

October 13, 2011

OFFICE OF THE DIRECTOR

Notices of Proposed Rulemaking for Title 3, "Elections and Ethics, of the DCMR, Chapter 30, "Campaign Finance Operations", Chapter 31, "Lobbying", and Chapter 37, "Investigations".

OFFICE OF THE GENERAL COUNSEL (See Attachments)

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
September 2011

LOBBYIST REGISTRATION FEES (as of 09/30/11)

- 255 Total number of New Lobbyists Registered for 2011
- 255 Registration Fees collected in the amount of \$49,650 from New Lobbyists for 2011

New Candidates and Committees registered for 2012 Elections

September 2011

- Max Skolnik/Vote for Max 2012 (City Council, Ward-4).....9/07/2011
- David Grosso/Grosso for Council 2012 (City Council, At-Large).....9/12/2011
- William Bennett/Bennett for Ward 7 (City Council, Ward-7).....9/13/2011
- Lydia Litte (City Council, Ward-4).....9/15/2011
- Calvin Gurley/Friends of Calvin Gurley (City Council, Ward-4).....9/20/2011
- Jill Homan/Homan for DC NCW (DC Republican National Committeewoman.....9/27/2011

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:
September 2011

51 Reviews Completed

- 13 Lobbyists
- 2 PAC
- 4 CSF
- 0 US S/R
- 30 PCC (Includes Amended Filings)
- 2 Exploratory Committees
- 0 FDS
- 0 Initiative/Referendum
- 6 Audit Letters (RFAI) Sent
- 1 Follow-up letters sent

- 20 Cases Resolved
- 0 Cases Referred to the Office of General Counsel
- 0 Cases Terminated
- 0 Hearings Attended
- 1 Outreach Education Seminars Attended
- 0 Outside Seminars Attended

Periodic Random Audits – Lobbyist Activity Reports – July 10, 2011 Filings)

1. Bruce C. Bereano (Lobbyist)/Safeway, Inc. (Compensating Registrant) – The Audit fieldwork is complete.
2. Bruce C. Bereano (Lobbyist)/Collective Mgmt. Assoc. (Compensating Registrant) – The fieldwork is complete.
3. Bruce C. Bereano (Lobbyist)/EnerNoc, Inc. (Compensating Registrant) – The Audit staff has requested and is waiting for additional information. The request was issued on October 4, 2011.
4. Bruce C. Bereano (Lobbyist)/DC Cmte. To Protect & Preserve Independ. Gasoline and Auto Repair (Compensating Registrant) – The Audit fieldwork is complete.

Full Field Audit – Investigative Audit

1. **Gray For Mayor** – The audit fieldwork is near completion. The Audit staff will begin writing the Preliminary Audit Report (PAR) containing its findings and recommendations.

OGC INVESTIGATIVE AND FOIA REQUESTS

1. Washington Post – The requested information was issued to the Office of the General Counsel on September 8, 2011.
2. DC Republican Committee – The requested information was issued to the Office of the General Counsel on October 6, 2011.

2011 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received			0				
Opinion Request Completed			0				
Complaints Received			1				
Internal Queries			0				
Preliminary Investigations Initiated			0				
Preliminary Investigations Orders Issued			0				
Full Investigations Initiated			0				
Full Investigations Orders Issued			0				
Informal Hearings Referred			11				
Informal Hearings			38				

Completed							
Informal Hearings			34*				
Orders Issued							
Petitions for Enforcement			0				
Referrals to BOEE							

*This Figure represents 28 FDS Orders in which no fines were imposed and 6 Failure to Timely File Orders in which no fines were imposed.

Board Statistics for September, 2011

Board Meeting October 13, 2011

1. Actual Matters referred

PCC	6
PAC's	5
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0

2. Notices of Hearings	108
First Notices	49
Second Notices	59

3. Notices and Orders Vacated	10
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4. Hearings Conducted	38
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5. Orders which imposed fines	0
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6. Fines imposed	0
7. Fines collected	0
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

October 13, 2011

OCF FI 2011-105(a) & (b)	<p>Complainant: Bob Kabel initiated March 22, 2011</p> <p>Respondents: Harry Thomas, Jr. and Victoria Leonard- Chambers Councilmember for Ward 5</p> <p>Infraction: Conflict of Interest</p> <p>Status: Final disposition delayed due to the unavailability of witnesses who are cooperating with another investigative agency.</p>
OCF FI 2011-103	<p>Complainant: Internally Generated March 15, 2011</p> <p>Respondent: Sulaimon Brown for Mayor Principal Campaign Committee</p> <p>Infraction: Reporting Violations</p> <p>Status: This matter was referred to the Audit Division for a Full Field Audit; upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.</p>

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final

Audit Report, OCF will determine whether an investigation is warranted.

OCF PI 2011-101

Complainant: Michael Sindram initiated September 6, 2011

Respondent: ANC 4A Commissioner Dwayne Toliver

Infraction: Conflict of Interest

Status: Pending

OCF PI 2011-102

Complainant: Bob Kabel initiated September 6, 2011

Respondents: Constituent Services Funds for 10 Councilmembers

Infraction: Misuse of CSP funds

Status: Pending

OCF PI 2011-103

Complainant: ANC 5B04 Commissioner Vaughn Bennett

Initiated September 6, 2011

Respondent: ANC 5B Chairperson Regina James

Infraction: Use of government resources for personal and campaign purposes

Status: Pending

SHOW CAUSE PROCEEDINGS

October 13, 2011

NONE

INTERPRETATIVE OPINIONS

October 13, 2011

NONE

(All Interpretative Opinions are on-line.)