

July 10, 2013

OFFICE OF THE DIRECTOR

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
June 2013:

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

June 10th R&E Reports

Total number of Required Filers.....	54 (9PCCs - 45PACs)
Total number of Timely Filers.....	49 (8PCCs – 41PACs)*
Total number of Extensions.....	0 (0PCCs – 0PACs)
Total number of Late Filers.....	4 (1PCCS - 3PACs)
Total number of Failures to File.....	1 (0PCCs – 1PACs)
Total number of OGC Referrals.....	5(1PCCs – 4PACs)
<i>Total number of Electronic Filings.....</i>	<i>42 (7PCCs – 35 PACs)</i>
<i>Total number of Certified E-Filings.....</i>	<i>39 (7PCCs –31PACs)</i>

**2 PCC filings were termination reports*

New Candidates and Committees registered for the 2014 Election

June 2013

Jack Evans/Evans for Mayor (Mayor).....	06/07/2013
Pete Ross/Ross 2014 (U.S. Shadow Senator).....	06/20/2013

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:

Reviews Completed - 60

Political Action Committees (includes amended filings).....	51
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Constituent Service Funds (includes amended filings).....	0
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	9
Exploratory Committees.....	0
Twenty-Four Hour Pre-Election Reports.....	0
Independent Expenditures.....	0
Initiative/Referendum Committees.....	0
Audit Letters (RFAI) Sent.....	0
Follow-up letters sent.....	5
Case(s) Resolved.....	10
Cases Referred to the Office of the General Counsel	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	1
Cases Terminated.....	0
Hearings Attended	0
Outreach Education Seminars Attended	0
Outside Seminars Attended.....	0

Full Field Audits

Investigative Audits

- 1) **Gray For Mayor** – The final audit report was completed in draft on May 15, 2012; however, at the request of the U.S. Attorney’s Office, additional information may be necessary. The Final Audit Report has not been released for public dissemination.
- 2) **Gaston 2012** – Based on a complaint filed on November 15, 2012 with the OCF, an Audit Notification Letter (ANL) was sent to the Committee on February 5, 2013. Because the Committee did not respond to the ANL, the Committee was referred to the OCF General Counsel on February 20, 2013 for failing to provide the requested audit records. The OCF Office of the General Counsel held an informal hearing on March 13, 2013. The Committee failed to appear for the informal hearing as requested. On April 12, 2013 an order was issued by the OCF Office of the General Counsel and the Committee was subsequently fined. On May 23, 2013, the Committee provided records to the Audit staff. After an inventory was conducted, additional records were requested on May 30, 2013 by the Audit staff. The Preliminary Audit Report was issued on July 1, 2013.
- 3) **Our Ward 5 CSF** – (based on a complaint filed) The Audit Notification Letter was issued on June 19, 2013.

Full Field Audits- Newly Elected Officials

- 1) **Kwame for Chair** – The Preliminary Audit Report was issued on January 15, 2013. On March 13, 2013, the Committee provided the Amended Consolidated Report per recommendation by the Audit staff; however, there were additional outstanding issues remaining. The Committee appeared for an informal hearing on May 1, 2013 to address the outstanding issues. Based on

the Committee’s responses to the outstanding issues, the Final Audit Report was completed and issued on July 5, 2013.

- 2) **Kenyan McDuffie 2012** – The Preliminary Audit Report was issued on February 22, 2013. The Committee responded on March 22, 2013. Additional information has been requested and is due July 8, 2013.

- 3) **Grosso for Council 2012** – The Preliminary Audit Report was issued on April 26, 2013. The Committee has scheduled a meeting with the Audit staff to discuss the findings contained in the Preliminary Audit Report on Monday, June 3, 2013. The Audit staff is awaiting additional information due July 12, 2013 from the Committee to complete the Final Audit Report.

Periodic Random Audits – Political Action Committees and Continuing Committees – January 31, 2013 Filing

- 1) **AJ Cooper At-Large** – The Final Audit Report was issued on June 10, 2013.

Periodic Random Audits – Constituent Services Programs – April 1, 2013 Filing

- 1) **The Common Good (Catania CSF)** – The Final Audit Report was issued on June 18, 2013.

- 2) **Mary Cheh Ward 3 CSF** – The Final Audit Report was issued on June 17, 2013.

- 3) **Our Ward 5 (McDuffie CSF)** – The Final Audit Report was issued on July 1, 2013.

OFFICE OF THE GENERAL COUNSEL

2013 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received						0	
Opinion Request						0	

Completed							
Complaints Received						1	
Internal Queries						0	
Preliminary Investigations Initiated						0	
Preliminary Investigations Orders Issued						0	
Full Investigations Initiated						3	
Full Investigations Orders Issued						0	
Informal Hearings Referred						7	
Informal Hearings Completed						5	
Informal Hearings Orders Issued						*2	
Petitions for Enforcement Referrals to BOE						10	

*This figure represents 1 Failure to Timely File Orders in which a fine of \$200.00 was imposed and 1 Failure to Timely File Order in which no fine was imposed.

Board Statistics for June, 2013

Board Meeting July 10, 2013

1. Actual Matters referred

PIRM

PCC	1
PAC's	4
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	2
PAC's	0
Constituent Services	0
Statehood Fund	0

2. Notices of Hearings 8

First Notices	6
Second Notices	2

3. Notices and Orders Vacated 11

4. Hearings Conducted	8
5. Orders which imposed fines	1
6. Fines imposed	\$200.00 Cmte. to Elect John Settles
7. Fines collected	\$900.00 (1) \$200.00 Cmte. To Elect John Settles (2) \$250.00 Mid-Atlantic PAC (3) \$250.00 UFCW Union Local 400 ABC PAC (4) \$200.00 Cmte. To Elect Bill Quirk
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	10

LIST OF OPEN OCF INVESTIGATIONS

July 10, 2013

OCF FI 2011-103 Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit Division for a Full Field Audit.

Issuance of the Final Audit Report contingent upon coordination with another enforcement agency.

OCF FI 2011-104 Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations
Status: This matter was referred to the Audit
Division for a Full Field Audit.
Issuance of the Final Audit Report contingent upon
coordination with another enforcement agency.

OCF FI 2012-117

Complainant: Internally Generated, September 21, 2012
Respondent: Hakim Sutton (Former Treasurer for Michael
Brown 2012)
Infraction: Reporting Violations
Status: Complaint filed with BOE 12/31/2012
Hearing scheduled before BOE on 07/24/2014.

OCF PI 2012-124

Complainant: Anthony Green, November 16, 2012
Respondent: Gaston 2012
Infraction: Reporting Violations
Status: This matter was referred to the Audit
Division for a Full Field Audit. Preliminary Audit issued
July 1, 2013.

OCF PI 2013-012

Complainant: Internally Generated April 19, 2013
Respondent: Patrick Mara
Infraction: Misuse of donor information from 2008
Campaign for Council At-Large

Status: Hearing scheduled for July 3, 2013
continued, next hearing scheduled for
July 12, 2013.

OCF PI 2013-013 Complainant: Internally Generated June 24, 2013
Respondent: Lee Calhoun
Infraction: Campaign contribution violations
Status: Pending

OCF PI 2013-014 Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Pending

OCF PI 2013-015 Complainant: June 25, 2013
Respondent: Advisory Neighborhood Commission 5E
Infraction: Misuse of government resources
Status: Pending

INTERPRETATIVE OPINIONS

July 10, 2013

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

July 10, 2013

NONE