

August 8, 2012

OFFICE OF THE DIRECTOR

The “Fiscal Year 2013 Budget Request Act of 2012”, D.C. Act 19-0381 (June 15, 2012).

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:

July 2012

CITIZEN SERVICE PROGRAMS

July 1, 2012 Report of Receipts & Expenditures

Total number of Required Filers.....	15
Total number of Timely Filers.....	14*
Total number of Late Filers.....	1
Total number of Extensions Requested.....	0
Total number of Failures to File.....	1
Total number of OGC Referrals.....	1
<i>Total number of Electronic Filings.....</i>	<i>14</i>
<i>Total number of Certified E-Filings.....</i>	<i>13</i>

****Two Reports were Final CSP Program Reports (Councilmember K. Brown & Chairman V. Gray)***

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

July 1, 2012 Report of Receipts & Expenditures

Total number of Required Filers.....	3
Total number of Timely Filers.....	0
Total number of Extensions Requested.....	3
Total number of Late Filers.....	0
Total number of Failures to File.....	0

Total number of OGC Referrals.....0
Total number of Electronic Filings3
Total number of Certified E-Filings.....1

LOBBYIST ACTIVITY REPORTS

July 10, 2012

Total number of Required Filers.....237
Total number of Timely Filers.....229
Total number of Extensions Requested.....34
Total number of Failures to File.....8
Total number of OGC Referrals.....TBD
Total number of Electronic Filings.....107
Total number of Certified E-Filings.....97

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

July 31st R&E Reports

Total number of Required Filers.....38 (20PCCs - 18PACs)
Total number of Timely Filers.....23 (9PCCs – 14PACs)
Total number of Extensions Requested.....1 (0PCCs – 1PAC)
Total number of Failures to File.....14(10PCCs – 4PACs)
Total number of OGC Referrals.....TBD
Total number of Electronic Filings.....20(9PCCs – 11PACs)
Total number of Certified E-Filings..... 16(5PCCs – 11PACs)

Lobbyist Registration Fees (as of 7/27/12)

247 Total Number of Lobbyists Registered for Calendar Year 2012
247 Registration Fees collected in the amount of \$50,600.00 from the New Registrants

New Candidates and Committees registered for 2012 Elections

July 2012

Justina Wilkins/Justina for Ward 7(City Council, Ward-7).....	7/2/2012
Calvin Gurley, Friends of Calvin Gurley 2012 (City Council, Chairman).....	7/6/2012
Dorothy Douglas (City Council, Chairman).....	7/6/2012
Dorothy Douglas (School Board, Ward-7).....	7/6/2012
Angela London (City Council, At-Large).....	7/16/2012
D. Kamili Anderson/D. Kamili Anderson SBOE Re-election Cmte. (School Board, Ward-4).....	7/17/2012
John Cheeks/Cheeks For Chairman (City Council, Chair).....	7/18/2012
Cardell Shelton (City Council, Ward-8).....	7/18/2012
Philip Pannell/Cmte. To Elect Philip Pannell (School Board, Ward-8).....	7/28/2012

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
July 2012

Reviews Completed – 93

Lobbyists.....	45
Political Action Committees.....	18
Constituent Service Funds (Includes Amended Filings)	9
US Senator/Representative	1
Principal Campaign Committees (Includes Amended Filings).....	20
Exploratory Committees.....	0
Financial Disclosure Statements.....	0
Initiative/Referendum Committees.....	1
Audit Letters (RFAI) Sent.....	16
Follow-up letters sent.....	1
Cases Resolved.....	9
Cases Referred to the Office of General Counsel.....	3
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Outreach Education Seminars Attended (New Campaign Finance Act of 2011 – CSF).....	1
Outside Seminars Attended	0

Periodic Random Audits – Constituent Services Funds –April 1, 2012 Filings

1. **Mayor Gray’s CSP** – The Statement of Findings was issued on June 26, 2012. The CSP did not respond to the first notice of the Statement of Findings. A second and final notice was issued on July 27, 2012. The response deadline is August 7, 2012.
2. **Vincent Orange, Sr. CSP** – The Final Periodic Audit Report was issued on July 30, 2012.

Full Field Audits – Investigative Audits

1. **Gray For Mayor** – In light of new developments, the Audit Staff is in the process of issuing an Interim Audit Report.
2. **Michael Brown At-Large** – The audit work is in progress. The Audit staff is still waiting for additional records.

Full Field Audits – Newly Elected Official

1. **Kwame for Chair** - The Audit fieldwork is in progress.
2. **Orange At-Large/Special Election 2011-** The Preliminary Audit Report was issued on July 9, 2012. The Audit Staff is reviewing the Committee’s response to the Preliminary Audit Report, and will issue an Interim Audit Report.

OFFICE OF THE GENERAL COUNSEL

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received	1						
Opinion Request Completed	0						
Complaints Received	0						
Internal Queries	0						

Preliminary Investigations Initiated	0						
Preliminary Investigations Orders Issued	0						
Full Investigations Initiated	0						
Full Investigations Orders Issued	0						
Informal Hearings Referred	5						
Informal Hearings Completed	4						
Informal Hearings Orders Issued	19*						
Petitions for Enforcement Referrals to BOEE	0						

*This figure represents 11 Failure to Timely File Orders in which no fines were imposed, 7 Failure to Timely File Orders in which \$3,850.00 in fines were imposed and 1 Request for Additional Information Order in which a fine of \$550.00 was imposed. Board Statistics for July, 2012

1. Actual Matters referred

PIRM

PCC	1
PAC's	0
Lobbyist	0
Constituent Services	1
FDS	0
Statehood Fund	0

RAAD

PCC	3
PAC's	0
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0

2. Notices of Hearings 16

First Notices	14
Second Notices	2

3. Notices and Orders Vacated 11

4. Hearings Conducted 4

5. Orders which imposed fines 8

6. Fines imposed \$4,400.00

7. Fines collected 0

- 8. Investigations completed 0
Orders issued
- 9. Petitions for Enforcement 0

LIST OF OPEN OCF INVESTIGATIONS

August 8, 2012

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: This matter was referred to the Audit

Division for a Full Field Audit;

The Preliminary Audit has been completed and upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.

OCF PI 2012-116

Complainant: Terrance Lynch, July 17, 2012

Respondent: Yasmin Romero-Castillo ANC-1D01

Infraction: Conflict of Interest

Status: Pending

INTERPRETATIVE OPINIONS

August 8, 2012

#12-03 Requestor: Barry A. Bostrom, Esq., of ActRight Legal Services

Submitted: Revised submission July 13, 2012

Issue: Registration and reporting requirements

Status: Pending

#12-04 Requestor: Edwin W. Powell

Submitted: June 13, 2012

Issue: Potential Conflict of Interest

Status: Referred to the OAG 07/11/2012

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

August 8, 2012

NONE