matters referred

**PIRM**

PCC	0
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

**RAAD**

PCC	3
PAC's	1
Constituent Services	0
Statehood Fund	0
2. Notices of Hearings	
First Notices	17
Second Notices	10
3. Notices and Orders Vacated	0
4. Hearings Conducted	7
5. <b>Orders which imposed fines</b>	<b>5</b>
6. <b>Fines imposed</b>	<b>\$6,150.00</b>
	<b>(1)14C-051 Winifred Carson-Smith DNC (\$1,350)</b>
	<b>(2) 14C-054 Christian Carter At-Large (\$1,350)</b>
	<b>(3) 14C-055 Darrell Gaston 2014 (\$900)</b>
	<b>(4)14C-058 Martin Sterbal for US Senate (\$1,350)</b>
	<b>(5)13P-016 Youth Action PAC (\$1,200)</b>
7. <b>Fines collected</b>	<b>0</b>
8. Investigations completed	0
Orders issued	
9. Petitions for Enforcement	0
10. Outstanding Fines	\$7,550.00*
	(1) \$1,700.00 Frank Sewell for Mayor
	(2) \$1,100.00 Nestor for Mayor
	(3) \$150.00 Tim Clark (ANC)
	(4) \$350.00 Friends of Calvin Gurley
	(5) \$1,450.00 Comm. To Elect Ron Moten
	(6) \$1,150.00 Nestor for Mayor
	(7) \$1,650.00 Nestor for Mayor

\*OCF Petitions for Enforcement pending before the BOEE

LIST OF OPEN OCF INVESTIGATIONS

October 1, 2014

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Lee Calhoun investigation remains ongoing

OCF FI 2013-014                      Complainant: Internally Generated June 24, 2013  
Respondent: Stanley Straughter  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015                      Complainant: Internally Generated March 28, 2014  
Respondent: Jeffrey Thompson  
Infraction: Campaign contribution violations  
Status: Due to current criminal investigations  
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001                      Complainant: Internally Generated July 24, 2014  
Respondent: Kelvin Robinson  
Infraction: Campaign contribution violations  
Status: Pending

OCF FI 2014-002                      Complainant: Internally Generated July 24, 2014  
Respondent: Jeff Smith  
Infraction: Campaign contribution violations  
Status: Pending

INTERPRETATIVE OPINIONS

October 1, 2014

NONE

(All Interpretative Opinions are on-line@ [www.ocf.dc.gov](http://www.ocf.dc.gov))

SHOW CAUSE PROCEEDINGS

October 1, 2014

NONE