

September 10, 2014

OFFICE OF THE DIRECTOR

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
August 2014:

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES
(Continuing Committees)

July 31, 2014 Report of Receipts and Expenditures (Revised)

Total number of Required Filers.....	33 (24PCCs - 9PACs)
Total number of Timely Filers.....	26 (17PCCs - 9PACs)
Total number of Extensions Requested.....	0 (0PCCs - 0PACs)
Total number of Extensions Granted.....	0 (0PCCs - 0PACs)
Total number of Late Filers.....	0 (0PCCs - 0PACs)
Total number of Failures to File.....	7 (7PCCs - 0PACs)
Total number of OGC Referrals.....	7 (7PCCs - 0PACs)

Total number of Electronic Filings..... 23 (17 PCCs- 6 PACs)
Total number of Certified E-Filings..... 22 (16 PCCs -6 PACs)

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES
(Committees Active in the 2014 Election Cycle)

August 10, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....	130 (80 PCCs - 50PACs)
Total number of Timely Filers.....	111 (68PCCs - 43PACs)*
Total number of Extensions Requested.....	1 (0PCCs - 1PACs)
Total number of Extensions Granted.....	1 (0PCCs - 1PACs)
Total number of Late Filers.....	1 (1PCCs - 0PACs)
Total number of Failures to File.....	18 (11PCCs - 6PACs)
Total number of OGC Referrals.....	18 (12PCCs - 6PACs)

Total number of Electronic Filings..... 100 (60PCCs - 40PACs)
Total number of Certified E-Filings..... 97 (60PCCs - 37PACs)

**Three (3) PCC filings were termination reports.*

New Candidates and Committees registered for the 2014 Election Cycle

August 2014

Joey Weedon/Joe Weedon for State Board of Education (Ward-6).....8/6/2014

Referrals to OGC

Failure to Timely File the July 31st R&E Report

Friends of Tarek Stevens
Tarek Stevens, Treasurer

Patterson for Ward 8
Timothy Lewis, Treasurer

Committee to Elect Natalie Williams
Natalie Williams, Treasurer

Gray for Mayor
Betty R. Brown, Treasurer

Cmte. To Elect Ronald Moten, Ward 7 City Council
Ron Moten, Treasurer

Barry for Ward 8 Re-Election Committee
Robert James, Treasurer

Committee to Elect Villareal VJ Johnson
Timothy Lewis, Treasurer

Failure to Timely File the August 10th R&E Report

PCC's

James Caviness for Mayor 2014
James Caviness, Treasurer

Carter At-Large 2014
Christian Carter, Treasurer

Carson-Smith 4 DNC
Winifred Y. Carson-Smith, Treasurer

Gaston 2014
Darrell Gaston, Treasurer

Jim Graham 2014
Nelson Ayala, Treasurer

Valentine At-Large 2014
Justine Flemings, Treasurer

Cmte. to Elect John F. Settles 2014
Jennifer Leonard, Treasurer

Victoria Gordon 4 Mayor
Victoria Gordon, Treasurer

Martin Sterbal for U.S. Senate
Martin Sterbal, Treasurer

Michael Green Mayoral Cmte.
Michael L. Green, Treasurer

Sewell for Mayor 2014
Otis Sution, Treasurer

Beverley Wheeler for Ward 1
Beverley Wheeler, Treasurer

PAC's

Gertrude Stein Democratic PAC
Terrence Laney, Treasurer

Ward 5 Democrats
Adrain Jordan, Treasurer

Youth Action PAC
Gabrielle Moise, Treasurer

Libertarian Party of D.C.
Sarah Harvard, Treasurer

The Nat'l Assoc. of Relators Fund
John Pierpoint, Treasurer

D.C. Building Industry Assoc. PAC, Inc
Christopher Collins, Treasurer

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:

Reviews Completed – 158

Political Action Committees (includes amended filings).....	58
Constituent Service Funds (includes amended filings).....	1
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	95
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	1
Attorney General.....	3
Audit Letters (RFAI) Sent.....	54
Follow-up letters Sent.....	0
Case(s) Resolved.....	21
Cases Referred to the Office of General Counsel.....	4
Cases Referred for Contributions with Same Address and Similar Signatures.....	1
Cases Terminated.....	0
Hearings Attended.....	3
Site Visits.....	0
Outreach Education Seminars Attended – Institute of Internal Auditors.....	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended.....	1
In House Training.....	0

Ongoing Audits:

Full Field Audits

- Gray for Mayor

Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)

- Bowser for Mayor
- Tommy Wells for Mayor
- Evans for Mayor
- Charles Allen for Ward 6
- Catania for Mayor
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Audits Issued

- Orange for Mayor

OFFICE OF THE GENERAL COUNSEL

2014 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received		1					
Opinion Request Completed		0					
Complaints Received		0					
Internal Queries		0					
Preliminary Investigations Initiated		0					
Preliminary		0					

Investigations Orders Issued							
Full Investigations Initiated		0					
Full Investigations Orders Issued		0					
Informal Hearings Referred		29					
Informal Hearings Completed		5					
Informal Hearings Orders Issued		20*					
Petitions for Enforcement Referrals to BOE		0					

*This figure represents 6 Failure to Timely File orders in which no fines were imposed, 1 Failure to timely File order in which a fine of \$950.00 was imposed, 1 order regarding the failure to timely respond to a Request For Additional Information in which a fine of \$1,100.00 was imposed and 12 orders in regarding the failure to timely respond to a Request For Additional Information in which no fines were imposed.

Board Statistics for August, 2014

Board Meeting September 10, 2014

1. Actual Matters referred

PIRM

PCC

19

PAC's	6
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	4
PAC's	0
Constituent Services	0
Statehood Fund	0

2. Notices of Hearings	
First Notices	28
Second Notices	7
3. Notices and Orders Vacated	4
4. Hearings Conducted	5
5. Orders which imposed fines	2

6. Fines imposed	\$2,050.00
	(1)14R-032 Gaston Ward 8 School Board (\$1,100)
	(2)14C-039 Martin Sterbal for US Senate (\$950)

7. Fines collected	0
8. Investigations completed	0
Orders issued	
9. Petitions for Enforcement	0

10. Outstanding Fines

\$7,550.00*

- (1) \$1,700.00 Frank Sewell for Mayor
- (2) \$1,100.00 Nestor for Mayor
- (3) \$150.00 Tim Clark (ANC)
- (4) \$350.00 Friends of Calvin Gurley
- (5) \$1,450.00 Comm. To Elect Ron Moten
- (6) \$1,150.00 Nestor for Mayor
- (7) \$1,650.00 Nestor for Mayor

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

September 10, 2014

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013 Complainant: Internally Generated June 24, 2013
Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Lee Calhoun investigation remains ongoing

OCF FI 2013-014 Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015 Complainant: Internally Generated March 28, 2014
Respondent: Jeffrey Thompson
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001 Complainant: Internally Generated July 24, 2014
Respondent: Kelvin Robinson
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2014-002 Complainant: Internally Generated July 24, 2014
Respondent: Jeff Smith

Infraction: Campaign contribution violations

Status: Pending

INTERPRETATIVE OPINIONS

September 10, 2014

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

September 10, 2014

NONE