

July 6, 2011

**OFFICE OF THE DIRECTOR**

**OFFICE OF THE GENERAL COUNSEL (See Attachments)**

**PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION**

The following is an accounting of PIRMs production stats:

June 2011

**FINANCIAL DISCLOSURE STATEMENTS**

June 2011

- 2776 Total number of required filers
- 2351 Total number of required timely filers
- 425 Total number of failures to file
- 425 Total number of OGC referrals
- 1877 Total number of Electronic Filings
- 1708 Total number of Certified E-Filings

**PRINCIPAL CAMPAIGN COMMITTEES/POLITICAL ACTION COMMITTEES**

June 10<sup>th</sup> Report of Receipts & Expenditures

- 58 Total number of required filers (21PCCs – 37PACs)
- 47 Total number of timely filers (13PCCs – 34PACs)
- 1 Total number of extensions filers (0PCC – 1PAC)
- 10 Total number of failures to file (8PCCs – 2PACs)
- 10 Total number of OGC referrals (8PCCs – 2PACs)
- 39 Total number of Electronic Filings (14PCCs – 25PACs)
- 28 Total number of Certified E-Filings (11PCCs – 17PACs)

**LOBBYIST REGISTRATION FEES (as of 06/28/11)**

- 210 Total number of New Lobbyists Registered for 2011
- 209 Registration Fees collected from 209 New Lobbyists (\$600.00 Collected June 2011)
- 1 Outstanding Lobbyist registered and has not paid the fee

**New Candidates and Committees registered for 2012 Elections**

June

- Keith Jarrell/Keith Jarrell 2012 (City Council/Ward-4) .....6/21/2011
- Yvette Alexander/Re-elect Yvette Alexander 2012 (City Council/Ward-7)..... 6/24/2011

## **REPORTS ANALYSIS AND AUDIT DIVISION**

The following is an accounting of RAAD's production stats:  
June 2011

### **84 Reviews Completed**

- 0 Lobbyists
- 52 PAC
- 2 CSF
- 0 US S/R
- 30 PCC (Includes amended filings)
- 0 Exploratory Committee
- 0 FDS
- 0 Initiative/Referendum
- 5 Audit Letters (RFAI) Sent
- 0 Follow-up letters sent
- 6 Cases Resolved
- 2 Case Referred to the Office of General Counsel (Yvette Alexander CSF and Ward 5 CSF)
- 0 Cases Terminated
- 0 Hearings Attended
- 0 Outreach Education Seminars Attended
- 0 Outside Seminars Attended

### **Periodic Random Audits – Citizen Service Programs – April 1, 2011 Filings**

1. **Ward 6 Constituent Service Program** – The Audit Notification Letter was sent out on June 22, 2011.
2. **Phil's Fund for Citizen Service** – The Audit Notification Letter was sent out on June 22, 2011.

### **Desk Review as a Result of Complaint Filed**

**Yvette Alexander Constituent Service Fund** – The findings and recommendations were submitted to the OCF Office of the General Counsel on June 23, 2011.

### **Full Field Audit – Investigative Audit**

1. **Gray For Mayor** – The audit fieldwork is in progress.

### **SHOW CAUSE PROCEEDINGS**

July 6, 2011

NONE

2011 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

July 6, 2011

	January	February	March	April	May	June	
Opinion Request Received						0	
Opinion Request Completed						0	
Complaints Received						0	
Internal Queries						0	
Preliminary Investigations Initiated						0	
Preliminary Investigations Orders Issued						0	
Full Investigations Initiated						0	
Full Investigations Orders Issued						0	
Informal Hearings Referred						12	
Informal Hearings Completed						6	
Informal Hearings Orders Issued						*35	
Petitions for Enforcement Referrals to BOEE						1	

\* This figure represents 27 Orders in which no fines were imposed and 8 Orders in which \$15,070.00 in fines were imposed.

Board Statistics for June, 2011

Board Meeting July 6, 2011

1. Actual Matters referred	
PCC	8
PAC's	2
Lobbyist	0
Constituent Services	0
ANC's	0
FDS	0
Statehood Fund	0
RFAI (Audit Division)	2
2. Notices of Hearings	41
First Notices	4
Second Notices	37
3. Notices and Orders Vacated	27
4. Hearings Conducted	6
5. Orders which imposed fines	8
6. Fines imposed	\$15,070.00
7. Fines collected	0
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	1

LIST OF OPEN OCF INVESTIGATIONS

July 6, 2011

OCF FI 2010-102

Complainant: Vincent Orange

Respondent: Kwame Brown

At-Large Councilmember

Infraction: Conflict of Interest

Originated: September 9, 2010

Status: Pursuant to a Final Audit Report which was

issued on April 5, 2011, OCF initiated an investigation regarding the 2008 Committee to Re-Elect Kwame Brown. As a result of the investigation OCF filed a complaint with

The Board of Election and Ethics on June 10, 2011. A hearing in the matter has been scheduled for July 7, 2011.

OCF FI 2011-105(a) & (b)

Complainant: Bob Kabel initiated March 22, 2011

Respondents: Harry Thomas, Jr. and Victoria Leonard- Chambers

Councilmember for Ward 5

Infraction: Conflict of Interest

Status: Investigation completed. Under review

pending final disposition

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final Audit Report,  
OCF will determine whether an investigation is  
warranted.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final

Audit Report, OCF will determine whether an  
investigation is warranted.

OCF FI 2011-106

Complainant: Geraldine Washington April 13, 2011

Respondent: Yvette Alexander

Councilmember for Ward 7

Infraction: Conflict of Interest/ Misuse of CSP Funds

Status: Pending

INTERPRETATIVE OPINIONS

July 6, 2011

NONE

(All Interpretative Opinions are on-line.)